

# 8. VECTORBUNDLES AND SYSTEMS

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Then using the results above one shows that

$$\underline{t} \underline{s} \overline{\underline{0}(\kappa)} = \overline{\underline{0}(\kappa)}, \underline{s} \underline{t} \overline{\underline{U}(\kappa)} = \overline{\underline{U}(\kappa)}$$

so that  $\underline{t}$  and  $\underline{s}$  set up a bijective correspondence between the closures of orbits in the two cases and hence induce a bijective order preserving correspondence between the sets of orbits themselves.

## 8. VECTORBUNDLES AND SYSTEMS

This section contains a modified version of the construction of Hermann-Martin [14] associating a vectorbundle  $E(\Sigma)$  over the Riemann sphere  $\mathbf{P}^1(\mathbf{C})$  to every  $\Sigma = (A, B) \in L_{m,n}^{cr}$ . This version makes it almost trivial to see that  $E(\Sigma)$  splits as a direct sum of line bundles  $L(\kappa_i)$ ,  $i = 1, \dots, m$  where  $\kappa = (\kappa_1, \dots, \kappa_m)$  is the set of Kronecker indices of  $\Sigma$ .

The first thing needed is some more information on the universal bundle  $\xi_m$ .

**8.1. On the Universal Bundle**  $\xi_m \rightarrow \mathbf{G}_n(\mathbf{C}^{n+m})$ . Let  $V$  be a complex  $n + m$  dimensional vector space and  $V^* = \text{Hom}_{\mathbf{C}}(V, \mathbf{C})$  its dual vector space. Given  $x \in \mathbf{G}_n(\mathbf{C}^{n+m})$  define  $x^* = \{y \in V^* \mid \langle y, v \rangle = 0 \text{ for all } v \in V\}$  where  $\langle \cdot, \cdot \rangle$  denotes the usual pairing  $V^* \times V \rightarrow \mathbf{C}$ . Then  $x^*$  is  $m$ -dimensional and  $x \mapsto x^*$  defines a holomorphic isomorphism

$$(8.2) \quad d : \mathbf{G}_n(V) \rightarrow \mathbf{G}_m(V^*) .$$

Now  $v \in V/x$  defines a unique homomorphism  $v^T : x^* \rightarrow \mathbf{C}$  as follows:

$v^T(a) = \langle a, \tilde{v} \rangle$  for all  $a \in x^*$ , where  $\tilde{v} \in V$  is any representative of  $v$ . This is well defined because  $\langle a, b \rangle = 0$  for all  $b \in x$  if  $a \in x^*$ . This defines an isomorphism between the pullback  $(d^{-1}) \xi_m$  and the dual of the subbundle  $\eta_m$  on  $G_m(V^*)$  defined by

$$\eta_m = \{(x^*, w) \in \mathbf{G}_m(V^*) \times V^* \mid w \in x^*\}$$

It follows that  $\xi_m$  is a subbundle of an  $n + m$  dimensional trivial bundle  $\mathbf{G}_n(\mathbf{C}^{n+m}) \times \mathbf{C}^{n+m}$ . Because  $\mathbf{G}_n(\mathbf{C}^{n+m})$  is projective (compact) all holomorphic maps  $\mathbf{G}_n(\mathbf{C}^{n+m}) \rightarrow \mathbf{C}$  are constant so that the space of holomorphic sections  $\Gamma(\mathbf{G}_n(\mathbf{C}^{n+m}) \times \mathbf{C}^{n+m}, \mathbf{G}_n(\mathbf{C}^{n+m}))$  is of dimension  $n + m$ . As a subbundle of a trivial  $(n + m)$ -dimensional bundle  $\xi_m$  can therefore have at most  $(n + m)$  linearly

independent holomorphic sections. But we have already found  $(n+m)$  linearly independent sections viz. the  $\varepsilon_1, \dots, \varepsilon_{n+m}$  defined by  $\varepsilon_i(x) = e_i \bmod x$  where  $e_i$  is the  $i$ -th standard basis vector of  $\mathbf{C}^{n+m}$ . Therefore

$$(8.3) \quad \dim \Gamma(\xi_m, \mathbf{G}_n(\mathbf{C}^{n+m})) = n + m$$

Now let  $A \in \mathbf{GL}_{n+m}(\mathbf{C})$ . Then  $A$  induces a holomorphic automorphism  $A^*$  of  $\mathbf{G}_m(\mathbf{C}^{n+m})$  defined by  $x \mapsto Ax$ . Then, of course, there is an induced isomorphism  $A^{-1} : \mathbf{C}^{n+m}/Ax \rightarrow \mathbf{C}^{n+m}/x$  which for varying  $x$  induces an isomorphism

$$(8.4) \quad A_* \xi_m \simeq \xi_m, A \in \mathbf{GL}_{n+m}(\mathbf{C})$$

8.5. *The Line Bundles  $L(i)$  over  $\mathbf{P}^1(\mathbf{C})$ .* The Riemann sphere  $\mathbf{P}^1(\mathbf{C}) = S^2$  can be obtained by gluing together two copies of  $\mathbf{C}$  along the open subsets  $\mathbf{C} \setminus \{0\}$  by the isomorphism

$$\mathbf{C} \setminus \{0\} \rightarrow \mathbf{C} \setminus \{0\}, s \mapsto t = s^{-1}$$

A line bundle over  $\mathbf{P}^1(\mathbf{C})$  is then obtained by giving a holomorphic isomorphism  $\mathbf{C} \setminus \{0\} \times \mathbf{C} \rightarrow \mathbf{C} \setminus \{0\} \times \mathbf{C}$  linear in the second variable compatible with the above isomorphism. Obviously the only possibilities are  $(s, v) \rightarrow (s^{-1}, s^i v)$  for  $i \in \mathbf{Z}$ . This gives us the following commutative diagram identifications

$$\begin{array}{ccccc} \mathbf{C} \times \mathbf{C} & \supset & \mathbf{C} \setminus \{0\} \times \mathbf{C} & \longrightarrow & \mathbf{C} \setminus \{0\} \times \mathbf{C} \subset \mathbf{C} \times \mathbf{C} \\ \uparrow s_1 & & \downarrow & & \downarrow \\ \mathbf{C} & \supset & \mathbf{C} \setminus \{0\} & \xrightarrow{(s, v) \rightarrow (s^{-1}, s^i v)} & \mathbf{C} \setminus \{0\} \times \mathbf{C} \subset \mathbf{C} \times \mathbf{C} \\ \uparrow s_2 & & \downarrow & & \downarrow \\ & & \mathbf{C} \setminus \{0\} & \xrightarrow{s \rightarrow s^{-1} = t} & \mathbf{C} \setminus \{0\} \end{array}$$

The corresponding holomorphic line bundle is denoted  $L(-i)$ . A section of  $L(-i)$  consists of two holomorphic mappings  $s_1, s_2$  of the form  $s \rightarrow (s, f(s)), t \rightarrow (t, g(t))$  such that  $s^i f(s) = g(s^{-1})$ . It readily follows that  $f(s)$  must be a polynomial of degree  $\leq -i$ . Thus

$$(8.6) \quad \dim \Gamma(L(i)) = 0 \quad \text{if } i < 0$$

$$(8.7) \quad \dim \Gamma(L(i)) = i + 1 \quad \text{if } i \geq 0$$

8.8. *The (modified) Hermann-Martin vectorbundle of a system.* Let  $\Sigma = (A, B)$  be a pair of real or complex matrices of sizes  $n \times n$  and  $n \times m$ . Then  $(A, B)$  is completely reachable (cr) iff the  $n \times (n+m)$  matrix  $(sI - A; B)$  is of rank  $n$  for all complex values of  $s$ . So if  $\Sigma = (A, B)$  is cr one can define a holomorphic map  $\psi_\Sigma$  by

$$(8.9) \quad \psi_{\Sigma} : \mathbf{P}^1(\mathbf{C}) \rightarrow \mathbf{G}_n(\mathbf{C}^{n+m}), s \mapsto \text{Row}(sI - A; B), \infty \mapsto \text{Row}(I; 0)$$

where  $\text{Row}(M)$  for an  $n \times (m+n)$  matrix  $M$  denotes the subspace of  $\mathbf{C}^{n+m}$  generated by the rows of  $M$ . The vectorbundle  $E(\Sigma)$  over  $\mathbf{P}^1(\mathbf{C})$  is now defined by

$$(8.10) \quad E(\Sigma) = \psi_{\Sigma}^! \xi_m$$

8.11. *Proposition.*  $E(\Sigma)$  depends only on the feedback orbit of  $\Sigma$ .

Indeed one easily checks that  $\Sigma = (A, B), \Sigma' = (A', B') \in L_{m,n}^{cr}$  are feedback equivalent (cf. 2.6 above) iff there are constant invertible matrices  $P, Q$  such that

$$P(sI - A; B)Q = (sI - A'; B').$$

Now  $\text{Row}(PM) = \text{Row}(M)$  and postmultiplication with  $Q$  changes  $\psi_{\Sigma}$  to  $\psi_{\Sigma'} \circ \psi_{\Sigma}$  and

$$E(\Sigma') = \psi_{\Sigma}^! (\xi_m) = \psi_{\Sigma}^! (Q^! \xi_m) \simeq (\psi_{\Sigma}^! (\xi_m) = E(\Sigma))$$

by 8.4 above, proving the proposition.

Thus to determine  $E(\Sigma)$  we can assume that  $\Sigma = (A, B)$  is in Brunowsky canonical form which means that  $A, B$  takes the form

0 1 0	0	0	$\kappa_1$
0 1 0	0	0	
0 0 0	0	0	
			$\kappa_2$
0 1 0	0	0	
0 0 0	0	0	
			$\kappa_3$
0 0 0	0	0	
0 0 0	0	0	

in case  $m = 3$ , where  $(\kappa_1, \kappa_2, \kappa_3) = \kappa(A, B)$  are the Kronecker indices of  $\Sigma = (A, B)$ . (The general case is evident from this example); every  $(A, B) \in U(\kappa)$  is feedback equivalent to such a pair [30, 9]. The matrix  $(sI - A; B)$  is now easily written down, and one observes that for all

$$s \neq 0, \infty, e_1 \equiv e_2 \equiv \dots \equiv e_{\kappa_1} \equiv e_{n+1} \pmod{\text{Row}(sI - A; B)},$$

i.e. mod  $\psi_\Sigma(s)$  and for  $s = 0$ ,  $e_2 \equiv \dots \equiv e_{\kappa_1} \equiv e_{n+1} \equiv 0$  but  $e_1 \neq 0$  and for  $s = \infty$ ,  $e_1 \equiv \dots \equiv e_{\kappa_1} \equiv 0$  and  $e_{n+1} \neq 0$ . It follows that the vectors

$$\varepsilon_1(\psi_\Sigma(s)), \dots, \varepsilon_{\kappa_1}(\psi_\Sigma(s)), \varepsilon_{n+1}(\psi_\Sigma(s))$$

span a one-dimensional subspace of  $\xi_m(\psi_\Sigma(s))$  for all  $s$  so that  $E(\Sigma) \simeq \psi_\Sigma^! \xi_m$  contains a line bundle  $L_1$  which admits at least  $\kappa_1 + 1$  linearly independent holomorphic sections viz. the  $\varepsilon_1, \dots, \varepsilon_{\kappa_1}, \varepsilon_{n+1}$ . Similar relations hold for

$$\varepsilon_{\kappa_1 + \dots + \kappa_{i-1} + 1}, \dots, \varepsilon_{\kappa_1 + \dots + \kappa_i}, \varepsilon_{n+1}$$

for all  $i = 1, \dots, m$  giving us subbundles  $L_i$ ,  $i = 1, \dots, m$  which admit at least  $\kappa_i + 1$  linearly independent holomorphic sections. This exhausts the  $\varepsilon_i$  and because the  $\varepsilon_1(x), \dots, \varepsilon_{n+m}(x)$  span  $\xi_m(x)$  for all  $x \in \mathbf{G}_n(\mathbf{C}^{n+m})$  it follows that  $E(\Sigma) = \bigoplus L_i$ . As the pullback of the bundle  $\xi_m$ ,  $E(\Sigma)$  itself is a subbundle of an  $(n+m)$ -dimensional trivial bundle. Because  $\mathbf{P}^1(\mathbf{C})$  is projective it follows (as before) that  $E(\Sigma)$  has at most  $n + m$  linearly independent holomorphic sections. But  $L_i$  has at least  $\kappa_i + 1$  linearly independent sections, hence  $\bigoplus L_i$  has at least  $\sum (\kappa_i + 1) = n + m$  linearly independent sections which proves that  $L_i$  has precisely  $\kappa_i + 1$  linearly independent sections and hence identifies  $L_i$  as the bundle  $L(\kappa_i)$  described above in (8.5). We have reproved the theorem of Hermann and Martin [14].

**8.12. Theorem.** Keeping the notations introduced above in (8.10) and (8.5) we have  $E(\Sigma) \simeq \bigoplus_{i=1}^m L(\kappa_i)$ .

Still another proof of this theorem, using the Riemann-Roch theorem is found in Byrnes [33].

**8.13. The Correspondence B.** (cf. the diagram in section 5 above). The mapping  $\Sigma \mapsto E(\Sigma)$  is obviously continuous. Thus the result  $\overline{U(\kappa)} \supset U(\lambda) \leftrightarrow \kappa > \lambda$  can be deduced from Shatz's theorem (cf. 2.9). Inversely Shatz's theorem for positive bundles over  $\mathbf{P}^1(\mathbf{C})$  can be deduced from the result on feedback orbits because every positive bundle arises as an  $E(\Sigma)$ . By tensoring with a suitable  $L(r)$ ,  $r$  high enough, the result is then extended to arbitrary bundles over  $\mathbf{P}^1(\mathbf{C})$ .

## 9. VECTORBUNDLES, SYSTEMS AND SCHUBERT CELLS

**9.1. Partitions and Schubert-cells.** Let  $\kappa$  be a partition of  $n$ . To  $\kappa$  we associate the following increasing sequence of  $n$  numbers  $\tau(\kappa)$ .