

Part 3: Applications to Fourier series

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satisfying $1 \leq p < 2 < q \leq \infty$, the series (6.6) converges normally in $L_p^q(G)$ to T . Next, T is the limit in E of

$$S_r = \sum_{n=1}^r \omega_n T_{K_n}$$

as $r \rightarrow \infty$ and, since it is plain that $\text{supp } S_r \subseteq \Omega$ for every r , (ii) is easily derived. Finally, if \hat{T} were a measure μ , it would necessarily be the case that $\text{supp } \mu \subseteq \bar{\Omega}$ and so, for every $n \in N$, one would have by (6.1) and (6.4)

$$\begin{aligned} f_n(T) &= |u_n * Tv_n(0)| = \left| \int_{\Gamma} \hat{u}_n \hat{v}_n d\mu \right| \\ &\leq |\mu|(\bar{\Omega}), \end{aligned}$$

which is finite since Ω is relatively compact. However, this plainly would entail $f^*(T) < \infty$, in conflict with (6.8), so that T cannot be a measure and (iii) is verified. This completes the proof.

6.4 REMARK. Theorem 6.3 was proved by Hörmander ([14], Theorem 1.9) for $G = R^n$ and any given pair (p, q) satisfying $1 \leq p < 2 < q \leq \infty$, this result being extended to a general noncompact LCA G by Gaudry [5]. The argument given by Hörmander (loc. cit. Theorem 1.6 and the remark immediately following) for the case $G = R^n$ can also be extended to a general LCA G and shows that, if either $q \leq 2$ or $p \geq 2$, then every $T \in L_p^q(G)$ is such that \hat{T} is a measure [and indeed a measure of the form $\psi \lambda_{\Gamma}$, where $\psi \in L_{loc}^2(\Gamma)$ if $q \leq 2$ and $\psi \in L_{loc}^p(\Gamma)$ if $p \geq 2$, and so $\psi \in L_{loc}^2(\Gamma)$ in either case]. Thus the hypotheses made in Theorem 6.3 about p and q are necessary for the validity of the conclusion.

PART 3: APPLICATIONS TO FOURIER SERIES

§ 7. Applications to divergence of Fourier series.

7.1 Throughout §§ 7-10, G will denote an infinite Hausdorff compact Abelian group with character group Γ , and λ_G the Haar measure on G , normalised so that $\lambda_G(G) = 1$. For any $f \in L^1(G)$, \hat{f} will denote the Fourier transform of f ; for any finite subset Δ of Γ ,

$$S_{\Delta} f = \sum_{\gamma \in \Delta} \hat{f}(\gamma) \gamma \tag{7.1}$$

is the Δ -partial sum of the Fourier series of f ; and $\text{sp}(f)$ will stand for

the spectrum of f , i.e., for the support $\text{supp } \hat{f} = \{\gamma \in \Gamma : \hat{f}(\gamma) \neq 0\}$ of \hat{f} . The term “trigonometric polynomial” will frequently be abbreviated to “t.p.”. In addition, Φ will denote the largest torsion subgroup of Γ ([7], (A.4)), and π the natural map of Γ onto Γ/Φ . If Δ denotes a subset of Γ , $[\Delta]$ will stand for the subgroup of Γ generated by Δ .

By a (*convergence*) *grouping* we shall mean a sequence $\mathcal{D} = (\Delta_j)_{j \in N} = (\Delta_j)$ of finite subsets Δ_j of Γ such that

$$\left. \begin{aligned} \Delta_j &\subseteq \Delta_{j+1} \quad (j \in N); \\ \bigcup_{j=1}^{\infty} \Delta_j = \Gamma_0 &\text{ is a subgroup of } \Gamma, \text{ said to be} \\ &\text{covered by } \mathcal{D}; \\ \text{for each } j \in N, \Delta_j &= \Omega_j + \Lambda_j, \text{ where } \Lambda_j \text{ is a} \\ &\text{nonvoid finite subset of } \Phi \text{ and } \Omega_j \text{ is a finite} \\ &\text{subset of } \Gamma \text{ such that } \pi|_{\Omega_j} \text{ is 1-1.} \end{aligned} \right\} \quad (7.2)$$

[The first two conditions are natural enough in the context described in 7.3, but the third is less so and may well be pointless.] The grouping \mathcal{D} is said to be of *infinite type* if and only if $\pi(\Gamma_0)$ is infinite.

7.2 EXAMPLES. (i) Let Γ_0 be any countable subgroup of Γ such that $\Gamma_0 \cap \Phi = \{0\}$; for example, $\Gamma_0 = \{n\gamma_0 : n \in \mathbb{Z}\}$, where $\gamma_0 \in \Gamma \setminus \Phi$. Then a grouping \mathcal{D} covering Γ_0 results whenever $\Lambda_j = \{0\}$ and $\Delta_j = \Omega_j$ for every $j \in N$, where $(\Omega_j)_{j \in N}$ is any increasing sequence of finite subsets of Γ_0 with union equal to Γ_0 . This grouping is of infinite type if and only if Γ_0 is infinite.

(ii) If G is connected, and if Γ_0 is any countable subgroup of Γ , then ([10], 2.5.6 (c), 8.1.2 (a) and (b) and 8.1.6) Γ_0 is an ordered group isomorphic to a discrete subgroup of R . Assuming $\Gamma_0 \neq \{0\}$, Γ_0 has a smallest positive element γ_0 and $\Gamma_0 = \{n\gamma_0 : n \in \mathbb{Z}\}$. A natural grouping \mathcal{D} covering Γ_0 is that in which $\Lambda_j = \{0\}$ and

$$\Delta_j = \Omega_j = \{n\gamma_0 : n \in \mathbb{Z}, |n| \leq j\}$$

for every $j \in N$; this grouping is of infinite type.

7.3 A grouping $\mathcal{D} = (\Delta_j)_{j \in N}$ will be thought of as specifying one of the many possible ways in which one may interpret the convergence of Fourier series of functions f on G satisfying $sp(f) \subseteq \Gamma_0$, namely, as convergence of the corresponding sequence of partial sums $(S_{\Delta_j} f)_{j \in N}$.

Indeed, the conditions (7.2) guarantee that $\lim_{j \rightarrow \infty} S_{\Delta_j} f = f$ for all sufficiently regular such functions f . However, our concern rests with the possibility of constructing continuous functions f on G satisfying

$$\text{sp}(f) \subseteq \Gamma_0, \quad \overline{\lim_{j \rightarrow \infty} \text{Re } S_{\Delta_j} f(0)} = \infty. \quad (7.3)$$

It will appear that the possibilities exhibit a fairly clear dichotomy, depending largely upon whether G is or is not 0-dimensional.

In the first place, it will emerge in 7.6 that the construction principle of § 2, applied to the Banach space $E = C(G)$ of continuous complex valued functions on G [with norm $\|\cdot\|$ equal to the maximum modulus] and to sequences of gauges of the type

$$f \mapsto \text{Re } S_{\Delta} f(0) = \text{Re} \int_G D_{\Delta} f d\lambda_G, \quad (7.4)$$

where D_{Δ} stands for the “Dirichlet function”

$$D_{\Delta} = \sum_{\gamma \in \Delta} \bar{\gamma}, \quad (7.5)$$

shows that the problem hinges on the existence of groupings \mathscr{D} for which

$$\rho_j = \|D_{\Delta_j}\|_1 = \int_G |D_{\Delta_j}| d\lambda_G \rightarrow \infty. \quad (7.6)$$

Accordingly, and in view of the fact ([7], (24.26)) that G is 0-dimensional if and only if Γ coincides with Φ , it emerges that the dichotomy referred to may be expressed in the following way.

7.4 Two cases arise, namely:

(i) G is not 0-dimensional (i.e., $\Phi \neq \Gamma$). Then (see Example 7.2 (i)) there exist groupings $\mathscr{D} = (\Delta_j)$ of infinite type; and, for any such grouping, one can construct (fairly explicitly, as described in 7.6) continuous functions f on G satisfying (7.3). In particular [cf. Example 7.2 (i)], if Γ_0 is any countably infinite subgroup of Γ satisfying $\Gamma_0 \cap \Phi = \{0\}$, and if $(\Delta_j)_{j \in \mathbb{N}}$ is any increasing sequence of finite subsets of Γ_0 with union Γ_0 , we can construct a continuous f on G satisfying (7.3).

(ii) G is 0-dimensional (i.e., $\Phi = \Gamma$). Then there exists no grouping of infinite type. However, given any countable subgroup Γ_0 of Γ , there are groupings $\mathscr{D} = (\Delta_j)$ covering Γ_0 , in which $\Omega_j = \{0\}$ and $\Delta_j = \Delta_j$ is a finite subgroup of Γ_0 , and for which

$$f = \lim_{j \rightarrow \infty} S_{\Delta_j} f$$

uniformly on G for every continuous f satisfying $\text{sp}(f) \subseteq \Gamma_0$.

Case (i) will be dealt with in § 8, case (ii) in § 9. The groupings described in case (ii) prove to be exceptional in various ways; see 9.3.

7.5 REMARK. Perhaps it should be stressed here that, if Γ_0 is any infinite subgroup of Γ , there is no obstacle to constructing continuous functions f such that $\text{sp}(f) \subseteq \Gamma_0$ and finite subsets $\Delta_j \subseteq \Delta_{j+1}$ of Γ_0 for which

$$\lim_j S_{\Delta_j} f(0) = \infty.$$

[One has in fact only to construct a continuous f such that $\text{sp}(f) \subseteq \Gamma_0$ and $\sum_{\gamma \in \Gamma} |\hat{f}(\gamma)| = \infty$; it is then trivial that there exist finite subsets Δ of Γ_0 for which $|S_{\Delta} f(0)|$ is arbitrarily large, so that we can choose a sequence (Δ_j) for which $\Delta_j \subseteq \Delta_{j+1}$ and $|S_{\Delta_j} f(0)| \rightarrow \infty$ with j .] However, the sets Δ_j obtained this way will not [and, in view of 7.4 (ii), cannot] in general be such that $\bigcup_{j=1}^{\infty} \Delta_j = \Gamma_0$. For more details, see A.5.1 and A.5.2 of the Appendix.

7.6 Suppose one is given a grouping $\mathcal{D} = (\Delta_j)_{j \in \mathbb{N}}$ covering Γ_0 and satisfying (7.6). As is described in § 10, one may construct polynomials $q_{p_j, \nu}$ in two indeterminates over the real field (ν being a suitable fixed integer not less than 36 and p_j any positive number not less than $\|D_{\Delta_j}\|_{\infty}$) such that, for suitable unimodular complex numbers ξ_j , the t.p.s

$$Q_j = \xi_j \left(1 + \frac{1}{\nu}\right)^{-1} q_{p_j, \nu}(D_{\Delta_j}, \bar{D}_{\Delta_j})$$

satisfy

$$\left. \begin{aligned} \|Q_j\| &\leq 1, \text{sp}(Q_j) \subseteq [\Delta_j] \subseteq \Gamma_0, \\ S_{\Delta_j} Q_j(0) &= \int_G D_{\Delta_j} Q_j d\lambda_G \text{ is real and } \geq \frac{1}{2} \rho_j. \end{aligned} \right\} \quad (7.7)$$

In view of (7.2), (7.6) and (7.7), one may choose inductively a sequence $(j_n)_{n \in \mathbb{N}}$ of positive integers so that

$$\left. \begin{aligned} S_{\Delta_{j_n}} Q_{j_n}(0) &\text{ is real and } > n^3, \\ j_n &< j_{n+1}, \text{sp}(Q_{j_n}) \subseteq \Gamma_0. \end{aligned} \right\} \quad (7.8)$$

Accordingly, the t.p.s

$$u_n = n^{-2} Q_{j_n}$$

satisfy the conditions

$$\left. \begin{aligned} \operatorname{sp}(u_n) &\subseteq \Gamma_0, \sum_{n=1}^{\infty} \|u_n\| < \infty \\ S_{\Delta_{j_n}} u_n(0) &\text{ is real and } > n. \end{aligned} \right\} \quad (7.9)$$

At this point the construction in § 2 will yield integers $0 < n_1 < n_2 < \dots$ and specifiable sequences $(\gamma_p)_{p \in \mathbb{N}}$ of positive numbers such that each function of the form

$$f = \sum_{p=1}^{\infty} \gamma_p u_{n_p}$$

is continuous and satisfies

$$\operatorname{sp}(f) \subseteq \Gamma_0, \lim_{p \rightarrow \infty} \operatorname{Re} S_{\Delta_{j_{n_p}}} f(0) = \infty. \quad (7.10)$$

A fortiori, f satisfies (7.3).

We add here that, if the Δ_j are symmetric, the D_{Δ_j} are real-valued, and we may work throughout with real-valued functions, replacing $\operatorname{Re} S_{\Delta_j} f$ by $S_{\Delta_j} f$ everywhere.

§ 8. Discussion of case (i) : G not 0-dimensional

8.1 In this case $\Phi \neq \Gamma$, and we begin by considering a finite subset of Γ of the form

$$\Delta = \Omega + \Lambda, \quad (8.1)$$

where Ω and Λ are finite subsets of Γ such that $\pi|_{\Omega}$ is 1-1 and $\emptyset \neq \Lambda \subseteq \Phi$. We aim to show that (for a suitable absolute constant $k > 0$)

$$\|D_{\Delta}\|_1 \geq k \left(\frac{\log N}{\log \log N} \right)^{\frac{1}{4}}, \quad (8.2)$$

provided $N = |\Omega|$ (the cardinal number of Ω) is sufficiently large.

8.2 PROOF OF (8.2). Introduce H as the annihilator in G of Φ and identify in the usual way the dual of H with Γ/Φ . Likewise identify the dual of $K = G/H$ with Φ ([7], (24.11)).

We then have

$$\begin{aligned} \|D_A\|_1 &= \int_G \left| \sum_{\gamma \in A} \gamma \right| d\lambda_G \\ &= \int_{G/H} d\lambda_{G/H}(\bar{x}) \int_H \left| \sum_{\theta \in \Omega} \sum_{\phi \in A} \theta(x+y) \phi(x+y) \right| d\lambda_H(y), \end{aligned}$$

the inner integral being viewed as a function of $\bar{x} = x+H$. Thus, writing $\bar{\theta}$ for $\pi(\theta)$ and noting that $\phi(y) = 1$ for $\phi \in A \subseteq \Phi$ and $y \in H$, we obtain

$$\|D_A\|_1 = \int_{G/H} d\lambda_{G/H}(\bar{x}) \int_H \left| \sum_{\theta \in \Omega} \alpha(\theta, x) \bar{\theta}(y) \right| d\lambda_H(y), \quad (8.3)$$

where

$$\alpha(\theta, x) = \theta(x) \sum_{\phi \in A} \phi(x).$$

Now, since the dual of H (namely Γ/Φ) is torsion-free ([7], (A.4)), Theorem A of [8] shows that (for a suitable absolute constant $k > 0$) we have

$$\begin{aligned} \int_H \left| \sum_{\theta \in \Omega} \alpha(\theta, x) \bar{\theta}(y) \right| d\lambda_H(y) &\geq k \left(\frac{\log N}{\log \log N} \right)^{\frac{1}{4}} \min_{\theta \in \Omega} |\alpha(\theta, x)| \\ &= k \left(\frac{\log N}{\log \log N} \right)^{\frac{1}{4}} \left| \sum_{\phi \in A} \phi(\bar{x}) \right|, \end{aligned} \quad (8.4)$$

since $|\theta(x)| = 1$ and $\phi(x)$ depends only \bar{x} . By (8.3) and (8.4),

$$\|D_A\|_1 \geq k \left(\frac{\log N}{\log \log N} \right)^{\frac{1}{4}} \int_{G/H} \left| \sum_{\phi \in A} \phi(\bar{x}) \right| d\lambda_{G/H}(\bar{x}). \quad (8.5)$$

Since $A \neq \emptyset$, the remaining integral is not less than the maximum modulus of the Fourier transform of the function $\bar{x} \mapsto \sum_{\phi \in A} \phi(\bar{x})$, i.e., is not less than unity. Thus, (8.2) follows from (8.5).

8.3 PROOF OF 7.4 (i). The conclusions stated in case (i) of 7.4 are now almost immediate. If $\mathcal{D} = (A_j)_{j \in N}$ is a grouping of infinite type covering Γ_0 , $|\pi(A_j)| \rightarrow \infty$ and so, since $A_j \subseteq \Phi$, $|\pi(\Omega_j)| \rightarrow \infty$. Then (8.2) shows that (7.6) is satisfied, and it remains only to refer to 7.6.

8.4 SUPPLEMENTARY REMARKS. The fact that, when G is not 0-dimensional, (7.6) holds for suitable subgroups Γ_0 of Γ and suitable groupings $\mathcal{D} = (A_j)_{j \in N}$ covering Γ_0 can be derived without appeal to Theorem A

of [8]. To do this, it suffices to take $\gamma_k \in \Gamma \setminus \Phi$ ($k = 1, 2, \dots, m$) such that the family $(\gamma_k)_{1 \leq k \leq m}$ is independent (see [7], (A.10)), define

$$\Gamma_0 = \left\{ \sum_{k=1}^m n_k \gamma_k : n_k \in \mathbb{Z} \text{ for } k = 1, 2, \dots, m \right\},$$

and make use of the formula

$$\begin{aligned} \int_G F(\gamma_1(x), \dots, \gamma_m(x)) d\gamma_G(x) \\ = (2\pi)^{-m} \int_0^{2\pi} \dots \int_0^{2\pi} F(e^{it_1}, \dots, e^{it_m}) dt_1 \dots dt_m, \end{aligned} \quad (8.6)$$

valid for every $F \in C(T^m)$, where T denotes the circle group. (Recall that $\sum_{k=1}^m n_k \gamma_k$ denotes the character $x \mapsto \gamma_1(x)^{n_1} \dots \gamma_m(x)^{n_m}$ of G .) It then appears that (7.6) holds when one takes

$$\Delta_j = \left\{ \sum_{k=1}^m n_k \gamma_k : |n_k| \leq r_{j,k} \text{ for } k = 1, 2, \dots, m \right\},$$

where the $r_{j,k}$ are positive integers satisfying $r_{j,k} \leq r_{j,k+1}$ and $\lim_{j \rightarrow \infty} r_{j,k} = \infty$. Moreover, when $m = 1$, the Cohen-Davenport result (essentially Theorem A of [8] for the case $G = T$) shows that (7.6) holds for every grouping \mathcal{D} covering Γ_0 .

The verification of (8.6) is simple. First note that, if G and G' are compact groups, and if ϕ is a continuous homomorphism of G into G' , then

$$\int_G (F \circ \phi) d\lambda_G = \int_{\phi(G)} F d\lambda_{\phi(G)} \quad (8.7)$$

for every $F \in C(G')$. (This is a consequence of the fact that $F \mapsto \int_G (F \circ \phi) d\lambda_G$ is invariant under translation by elements of $\phi(G)$, combined with the uniqueness of the normalised Haar measure on a compact group.) Taking $G' = T^m$ and $\phi : x \mapsto (\gamma_1(x), \dots, \gamma_m(x))$, the stated conditions on the γ_k are just adequate to ensure that the annihilator in \mathbb{Z}^m (identified in the canonical fashion with the dual of T^m) of $\phi(G)$ is $\{(0, \dots, 0)\}$ and so ([7], (24.10)) that $\phi(G) = T^m$. Accordingly, (8.6) appears as a special case of (8.7).

It is perhaps worth indicating that special cases of (8.7) can be exploited in other ways. For example, suppose more generally that κ is an arbitrary nonvoid set and that $(\gamma_k)_{k \in \kappa}$ is a finite or infinite independent family of elements of $\Gamma \setminus \Phi$. Denote by Γ_0 the subgroup of Γ generated by $\{\gamma_k : k \in \kappa\}$. Taking $G' = T^\kappa$ and $\phi : x \mapsto (\gamma_k(x))_{k \in \kappa}$, one may use (8.7) in a similar fashion to show that there is an isometric isomorphism $F \leftrightarrow F \circ \phi = f$ between $L^p(T^\kappa)$ (or $C(T^\kappa)$) and the subspace of $L^p(G)$ (or $C(G)$) formed of those $f \in L^p(G)$ or $C(G)$ such that $\text{sp}(f) \subseteq \Gamma_0$. Moreover, if one identifies in the canonical fashion the dual of T^κ with the weak

direct product $Z^{\kappa*}$, the said isomorphism is such that $\hat{F} = \hat{f} \circ \phi'$, where ϕ' is the isomorphism of $Z^{\kappa*}$ onto Γ_0 defined by $(n_k) \rightarrow \sum_{k \in \kappa} n_k \gamma_k$.

One consequence of this may be expressed roughly as follows: If the compact Abelian group G is such that $\Gamma \setminus \Phi$ contains an independent family of (finite or infinite) cardinality m , then Fourier series on G behave, in respect of convergence or summability, no better than do Fourier series on T^m .

Another consequence is that, if Δ is a subset of Γ_0 , then Δ is a Sidon (or $\Lambda(p)$) subset of Γ if and only if $\phi'^{-1}(\Delta)$ is a Sidon (or $\Lambda(p)$) subset of $Z^{\kappa*}$.

8.5 FURTHER RESULTS. Theorem A of [8] implies something stronger than (8.2), namely: if ω is any complex-valued function on Γ such that

$$\omega(\gamma + \phi) = \omega(\gamma) \quad (\gamma \in \Gamma, \phi \in \Phi), \quad (8.8)$$

so that ω can be regarded as a function on Γ/Φ , and if we write

$$D_{\Delta}^{\omega} = \sum_{\gamma \in \Delta} \omega(\gamma) \bar{\gamma}, \quad S_{\Delta}^{\omega} f = \sum_{\gamma \in \Delta} \omega(\gamma) \hat{f}(\gamma), \quad (8.9)$$

then, for $\Delta = \Omega + \Lambda$ as in (8.1), we have

$$\|D_{\Delta}^{\omega}\|_1 \geq k \left(\frac{\log N}{\log \log N} \right)^{\frac{1}{4}} \min_{\gamma \in \Omega} |\omega(\gamma)| \quad (8.10)$$

provided $N = |\Omega|$ is sufficiently large.

So, if we can arrange for $\Omega = \Omega_j$ to vary in such a way that the right-hand side of (8.10) tends to infinity with j , the substance of 7.6 will lead to a continuous f satisfying $\text{sp}(f) \subseteq \Gamma_0$ and

$$\overline{\lim}_{j \rightarrow \infty} \text{Re } S_{\Delta_j}^{\omega} f(0) = \infty. \quad (8.11)$$

Taking the most familiar case, in which $G = T$, $\Gamma = \mathbb{Z}$ and $\Phi = \{0\}$, and supposing $\Delta = \Omega$ to range over a sequence (Δ_j) of finite subsets of \mathbb{Z} such that, if $N_j = |\Delta_j|$,

$$\lim_j \left(\frac{\log N_j}{\log \log N_j} \right)^{\frac{1}{4}} \min_{n \in \Delta_j} |\omega(n)| = \infty,$$

the construction will lead to a continuous f on T such that

$$\overline{\lim}_j \text{Re } S_{\Delta_j}^{\omega} f(0) = \infty.$$

In particular, taking $\Delta_j = \{n \in \mathbb{Z} : 2^j \leq n < 2^{j+1}\}$ it can be arranged that

$$\sum_{n \in \mathbb{Z}} \frac{\pm \hat{f}(n)}{(\log(2 + |n|))^\alpha}$$

diverges for any preassigned distribution of signs \pm and any preassigned $\alpha < \frac{1}{4}$.

Of course, much stronger results are derivable by using random (and unspecifiable!) changes of sign, but there seems little hope of making this even remotely constructive.

§ 9. Discussion of case (ii) : G 0-dimensional

9.1 In this case there is ([7], (7.7)) a base of neighbourhoods of zero in G formed of compact open subgroups W . For each such W the annihilator $\Delta = W^\circ$ in Γ of W is a finite subgroup of Γ . Define

$$k_W = \lambda_G(W)^{-1} \times \text{characteristic function of } W. \quad (9.1)$$

Then k_W is continuous, $k_W \geq 0$, $\int_G k_W d\lambda_G = 1$. The transform \hat{k}_W of k_W is plainly equal to unity on Δ . On the other hand, since W is a subgroup, we have for $a \in W$ and $\gamma \in \Gamma$

$$\begin{aligned} \hat{k}_W(\gamma) &= \int_G k_W(x) \overline{\gamma(x)} d\lambda_G(x) = \int_G k_W(x+a) \overline{\gamma(x)} d\lambda_G(x) \\ &= \int_G k_W(y) \overline{\gamma(y-a)} d\lambda_G(y) \\ &= \gamma(a) \hat{k}_W(\gamma), \end{aligned}$$

which shows that $\hat{k}_W(\gamma) = 0$ if $\gamma \in \Gamma \setminus \Delta$. Thus \hat{k}_W is the characteristic function of Δ , and so

$$k_W = D_{W^\circ}. \quad (9.2)$$

By (9.1) and (9.2), a routine argument shows that, if $1 \leq p < \infty$ and $f \in L^p(G)$, then

$$f = \lim_W S_W \circ f \quad (9.3)$$

in $L^p(G)$; and that (9.3) holds uniformly for any continuous f .

9.2 PROOF OF 7.4 (ii). If Γ_0 is any countably infinite subgroup of Γ we can choose a sequence W_j of compact open subgroups of G such that

$W_{j+1} \subseteq W_j$ and $\Gamma_0 \subseteq \bigcup_{j=1}^{\infty} W_j^\circ$, where W_j° is a finite subgroup of Γ and $W_j^\circ \subseteq W_{j+1}^\circ$. The $\Delta_j = W_j^\circ \cap \Gamma_0$ satisfy (7.2) and, from (9.3),

$$f = \lim_j S_{\Delta_j} f \quad (9.4)$$

uniformly for any continuous f with $\text{sp}(f) \subseteq \Gamma_0$. This verifies the statements made in 7.4 (ii).

9.3 By using the results in [3], more can be said in case (ii) of 7.4; cf. [3], Theorem (2.9) and Example (4.8).

Let $f \in L^1(G)$ and let Γ_0 be any countable subgroup of Γ containing $\text{sp}(f)$. Choose the W_j as in 9.2. Then, apart from the fact that (W_j) is not in general a base at 0 in G (they can be chosen to be so if and only if G is first countable), (W_j) is an open-compact D'' -sequence ([3], p. 188). The proof of Theorem (2.5) of [3] is easily modified to show that

$$f(x) = \lim_{j \rightarrow \infty} S_{W_j^\circ} f(x) \quad (9.5)$$

holds for almost all $x \in G$. Moreover, Theorem (2.7) of [3] applies to show that the majorant function

$$S^* f(x) = \sup_{j \in \mathbb{N}} |S_{W_j^\circ} f(x)| \quad (9.6)$$

satisfies the estimates

$$\|S^* f\|_p \leq 2(p(p-1)^{-1})^{\frac{1}{p}} \|f\|_p \quad (1 < p < \infty) \quad (9.7)$$

$$\|S^* f\|_1 \leq 2 + 2 \int_G |f| \log^+ |f| d\lambda_G, \quad (9.8)$$

$$\|S^* f\|_p \leq 2(1-p)^{\frac{1}{p}} \|f\|_1 \quad (0 < p < 1). \quad (9.9)$$

In particular, the convergence in (9.5) is dominated whenever

$$|f| \log^+ |f| \in L^1(G).$$

A more immediate consequence of (9.1) and (9.2) is a strong version of localisability of the convergence of Fourier series: if $f \in L^1(G)$ vanishes a.e. on some neighbourhood of $x_0 \in G$, we can choose the W_j so that $S_{\Delta_j} f(x_0) = 0$ for every sufficiently large j . [A suitable choice of W_j may be made once for all, independent of f , if G is first countable.] Nothing similar is true for general G ; see, for example, [11], Vol. II, pp. 304-305.

§ 10. Concerning the polynomials Q_j .

There is no difficulty in making fairly explicit the construction of t.p.s Q_j of the type employed in 7.6.

For $p > 0$, $t \geq 0$ define

$$h_p(t) = \begin{cases} 1 & \text{if } t \leq p, \\ 2 \left(1 - \frac{t}{2p}\right) & \text{if } p \leq t \leq 2p, \\ 0 & \text{if } t \geq 2p. \end{cases} \quad (10.1)$$

For all complex z define

$$f_p(z) = \begin{cases} 0 & \text{if } z = 0, \\ |z|^{-1} \bar{z} h_p(|z|) & \text{if } z \neq 0. \end{cases} \quad (10.2)$$

Write

$$\left. \begin{aligned} E_n(z) &= \pi^{-1} n \exp(-n|z|^2), \\ P_{n,k}(z) &= \pi^{-1} n \sum_{j=0}^k \frac{(-1)^j}{j!} (n|z|^2)^j \end{aligned} \right\} \quad (10.3)$$

Let μ denote Lebesgue measure on C (identified with R^2 in the canonical fashion).

It is then routine to verify that

$$\left. \begin{aligned} \|E_n * f_p\|_{\infty} &\leq \|f_p\|_{\infty} = 1, \\ \lim_{n \rightarrow \infty} E_n * f_p &= f_p \end{aligned} \right\} \quad (10.4)$$

uniformly on any compact set omitting 0. From this it follows that to every $p > 0$ and every positive integer v correspond positive integers $\bar{n}(p, v)$, $\bar{k}(p, v)$ such that

$$\left. \begin{aligned} \left| |z|^{-1} \bar{z} - f_p * P_{\bar{n}, \bar{k}}(z) \right| &\leq \frac{1}{v} \text{ for } \frac{1}{v} \leq |z| \leq p, \\ \left| f_p * P_{\bar{n}, \bar{k}}(z) \right| &\leq 1 + \frac{1}{v} \text{ for } |z| \leq p. \end{aligned} \right\} \quad (10.5)$$

Now

$$f_p * P_{\bar{n}, \bar{k}}(z) = q_{p,v}(z, \bar{z}), \quad (10.6)$$

where

$$q_{p,v}(X, Y) = \pi^{-1} \bar{n}(p, v) \sum_{j=0}^{\bar{k}(p,v)} \frac{(-\bar{n}(p, v))^j}{j!} \sum_{l=0}^j \sum_{m=0}^j \binom{j}{l} \binom{j}{m} X^l Y^m$$

$$(-1)^{l+m} \int \zeta^{j-l} \bar{\zeta}^{j-m} f_p(\zeta) d\mu(\zeta)$$

$$= \sum_{l,m=0}^{\bar{k}(p,v)} C_{p,v}(l, m) X^l Y^m. \quad (10.7)$$

It is easily verifiable that the $C_{p,v}(l, m)$ are real-valued.

If θ is a bounded measurable function on G and

$$Q_{p,v}^\circ = q_{p,v}(\theta, \bar{\theta}), p \geq \|\theta\|_\infty, \quad (10.8)$$

we have from (10.5)

$$\left\{ \begin{array}{l} \left| |\theta|^{-1} \bar{\theta} - Q_{p,v}^\circ \right| \leq \frac{1}{v} \text{ whenever } |\theta| \geq \frac{1}{v}, \\ \left| Q_{p,v}^\circ \right| \leq 1 + \frac{1}{v} \text{ everywhere on } G. \end{array} \right\} \quad (10.9)$$

If θ is a t.p., then $Q_{p,v}^\circ$ is a t.p. and

$$\text{sp}(Q_{p,v}^\circ) \subseteq [\text{sp}(\theta)]. \quad (10.10)$$

From (10.9) we obtain

$$\left| |\theta| - \theta Q_{p,v}^\circ \right| \leq \begin{cases} v^{-1} |\theta| & \text{whenever } |\theta| \geq \frac{1}{v}, \\ \left(2 + \frac{1}{v}\right) |\theta| & \text{everywhere,} \end{cases}$$

whence it follows that, if $\theta \neq 0$,

$$\left| \int_G \theta Q_{p,v}^\circ d\lambda_G \right| \geq (1 - v^{-1}) \|\theta\|_1 - v^{-1} (2 + v^{-1})$$

$$\geq (1 - 2v^{-\frac{1}{2}}) \|\theta\|_1 \quad (10.11)$$

provided $v \geq 9 \|\theta\|_1^{-2}$.

Taking $\theta = D_{\Delta_j}$ and $p_j \geq \|D_{\Delta_j}\|$, the trigonometric polynomials

$$Q_j' = \left(1 + \frac{1}{v}\right)^{-1} Q_{p_j,v}^\circ = \left(1 + \frac{1}{v}\right)^{-1} q_{p_j,v}(D_{\Delta_j}, \bar{D}_{\Delta_j}) \quad (10.12)$$

are then seen from (10.9), (10.10) and (10.11) to satisfy

$$\left. \begin{aligned} \| Q'_j \| &\leq 1, \\ \text{sp } (Q'_j) &\subseteq [A_j], \\ \left| \int v D_{A_j} Q'_j d\lambda_G \right| &\geq (1 - 3v^{-\frac{1}{2}}) \| D_{A_j} \|_1 \end{aligned} \right\} \quad (10.13)$$

provided v is chosen $\geq 9 \| D_{A_j} \|_1^{-1}$. In view of (7.6), we may choose the integer $v \geq \max_j (36, 9 \| D_{A_j} \|_1^{-1})$. Then (10.13) shows that there are unimodular complex numbers ξ_j such that the $Q_j = \xi_j Q'_j$ satisfy (7.7).

APPENDIX

Rudin-Shapiro sequences

A.1 NOTATIONS AND DEFINITIONS. As hitherto, all topological groups G are assumed to be Hausdorff; and, for any locally compact group G , λ_G will denote a selected left Haar measure, with respect to which the Lebesgue spaces $L^p(G)$ are to be formed. $C_c(G)$ denotes the set of complex-valued continuous functions on G having compact supports.

If X and Y are topological groups, $\text{Hom } (X, Y)$ denotes the set of continuous homomorphisms of X into Y .

Suppose henceforth G to be locally compact. As in 5.1, if $k \in C_c(G)$, T_k will denote the convolution operator

$$f \mapsto f * k$$

with domain $C_c(G)$ and range in $C_c(G)$; and $\| k \|_{p,q}$ will denote the (p, q) -norm of this operator, i.e., the smallest real number $m \geq 0$ such that

$$\| f * k \|_q \leq m \| f \|_p \quad (f \in C_c(G)).$$

It is well-known that, if G is Abelian, $\| k \|_{2,2}$ is equal to

$$\| \hat{k} \|_\infty = \sup_{\gamma \in \Gamma} | \hat{k}(\gamma) |,$$

where Γ is the character group of G and \hat{k} is the Fourier transform of k . (Something similar is true whenever G is compact, but we shall not use this.)

U -RS-sequences on G are as defined in 5.4.