

2. A Topological Lemma

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from $\{u \in C^\infty(X), \int u dX_g = 0\}$ to $\{v \in C^\infty(X), \int v dX_{g'} = 0\}$ ($dX_{g'}$ denotes the volume form in the metric g') when $\lambda = 0$.

For completeness, let us indicate how, for instance theorem 0.2, can be reduced to equation (1) with $\lambda = 0$. It is quite straightforward. First of all we are given a cohomology class $c \in H^2(X, \mathbf{R})$ such that there exists a Kähler form ω in c ; let ρ be the Ricci form of ω : $\rho \in C_1(X)$, the first Chern class of X .

Then we are given $\gamma \in C_1(X)$ and hence $f \in C^\infty(X)$ a real function (defined up to an additive constant), which measures the deviation for ω from satisfying 0.2:

$$\gamma - \rho = \sqrt{-1} \partial \bar{\partial} f.$$

Now we look for another Kähler form $\omega' \in c$, i.e. we look for a smooth real function φ (also defined up to an additive constant), where

$$\omega' - \omega = \sqrt{-1} \partial \bar{\partial} \varphi$$

such that the Ricci form ρ' of ω' coincides with γ .

In other words, we want φ to satisfy

$$\rho' - \rho \equiv \sqrt{-1} \partial \bar{\partial} \varphi,$$

or equivalently, if g and g' are the Kähler metrics respectively associated with ω and ω' ,

$$\partial \bar{\partial} \{-\text{Log det}(g'g^{-1})\} \equiv \partial \bar{\partial} \varphi$$

which immediately yields equation (1) with $\lambda = 0$:

$$-\text{Log det}(g'g^{-1}) = f,$$

since anyway f is only defined up to an additive constant.

As ω and ω' are cohomologous and closed, so are the corresponding volume forms, therefore X has same volume measured with the metrics g and g' ; this defines completely f , subject to the natural constraint mentioned above.

2. A TOPOLOGICAL LEMMA

In our setting, the continuity method becomes a "surjectivity method" since it is based on the following

LEMMA 2.1. Let A, B be metric spaces, with $A \neq \emptyset$ and B connected. Let $P: A \rightarrow B$ be a continuous map. Assume:

- (i) P is open,
- (ii) P is proper, that is, for any compact subset K in B , $P^{-1}(K)$ is compact. Then P is surjective.

Proof. We only need to prove that $P(A)$ is closed. Let b_0 be a point in $\overline{P(A)}$. Since B is a metric space, there exists a sequence $(b_i)_{i>0}$ in $P(A)$ converging to b_0 . The subset $K = \{b_0, b_1, b_2, \dots\}$ is compact, hence so is $PP^{-1}(K)$. The latter contains b_1, \dots, b_i, \dots , hence b_0 , and it is obviously contained in $P(A)$. Q.E.D.

In order to make use of this lemma, we shall need some inverse function theorem for (i), and some *a priori* estimates for (ii).

3. LOCAL INVERSION

THEOREM 3.1. Let X be a smooth compact manifold, V and W smooth vector bundles on X , U an open set in $C^\infty(X, V)$, and $P: U \rightarrow C^\infty(X, W)$, a smooth nonlinear elliptic partial differential operator. Let A and B be LCFC submanifolds of U and of $C^\infty(X, W)$ respectively, such that the restriction P_A of P to A , sends A into B . Then the Jacobian criterion holds for P_A , namely, if the derivative of $P_A: A \rightarrow B$ is invertible at $\varphi_0 \in A$, then P_A is a local diffeomorphism near φ_0 .

This is a convenient variant of the Nash-Moser theorem (e.g. [14]) regarding suitable restrictions of elliptic operators. It is established in a separate paper [11] (see also [22]). It relies only on the classical (Banach) inverse function theorem combined with *elliptic regularity*.

Remark 3.2. The Nash-Moser theorem has been studied by many authors, see the bibliography below and further references in [14] [15] [25].

4. PROPERNESS

In view of (2), theorem 3.1 implies that P_λ is open. We want to apply lemma 2.1 in order to prove that P_λ is surjective from A_λ to B_λ . Since $P_\lambda(A_\lambda) \neq \emptyset$ (it contains 0), and since B_λ is connected, this amounts to proving that P_λ is *proper*. Let us explain why *a priori* estimates imply properness.

Concerning subsets in A_λ we have