§1. Introduction

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ON TORRES-TYPE RELATIONS FOR THE ALEXANDER POLYNOMIALS OF LINKS

by V. G. TURAEV

§ 1. INTRODUCTION

The classical formula of Torres [5] relates the (first) Alexander polynomial of a link K in S^3 with that of the sublink of K obtained by deleting a component. The aim of the present paper is to establish a Torres-type formula for Alexander polynomials of higher-dimensional links. We also discuss analogous formulas for higher Alexander polynomials of links in S^3 .

An *n*-component link in the sphere S^m is an ordered collection of *n* disjoint smooth imbedded oriented (m-2)-dimensional spheres in S^m . With each odd-dimensional link $K \subset S^{2r+1}$ one associates a Λ_n -module $H_r(\tilde{X})$, where Λ_n is the Laurent polynomial ring $\mathbb{Z}[t_1, t_1^{-1}, ..., t_n, t_n^{-1}], X$ is the exterior of K and \tilde{X} is the maximal abelian covering of X. The module $H_r(\tilde{X})$ algebraically gives rise to a sequence of Fitting (or determinantal) invariants $\Delta_1(K), \Delta_2(K), ...,$ which are elements of Λ_n defined up to multiplication by monomials $\pm t_1^{s_1} \dots t_n^{s_n}$ (see [1] or § 3). The polynomial $\Delta_i(K)$ is called the *i*-th Alexander polynomial of K. The first Alexander polynomial $\Delta_1(K)$ is also denoted by $\Delta(K)$ and called "the Alexander polynomial of K".

THEOREM (Torres [5]). Let K be an n-component link in S^3 with $n \ge 2$ and let L be the sublink of K obtained by deleting the n-th component. Then

$$\Delta(K) (t_1, ..., t_{n-1}, 1) = \begin{cases} (t_1^{l_1} \dots t_{n-1}^{l_{n-1}} - 1) \Delta(L) & \text{if } n > 2\\ \frac{t_1^{l_1} - 1}{t_1 - 1} \Delta(L) & \text{if } n = 2 \end{cases}$$

where l_i denotes the linking number of the *i*-th and *n*-th components of K.

The following theorem can be considered as a high-dimensional variant of the Torres theorem.

THEOREM 1. Let K be an n-component link in S^m with odd $m \ge 5$. Let L be the sublink of K obtained by deleting the n-th component. Then there exists an element λ of Λ_{n-1} such that

(1)
$$\Delta(L) = \Delta(K) (t_1, ..., t_{n-1}, 1) \cdot \lambda \overline{\lambda}.$$

Here the overbar denotes the involution of the Laurent polynomial ring Λ_{n-1} which sends each polynomial $f(t_1, ..., t_{n-1})$ into $f(t_1^{-1}, ..., t_{n-1}^{-1})$.

It is well known that for any link $K \subset S^m$ with odd $m \ge 5$ the Alexander polynomial $\Delta(K)$ is non-zero. Moreover,

$$aug(\Delta(K)) = \Delta(K)(1, 1, ..., 1) = \pm 1$$

(see [1]). This implies that $\operatorname{aug}(\lambda) = \pm 1$ for any λ satisfying (1). It seems that there are no other restrictions on λ ; one may even guess that for any $\Delta \in \Lambda_n$, $\lambda \in \Lambda_{n-1}$ with $\operatorname{aug}(\Delta) = \operatorname{aug}(\lambda) = \pm 1$ and $\overline{\Delta} \doteq \Delta$ there exists a pair K, L as in Theorem 1 such that $\Delta(K) \doteq \Delta$ and $\Delta(L) \doteq \Delta(t_1, ..., t_{n-1}, 1)\lambda\overline{\lambda}$. Here and below the symbol \doteq denotes the equality of Laurent polynomials up to multiplication by a monomial $\pm t_1^{s_1} \dots t_n^{s_n}$.

Let us call two Laurent polynomials $\Delta, \Delta' \in \Lambda_n$ algebraically cobordant if there exist polynomials $\lambda, \lambda' \in \Lambda_n$ such that $\Delta\lambda\bar{\lambda} \doteq \Delta'\lambda'\bar{\lambda'}$ and aug (λ) $= \operatorname{aug}(\lambda') = \pm 1$. This terminology is suggested by the fact that Alexander polynomials of (smoothly) cobordant links are algebraically cobordant (see [4]). The formula (1) enables us to calculate Alexander polynomials of all sublinks of a given link, up to algebraic cobordism. It is curious to note that if K, K' are *n*-component links in S^m with odd $m \ge 5$ and if polynomials $\Delta(K), \Delta(K')$ are algebraically cobordant then Theorem 1 implies that Alexander polynomials of corresponding sublinks of K, K' are algebraically cobordant to each other. This fact reflects the evident property of geometric cobordisms: corresponding sublinks of cobordant links are cobordant.

I do not know if it is possible to associate with a link K some preferred $\lambda = \lambda(K)$ satisfying (1).

The remaining part of the Introduction is concerned with the classical links. The symbols $K, L, n, l_1, ..., l_{n-1}$ denote the same objects as in the Torres theorem formulated above. It may well happen that some of the Alexander polynomials $\Delta_1(K), \Delta_2(K), ...$ are equal to zero. Denote by u = u(K) the minimal integer $u \ge 1$ such that $\Delta_u(K) \ne 0$. Since $\Delta_{i+1}(K)$ divides $\Delta_i(K)$ for all $i, \Delta_i(K) = 0$ for i < u and $\Delta_i(K) \ne 0$ for $i \ge u(K)$. In view of the Torres theorem it is natural to look for a relationship between $\Delta_{u(K)}(K)$ and a corresponding invariant of *L*. In the case u(K) = 1we have the Torres formula, so we shall restrict ourselves to the case $u(K) \ge 2$ (i.e. the case $\Delta(K) = 0$).

The integers u(K), u(L) are related by the inequality $u(L) \ge u(K) - 1$ (see [1] or § 4). If $l_i \ne 0$ at least for one i = 1, ..., n - 1 then the stronger inequality holds: $u(L) \ge u(K)$. These inequalities suggest to relate $\Delta_u(K)$ (where we put u = u(K)) with $\Delta_{u-1}(L)$ and $\Delta_u(L)$. The following relationship between $\Delta_u(K)$ and $\Delta_u(L)$ was established in [4].

THEOREM ([4, Theorem 5.5.1]). If $u = u(K) \ge 2$ then there exist an element λ of Λ_{n-1} and a subset β of the set $\{1, 2, ..., n-1\}$ such that (2) $(t_1^{l_1} \dots t_{n-1}^{l_{n-1}} - 1)\Delta_u(L) = \prod_{i \in \beta} (t_i - 1) \cdot \lambda \overline{\lambda} \cdot \Delta_u(K) (t_1, ..., t_{n-1}, 1)$.

Several remarks are in order. a) The non-trivial case of the Theorem is the case where at least one of the integers $l_1, ..., l_{n-1}$ is non-zero: otherwise $t_1^{l_1} ... t_{n-1}^{l_{n-1}} - 1 = 0$ and we may put $\lambda = 0$. b) Formula (2) is proved in [4] under the additional condition u(L) = u(K). However if u(L) < u(K) then we have the trivial case $l_1 = l_2 = ... = l_{n-1} = 0$; if u(L) > u(K) then $\Delta_{u(K)}(L) = 0$ and we may put $\lambda = 0$. c) Formula (2) combines the factors from the Torres formula, formula (1) and a new factor $\prod (t_i-1)$. All these factors may be non-trivial (see [4]). d) An explicit construction of the set $\beta = \beta(K)$ is given in [4, § 5]. I do not know if there exists a preferred $\lambda = \lambda(K)$ which satisfies (2).

The relationships between the polynomials $\Delta_u(K)$ and $\Delta_{u-1}(L)$ were first considered by Levine [2] in the case u = 2.

THEOREM (Levine [2]). If $u(K) \ge 2$ then there exist an element $\lambda \in \Lambda_{n-1}$ and a set $\beta \subset \{1, 2, ..., n-1\}$ such that

$$\Delta(L) = \prod_{i \in \beta} (t_i - 1) \cdot \lambda \overline{\lambda} \cdot \Delta_2(K) (t_1, ..., t_{n-1}, 1).$$

Note that in the case u(K) > 2 the Levine's theorem is evident: if u(K) > 2 then $u(L) \ge u(K) - 1 > 1$ so that $\Delta(L) = \Delta_2(K) = 0$.

The following theorem generalizes the Levine's result.

THEOREM 2. If $u = u(K) \ge 2$ then there exist an element λ of Λ_{n-1} and a set $\beta \subset \{1, 2, ..., n-1\}$ such that

$$\Delta_{u-1}(L) = \prod_{i \in \beta} (t_i - 1) \cdot \lambda \overline{\lambda} \cdot \Delta_u(K) (t_1, ..., t_{n-1}, 1).$$

The non-trivial case of Theorem 2 is the case $l_1 = l_2 = ... = l_{n-1} = 0$: otherwise $u(L) \ge u$ so that $\Delta_{u-1}(L) = 0$ and we may put $\lambda = 0$.

The proof of Theorems 1, 2 goes along the same lines as the proof of the formula (2) given in [4]. These proofs are based on a relationship between the Alexander polynomials and Reidemeister-type torsions, established in [4]. This relationship is recalled in § 2. In § 3 several easy algebraic lemmas are proved. Theorems 1, 2 are proved in § 4.

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$\S 2$. Torsions of chain complexes and manifolds

2.1. THE TORSION OF A CHAIN COMPLEX (see [3]). Let Q be a field. If $a = (a_1, ..., a_n)$ and $b = (b_1, ..., b_n)$ are two bases of a Q-module then $a_i = \sum_{j=1}^n c_{i,j}b_j$ where $(c_{i,j})$ is a non-singular $n \times n$ -matrix over Q; the determinant det $(c_{i,j}) \in Q \setminus 0$ is denoted by [a/b].

Let $C = (C_m \rightarrow \cdots \rightarrow C_0)$ be a chain Q-complex. Suppose that each Q-module C_i is finite dimensional with a preferred basis c_i and each Q-module $H_i(C)$ also has a preferred basis h_i . (The case $C_i = 0$ or $H_i(C) = 0$ is not excluded; by definition the zero module has the empty basis.) In this setting one defines the torsion $\tau(C) \in Q$ as follows. For each i = 1, 2, ..., m choose a sequence $b_i = (b_1^i, ..., b_{r_i}^i)$ of elements of C_i such that $\partial_{i-1}(b_i) = (\partial_{i-1}(b_1^i), ..., \partial_{i-1}(b_{r_i}^i))$ is a basis in $\text{Im}(\partial_{i-1}: C_i \rightarrow C_{i-1})$. For each i = 0, 1, ..., m choose a lifting \tilde{h}_i of the basis h_i to Ker ∂_{i-1} . The combined sequence $\partial_i(b_{i+1})\tilde{h}_ib_i$ is a basis in C_i . (It is understood that $b_0 = \emptyset$ and $b_{m+1} = \emptyset$). Put

(3)
$$\tau(C) = \prod_{i=0}^{m} \left[\partial_i (b_{i+1}) \tilde{h}_i b_i / c_i \right]^{\varepsilon(i)}$$

where $\varepsilon(i) = (-1)^{i+1}$. Clearly, $\tau(C) \in Q \setminus 0$. It is easy to verify that $\tau(C)$ does not depend on the choice of b_i and $\tilde{h_i}$.

(Note that the torsion of C defined in Milnor's survey article [3] equals $\pm \tau(C)^{-1} \in Q/\pm 1$ and that Milnor uses the additive notation for the multiplication in $Q \setminus 0 = K_1(Q)$.)

2.1.1. LEMMA (multiplicativity of torsion). Let $0 \rightarrow C' \rightarrow C \rightarrow C'' \rightarrow 0$ be a short exact sequence of m-dimensional chain complexes over a field Q.