

# THE CLEBSCH-GORDAN FORMULAS

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Objektyp: **Article**

Zeitschrift: **L'Enseignement Mathématique**

Band (Jahr): **29 (1983)**

Heft 1-2: **L'ENSEIGNEMENT MATHÉMATIQUE**

PDF erstellt am: **02.05.2024**

Persistenter Link: <https://doi.org/10.5169/seals-52986>

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## THE CLEBSCH-GORDAN FORMULAS

by Daniel FLATH

### 0. INTRODUCTION

The explicit decomposition of tensor products of irreducible representations is of fundamental importance in many applications of representation theory. For finite dimensional representations of the Lie algebra  $\mathfrak{sl}_2$  definitive results are contained in the famous Clebsch-Gordan formulas which are constantly and routinely used by physicists in applying the quantum theory of angular momentum. We give in this article a presentation and derivation of equivalent results, Theorems 5.1 and 5.4.

We shall base a study of the representations  $\text{Hom}(V, W)$  (rather than  $V \otimes W$ ) for irreducible  $\mathfrak{sl}_2$ -representations  $V$  and  $W$  on the analysis of a Weyl algebra  $\mathcal{A}$  of polynomial differential operators in two variables. This point of view is one developed in a recent attack on the Clebsch-Gordan problem for  $\mathfrak{sl}_3$  [2].

The usefulness of the Weyl algebra in the resolution of the Clebsch-Gordan problem is well-known. For years physicists have worked with it under the name "boson calculus" [1]. One mathematical reference is [3]. Nothing in the present article is new except possibly the arrangement of the proofs which has been made with the benefit of experience gained working with  $\mathfrak{sl}_3$ . It seems to me that this arrangement has a naturalness and simplicity to recommend it.

I would like to thank L. C. Biedenharn for interesting discussions on the subject of this paper.

### 1. SOME REPRESENTATIONS OF $\mathfrak{sl}_2$

Let  $V = \mathbb{C}[X, Y]$ , the vector space of polynomials in two variables  $X$  and  $Y$ . For integers  $m$  let  $V_m$  be the subspace of homogeneous polynomials of degree  $m$ , with  $V_m = (0)$  for negative  $m$ .

Let  $SL_2(\mathbb{C})$  act linearly on  $V$  as follows:

$$\begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot X = aX + cY \quad \begin{pmatrix} a & b \\ c & d \end{pmatrix} \cdot Y = bX + dY \quad (1.1)$$

$$g \cdot X^a Y^b = (g \cdot X)^a (g \cdot Y)^b \quad \text{for } g \in SL_2(\mathbb{C}). \quad (1.2)$$

Each  $V_m$  is an  $SL_2(\mathbb{C})$  subrepresentation of  $V$ .

By  $\mathfrak{sl}_2$  we denote the Lie algebra of  $2 \times 2$  complex matrices with trace 0. The representation of  $SL_2(\mathbb{C})$  on  $V$  gives rise, through differentiation, to a representation of  $\mathfrak{sl}_2$  on  $V$ .

$$L \cdot v = \left. \frac{d}{dt} \right|_{t=0} \exp(tL) \cdot v \quad \text{for } L \in \mathfrak{sl}_2, v \in V. \quad (1.3)$$

Choose a basis  $E_+, E_-, H$  of  $\mathfrak{sl}_2$  as follows:

$$E_+ = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \quad E_- = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \quad H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}. \quad (1.4)$$

An easy calculation establishes the following equalities of linear endomorphisms of  $V$ .

$$E_+ = X\partial_Y, \quad E_- = Y\partial_X, \quad (1.5)$$

$$H = X\partial_X - Y\partial_Y. \quad (1.6)$$

From (1.5) and (1.6) one easily deduces that each  $V_m$  is an *irreducible* representation of  $\mathfrak{sl}_2$  (and of  $SL_2(\mathbb{C})$ ).

We define for integers  $m, n$  a representation  $\tau$  of  $\mathfrak{sl}_2$  on  $\text{Hom}_{\mathbb{C}}(V_m, V_n)$  by means of formula (1.7).

$$\begin{aligned} (\tau(L) \cdot T)v &= L(Tv) - T(Lv) \\ \text{for } L \in \mathfrak{sl}_2, T \in \text{Hom}_{\mathbb{C}}(V_m, V_n), v \in V_m. \end{aligned} \quad (1.7)$$

The principal result of this article is the explicit decomposition of the  $\mathfrak{sl}_2$ -representations  $\text{Hom}_{\mathbb{C}}(V_m, V_n)$ .

## 2. THE WEYL ALGEBRA $\mathcal{A}$

Let  $\mathcal{A}$  be the subalgebra of  $\text{End}_{\mathbb{C}}(V)$  consisting of polynomial differential operators on  $V = \mathbb{C}[X, Y]$ . The algebra  $\mathcal{A}$  is spanned by the elements

$$D(i, j, a, b) = X^i Y^j \partial_X^a \partial_Y^b. \quad (2.1)$$

The Euler operator  $J$ , which acts as scalar multiplication by  $m$  on  $V_m$ , lies in  $\mathcal{A}$ .

$$J = X\partial_X + Y\partial_Y. \quad (2.2)$$

The next lemma assures us that  $\mathcal{A}$  is large enough for the study of all spaces  $\text{Hom}_{\mathbf{C}}(V_m, V_n)$ .

LEMMA 2.3. *Let  $U$  be a finite dimensional vector subspace of  $V$  and let  $T \in \text{End}_{\mathbf{C}}(U)$ . Then there exists an element of  $\mathcal{A}$  whose restriction to  $U$  equals  $T$ .*

*Proof:* The element  $S = X^c Y^d (\partial_X)^a (\partial_Y)^b \prod_{\substack{m=0 \\ m \neq a+b}}^N (J-m)$  of  $\mathcal{A}$  maps  $X^a Y^b$  to a nonzero multiple of  $X^c Y^d$  and kills all other monomials of degree at most  $N$ . But by enlarging  $U$  we may assume that  $\text{End}_{\mathbf{C}}(U)$  is spanned by restrictions of elements of the form  $S$ .  $\square$

We use the inclusion of  $\mathfrak{sl}_2$  in  $\mathcal{A}$  to define a representation  $\rho$  of  $\mathfrak{sl}_2$  on  $\mathcal{A}$ .

$$\rho(L)a = [L, a] \quad \text{for } L \in \mathfrak{sl}_2, a \in \mathcal{A}. \quad (2.4)$$

For integers  $n$  let  $\mathcal{A}^n$  be the set of  $T$  in  $\mathcal{A}$  such that  $T(V_m) \subset V_{m+n}$  for all  $m$ .

This defines a grading of  $\mathcal{A}$  which is preserved by the action of  $\mathfrak{sl}_2$ .

$$\mathcal{A} = \bigoplus_{n \in \mathbf{Z}} \mathcal{A}^n, \quad \mathcal{A}^m \cdot \mathcal{A}^n \subset \mathcal{A}^{m+n}, \quad (2.5)$$

$$\rho(L)\mathcal{A}^n \subset \mathcal{A}^n \quad \text{for all } L \in \mathfrak{sl}_2. \quad (2.6)$$

The algebra  $\mathcal{A}$  and representation  $\rho$  have been defined just so that the next lemma, which is an immediate consequence of Lemma 2.3, will be true.

LEMMA 2.7. *For each  $m, n$  the restriction map*

$$\text{res}: \mathcal{A}^n \rightarrow \text{Hom}_{\mathbf{C}}(V_m, V_{m+n})$$

*is a surjective homomorphism of  $\mathfrak{sl}_2$  representations.*  $\square$

The method of this paper is to deduce the decomposition of the representations  $\text{Hom}_{\mathbf{C}}(V_m, V_{m+n})$  from the decomposition of the representation  $\rho$  on  $\mathcal{A}$  by means of Lemma 2.7.

### 3. THE THEORY OF THE HIGHEST WEIGHT

Before decomposing the  $\mathfrak{sl}_2$ -space  $\mathcal{A}$  we must review the finite dimensional representation theory of  $\mathfrak{sl}_2$ .

The *weight vectors* of an  $\mathfrak{sl}_2$ -representation  $W$  are the eigenvectors of  $H$  in  $W$ . The *weights* of  $W$  are the eigenvalues of its nonzero weight vectors.

Every finite dimensional  $\mathfrak{sl}_2$ -module is spanned by its weight vectors. The weights of such a representation are all integers and are thus ordered by the usual order on  $\mathbf{R}$ . The largest of a finite set of integral weights is traditionally referred to as the *highest weight*.

Two finite dimensional irreducible  $\mathfrak{sl}_2$ -representations are isomorphic if and only if they have the same highest weights, which are necessarily nonnegative.

The element  $X^a Y^b$  of  $V$  is a weight vector of weight  $a-b$ . This shows that  $X^m$  is a vector of highest weight  $m$  in  $V_m$  and therefore that the  $V_m$  for  $m \geq 0$  form a set of representatives of the equivalence classes of finite dimensional irreducible  $\mathfrak{sl}_2$ -representations; which is precisely why we are studying them is this paper.

The last general fact which we will recall without proof is this: every finite dimensional representation of  $\mathfrak{sl}_2$  is a direct sum of irreducible representations.

Given a representation  $W$  of  $\mathfrak{sl}_2$  which is a sum of finite dimensional representations one often wishes to write it explicitly as a direct sum of irreducible representations, that is, of representations isomorphic to the  $V_m$ . A method for doing this is provided by the observation that the space of weight vectors of highest weight in  $V_m$  is the space annihilated by  $E_+$  and is one dimensional. Thus for each  $v \in W$  of weight  $m$  such that  $E_+ v = 0$ , there is a unique  $\mathfrak{sl}_2$ -homomorphism from  $V_m$  to  $W$  taking  $X^m$  to  $v$ . The explicit decomposition of  $W$  therefore amounts to the determination of a basis consisting of weight vectors of the kernel of  $E_+$  in  $W$ .

### 4. THE DECOMPOSITION OF $\mathcal{A}$

We apply the procedure of the last paragraph to the representation of  $\mathfrak{sl}_2$  on  $\mathcal{A}$ . By definition of  $\rho$  the kernel of  $\rho(E_+)$  is just the commutant of  $E_+$  in  $\mathcal{A}$ .

Let  $\mathcal{B}$  be the subalgebra of  $\mathcal{A}$  generated by  $X$ ,  $\partial_Y$ , and  $J$ .

PROPOSITION 4.1.  $\mathcal{B}$  is the commutant of  $E_+$  in  $\mathcal{A}$ .

*Proof:* One easily verifies that  $E_+$  commutes with  $X$ ,  $\partial_Y$ , and  $J$ , which shows that  $\mathcal{B}$  is contained in the commutant of  $E_+$ .

Let  $U$  be the  $\mathfrak{sl}_2$ -subrepresentation of  $\mathcal{A}$  generated by  $\mathcal{B}$ . The considerations of Section 3 show that the inclusion of the commutant of  $E_+$  in  $\mathcal{B}$  is equivalent to the assertion that  $U$  equals all of  $\mathcal{A}$ . We proceed to establish that equality.

The algebra  $\mathcal{B}$  is spanned as a vector space by the elements

$$J^a X^b (\partial_Y)^c \quad \text{with } a, b, c \geq 0. \quad (4.2)$$

We present two calculations.

$$\begin{aligned} & [E_-, J^a X^b (\partial_Y)^{c+1}] \\ &= - (b+c+1) J^a X^b (\partial_Y)^c \partial_X + b(J+1-b) J^a X^{b-1} (\partial_Y)^c \end{aligned} \quad (4.3)$$

$$\begin{aligned} & [E_-, J^a X^{b+1} (\partial_Y)^c] \\ &= (b+1) J^a X^b (\partial_Y)^c Y - c J^a X^b (\partial_Y)^{c-1} (b+1+X\partial_X) \end{aligned} \quad (4.4)$$

From (4.3) one concludes that  $\mathcal{B} \cdot \partial_X \subset U$ . From that and (4.4) one concludes that  $\mathcal{B} \cdot Y \subset U$ .

Because  $E_-$  commutes with  $\partial_X$  and  $Y$ , one has that

$$\rho(E_-)^n (\mathcal{B} \partial_X) = (\rho(E_-)^n \mathcal{B}) \cdot \partial_X$$

and that

$$\rho(E_-)^n (\mathcal{B} \cdot Y) = (\rho(E_-)^n \mathcal{B}) \cdot Y.$$

Because  $V_m = \bigoplus_{n=0}^{\infty} E_-^n (CX^m)$  one knows that  $U = \bigoplus_{n=0}^{\infty} \rho(E_-)^n \mathcal{B}$ . And thus

$$U \cdot \partial_X \subset U, \quad U \cdot Y \subset U. \quad (4.5)$$

Iterating, we have

$$UY^d (\partial_X)^e \subset U \quad \text{for } d, e \geq 0. \quad (4.6)$$

But  $\mathcal{A}$  is generated as an algebra by  $X$ ,  $Y$ ,  $\partial_X$ , and  $\partial_Y$  and so (4.2) and (4.6) prove that  $U = \mathcal{A}$ .  $\square$

COROLLARY 4.7.  $\mathcal{A}^0$  is the subalgebra of  $\mathcal{A}$  generated by  $\mathfrak{sl}_2$  and  $J$ .

*Proof:*  $\mathcal{A}^0$  is the  $\mathfrak{sl}_2$ -subrepresentation of  $\mathcal{A}$  generated by  $\mathcal{A}^0 \cap \mathcal{B}$ .

$\mathcal{A}^0 \cap \mathcal{B}$  is spanned by the elements (4.2) such that  $b = c$ , all of which are of the form  $J^a E_+^b$ .  $\square$

We remark that the subalgebra of  $\mathcal{A}$  generated by  $\mathfrak{sl}_2$  is canonically isomorphic to the universal enveloping algebra of  $\mathfrak{sl}_2$ . The element  $J(J+2)$  equals  $H^2 + 2(E_+E_- + E_-E_+)$ , the Casimir element for  $\mathfrak{sl}_2$ . Thus  $\mathcal{A}^0$  is a little larger than the enveloping algebra of  $\mathfrak{sl}_2$ .

For integers  $l, n$  define  $\mathcal{B} \begin{pmatrix} n \\ l \end{pmatrix}$  to be the set of  $T \in \mathcal{B} \cap \mathcal{A}^n$  such that  $\rho(H)T = lT$ .

This defines a grading of  $\mathcal{B}$ :

$$\mathcal{B} = \bigoplus \mathcal{B} \begin{pmatrix} n \\ l \end{pmatrix}, \quad \mathcal{B} \begin{pmatrix} n \\ l \end{pmatrix} \cdot \mathcal{B} \begin{pmatrix} n' \\ l' \end{pmatrix} \subset \mathcal{B} \begin{pmatrix} n+n' \\ l+l' \end{pmatrix}. \quad (4.8)$$

The generators of  $\mathcal{B}$  fit in as follows:

$$J \in \mathcal{B} \begin{pmatrix} 0 \\ 0 \end{pmatrix}, \quad X \in \mathcal{B} \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \quad \partial_Y \in \mathcal{B} \begin{pmatrix} -1 \\ 1 \end{pmatrix}. \quad (4.9)$$

PROPOSITION 4.10. i)  $\mathcal{B} \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \mathbf{C}[J]$ .

ii)  $\mathcal{B} \begin{pmatrix} n \\ l \end{pmatrix} \neq 0$  if and only if  $l \geq 0, |n| \leq l$ , and  $l \equiv n \pmod{2}$ . If these conditions are met, then

$$\mathcal{B} \begin{pmatrix} n \\ l \end{pmatrix} = \mathbf{C}[J] \cdot X^{\frac{l+n}{2}} (\partial_Y)^{\frac{l-n}{2}} \quad (4.11)$$

*Proof:* Immediate.  $\square$

We note that the condition that  $\mathcal{B} \begin{pmatrix} n \\ l \end{pmatrix} \neq (0)$  may be rephrased thus:  $l \geq 0$  and  $n$  is a weight of  $V_l$ .

## 5. DECOMPOSITION OF $\text{Hom}(V_m, V_{m+n})$

THEOREM 5.1. Let  $l, m, n$  be integers with  $l, m, m+n \geq 0$ . There is an  $\mathfrak{sl}_2$ -subrepresentation of  $\text{Hom}_{\mathbf{C}}(V_m, V_{m+n})$  which is isomorphic to  $V_l$  if and only if  $|n| \leq l, n \equiv l \pmod{2}$ , and  $m \geq \frac{l-n}{2}$ .

Moreover, when these conditions are met there is a unique such subrepresentation. A weight vector of weight  $l$  in it is given by

$$X^{\frac{l+n}{2}}(\partial_Y)^{\frac{l-n}{2}}.$$

*Proof:* By Lemma 2.7 and the definition of  $\mathcal{B}\left(\begin{smallmatrix} n \\ l \end{smallmatrix}\right)$ , a weight vector of weight  $l$  of the subrepresentation sought must be the restriction to  $V_m$  of an element of  $\mathcal{B}\left(\begin{smallmatrix} n \\ l \end{smallmatrix}\right)$ . By Lemma 4.10ii, all such restrictions are scalar multiples of the restriction of  $X^{\frac{l+n}{2}}(\partial_Y)^{\frac{l-n}{2}}$  to  $V_m$ , which restriction is nonzero only when  $m \geq \frac{l-n}{2}$ .  $\square$

It is interesting to observe that the weight  $l$  weight vector in  $\text{Hom}_{\mathbf{C}}(V_m, V_{m+n})$  given by Theorem 5.1 is "independent" of  $m$ .

Finally we want to give formulas for the weight vectors in  $\text{Hom}(V_m, V_{m+n})$  of all weights, not just of highest weight.

For integers  $l, i, j$  with  $l \geq 0$  and  $0 \leq i, j \leq l$ , define an element  $A_l(i, j)$  of  $\mathcal{A}$ :

$$A_l(i, j) = \sum_{\alpha \leq k \leq \beta} (-1)^k \binom{l}{i} \binom{i}{k} \binom{l-i}{j-k} X^{l-i-j+k} Y^{j-k} (\partial_X)^k (\partial_Y)^{i-k}$$

$$\text{with } \alpha = \sup\{0, i+j-l\} \quad \text{and} \quad \beta = \inf\{i, j\}. \quad (5.2)$$

$$\text{LEMMA 5.3.} \quad \rho(E_-)^j \binom{l}{i} X^{l-i} (\partial_Y)^i = j! A_l(i, j).$$

*Proof:* By induction on  $j$ . Use the formula:

$$[E_-, D(i, j, a, b)] = iD(i-1, j+1, a, b) - bD(i, j, a+1, b-1)$$

with  $D$  as in (2.1).  $\square$

**THEOREM 5.4.** Let  $l, m, n$  be such that there is a subrepresentation of  $\text{Hom}_{\mathbf{C}}(V_m, V_{m+n})$  isomorphic to  $V_l$ . Then an inclusion of representations  $\phi: V_l \rightarrow \text{Hom}_{\mathbf{C}}(V_m, V_{m+n})$  may be given by the formula:

$$\phi(X^{l-j} Y^j) = \frac{1}{\binom{l}{j}} A_l\left(\frac{l-n}{2}, j\right). \quad (5.5)$$



*Proof:* This depends on (5.3) and the calculation in  $V_l$  that

$$E_-^j X^l = \frac{l!}{(l-j)!} X^{l-j} Y^j.$$

□

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(Reçu le 9 mai 1983)

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