

# §1. The Hilbert modular group and the Euler number of its orbit space

Objektyp: **Chapter**

Zeitschrift: **L'Enseignement Mathématique**

Band (Jahr): **19 (1973)**

Heft 3-4: **L'ENSEIGNEMENT MATHÉMATIQUE**

PDF erstellt am: **27.04.2024**

## **Nutzungsbedingungen**

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern.

Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden.

Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

## **Haftungsausschluss**

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

equivalent condition is that, for any compact subsets  $K_1, K_2$  of  $X$ , the set of all  $g \in G$  with  $g(K_1) \cap K_2 \neq \emptyset$  is finite.

For a properly discontinuous action, the orbit space  $X/G$  is a Hausdorff space. For any  $x \in X$ , there exists a neighborhood  $U$  of  $x$  such that the (finite) set of all  $g \in G$  with  $gU \cap U \neq \emptyset$  equals the isotropy group  $G_x = \{g \mid g \in G, g(x) = x\}$ . If  $X$  is a normal complex space and  $G$  acts properly discontinuously by biholomorphic maps, then  $X/G$  is a normal complex space.

THEOREM. (H. Cartan [8], and [66] Exp. I). *If  $X$  is a bounded domain in  $\mathbb{C}^n$ , then the group  $\mathfrak{A}$  of all biholomorphic maps  $X \rightarrow X$  with the topology of compact convergence is a Lie group. For compact subsets  $K_1, K_2$  of  $X$ , the set of all  $g \in \mathfrak{A}$  such that  $gK_1 \cap K_2 \neq \emptyset$  is a compact subset of  $\mathfrak{A}$ . A subgroup of  $\mathfrak{A}$  is discrete if and only if it acts properly discontinuously.*

If  $X$  is a bounded symmetric domain, then a discrete subgroup  $\Gamma$  of  $\mathfrak{A}$  operates freely if and only if it has no elements of finite order.

0.8. I wish to express my gratitude to M. Kreck and T. Yamazaki. Their notes of my lectures in Bonn (Summer 1971) and Tokyo (February-March 1972) were very useful when writing this paper. I should like to thank D. Zagier for mathematical and computational help. Conversations and correspondence with H. Cohn, E. Freitag, K.-B. Gundlach, W. F. Hammond, G. Harder, H. Helling, C. Meyer, W. Meyer, J.-P. Serre, A. V. Sokolovski, A. J. H. M. van de Ven (see 0.2) and A. Vinogradov were also of great help.

Last but not least, I have to thank Y. Kawada and K. Kodaira for inviting me to Japan. I am grateful to them and all the other Japanese colleagues for making my stay most enjoyable, mathematically stimulating, and profitable by many conversations and discussions.

## § 1. THE HILBERT MODULAR GROUP AND THE EULER NUMBER OF ITS ORBIT SPACE

1.1. Let  $\mathfrak{H}$  be the upper half plane of all complex numbers with positive imaginary part.  $\mathfrak{H}$  is embedded in the complex projective line  $\mathbf{P}_1\mathbb{C}$ . A complex matrix  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$  with  $ad - bc \neq 0$  operates on  $\mathbf{P}_1\mathbb{C}$  by

$$z \mapsto \frac{az + b}{cz + d}$$

The matrices with real coefficients and  $ad - bc > 0$  carry  $\mathfrak{H}$  over into itself and constitute a group  $\mathbf{GL}_2^+(\mathbf{R})$ . The group

$$(1) \quad \mathbf{PL}_2^+(\mathbf{R}) = \mathbf{GL}_2^+(\mathbf{R}) / \left\{ \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \mid a \neq 0 \right\}$$

operates effectively on  $\mathfrak{H}$ . As is well known, this is the group of all biholomorphic maps of  $\mathfrak{H}$  to itself.

Writing  $z = x + iy$  ( $x, y \in \mathbf{R}, y > 0$ ) we have on  $\mathfrak{H}$  the Riemannian metric

$$\frac{(dx)^2 + (dy)^2}{y^2}$$

which is invariant under the action of  $\mathbf{PL}_2^+(\mathbf{R})$ . The volume element equals  $y^{-2} dx \wedge dy$ .

We introduce the Gauß-Bonnet form

$$(2) \quad \omega = -\frac{1}{2\pi} \cdot \frac{dx \wedge dy}{y^2}$$

If  $\Gamma$  is a discrete subgroup of  $\mathbf{PL}_2^+(\mathbf{R})$  acting freely on  $\mathfrak{H}$  and such that  $\mathfrak{H}/\Gamma$  is compact, then  $\mathfrak{H}/\Gamma$  is a compact Riemann surface of a certain genus  $p$  whose Euler number  $e(\mathfrak{H}/\Gamma) = 2 - 2p$  is given by the formula

$$(3) \quad e(\mathfrak{H}/\Gamma) = \int_{\mathfrak{H}/\Gamma} \omega$$

We recall that the discrete subgroup  $\Gamma$  acts freely if and only if  $\Gamma$  has no elements of finite order.

1.2. Consider the  $n$ -fold cartesian product  $\mathfrak{H}^n = \mathfrak{H} \times \dots \times \mathfrak{H}$ . Let  $\mathfrak{U}$  be the group of all biholomorphic maps  $\mathfrak{H}^n \rightarrow \mathfrak{H}^n$ . The connectedness component of the identity of  $\mathfrak{U}$  equals the  $n$ -fold direct product of  $\mathbf{PL}_2^+(\mathbf{R})$  with itself. We have an exact sequence

$$(4) \quad 1 \rightarrow \mathbf{PL}_2^+(\mathbf{R}) \times \dots \times \mathbf{PL}_2^+(\mathbf{R}) \rightarrow \mathfrak{U} \rightarrow S_n \rightarrow 1,$$

where  $S_n$  is the group of permutations of  $n$  objects corresponding here to the permutations of the  $n$  factors of  $\mathfrak{H}^n$ . The sequence (4) presents  $\mathfrak{U}$  as a semi-direct product. On  $\mathfrak{H}^n$  we use coordinates  $z_1, z_2, \dots, z_n$  with  $z_k = x_k + iy_k$  and  $y_k > 0$ . We have a metric invariant under  $\mathfrak{U}$ :

$$\sum_{j=1}^n \frac{(dx_j)^2 + (dy_j)^2}{y_j^2}$$

The corresponding Gauß-Bonnet form  $\omega$  is obtained by multiplying the forms belonging to the individual factors; see (2). Therefore

$$(5) \quad \omega = (-1)^n \cdot \frac{1}{(2\pi)^n} \frac{dx_1 \wedge dy_1}{y_1^2} \wedge \dots \wedge \frac{dx_n \wedge dy_n}{y_n^2}$$

If  $\Gamma$  is a discrete subgroup of  $\mathfrak{A}$  acting freely on  $\mathfrak{H}^n$  and such that  $\mathfrak{H}^n/\Gamma$  is compact, then  $\mathfrak{H}^n/\Gamma$  is a compact complex manifold whose Euler number is given by

$$(6) \quad e(\mathfrak{H}^n/\Gamma) = \int_{\mathfrak{H}^n/\Gamma} \omega.$$

$e(\mathfrak{H}^n/\Gamma)$  is always divisible by  $2^n$ : for a compact complex  $n$ -dimensional manifold  $X$  we denote by  $[X]$  the corresponding element in the complex cobordism group [58]. We have

$$(7) \quad [\mathfrak{H}^n/\Gamma] = 2^{-n} e(\mathfrak{H}^n/\Gamma) \cdot [(\mathbf{P}_1\mathbf{C})^n].$$

This follows, because the Chern numbers of  $\mathfrak{H}^n/\Gamma$  are proportional [37] to those of  $(\mathbf{P}_1\mathbf{C})^n$ . In particular, the Euler number and the arithmetic genus (Todd genus) of  $(\mathbf{P}_1\mathbf{C})^n$  are  $2^n$  and 1 respectively and thus  $2^{-n} \cdot e(\mathfrak{H}^n/\Gamma)$  is the arithmetic genus of  $\mathfrak{H}^n/\Gamma$ .

1.3. We shall study special subgroups of the group of biholomorphic automorphisms of  $\mathfrak{H}^n$ . They are in fact discrete subgroups of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$ . Let  $K$  be an algebraic number field of degree  $n$  over the field  $\mathbf{Q}$  of rational numbers. We assume  $K$  to be totally real, i.e., there are  $n$  different embeddings of  $K$  into the reals. We denote them by

$$K \rightarrow \mathbf{R}, \quad x \mapsto x^{(j)}, \quad x \in K$$

We may assume  $x = x^{(1)}$ . The element  $x$  is called totally positive (in symbols,  $x \gg 0$ ) if all  $x^{(j)}$  are positive. The group

$$\mathbf{GL}_2^+(K) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in K, ad - bc \gg 0 \right\}$$

acts on  $\mathfrak{H}^n$  as follows: for  $z = (z_1, \dots, z_n) \in \mathfrak{H}^n$  we have

$$z_j \mapsto \frac{a^{(j)} z_j + b^{(j)}}{c^{(j)} z_j + d^{(j)}}.$$



The corresponding projective group

$$\mathbf{PL}_2^+(K) = \mathbf{GL}_2^+(K) / \left\{ \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix}, a \in K^* \right\}$$

acts effectively on  $\mathfrak{H}^n$ . Thus  $\mathbf{PL}_2^+(K) \subset (\mathbf{PL}_2^+(\mathbf{R}))^n$ .

Let  $\mathfrak{o}_K$  be the ring of algebraic integers in  $K$ , then by considering only matrices with  $a, b, c, d \in \mathfrak{o}_K$  and  $ad - bc = 1$  we get the subgroup  $\mathbf{SL}_2(\mathfrak{o}_K)$  of  $\mathbf{GL}_2^+(K)$ . The group  $\mathbf{SL}_2(\mathfrak{o}_K) / \{1, -1\}$  is the famous Hilbert modular group. It is a discrete subgroup of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$ . We shall denote it by  $G(K)$  or simply by  $G$ , if no confusion can arise.

$$G = \mathbf{SL}_2(\mathfrak{o}_K) / \{1, -1\} \subset \mathbf{PL}_2^+(K) \subset (\mathbf{PL}_2^+(\mathbf{R}))^n$$

The Hilbert modular group was studied by Blumenthal [5]. An error of Blumenthal concerning the number of cusps was corrected by Maaß [53].

The quotient space  $\mathfrak{H}^n/G$  is not compact, but it has a finite volume with respect to the invariant metric. It is natural to use the Euler volume given in (5). The quotient space  $\mathfrak{H}^n/G$  is a complex space and not a manifold (for  $n > 1$ ). We shall return to this point later. But the volume of  $\mathfrak{H}^n/G$  is well-defined and was calculated by Siegel ([72], [74]). The  $\zeta$ -function of the field  $K$  enters. It is defined by

$$\zeta_K(s) = \sum_{\substack{\mathfrak{a} \in \mathfrak{o}_K \\ \mathfrak{a} \text{ an ideal}}} \frac{1}{N(\mathfrak{a})^s}.$$

This sum extends over all ideals in  $\mathfrak{o}_K$ , and  $N(\mathfrak{a})$  denotes the norm of  $\mathfrak{a}$ . The series converges if the real part of the complex number  $s$  is greater than 1. It converges absolutely uniformly on any compact set contained in the half plane  $\operatorname{Re}(s) > 1$ . The function  $\zeta_K$  can be holomorphically extended to  $\mathbf{C} - \{1\}$ . It has a pole of order 1 for  $s = 1$ . Let  $D_K$  denote the discriminant of the field  $K$ .

Then

$$(8) \quad D_K^{\frac{s}{2}} \cdot \pi^{-\frac{sn}{2}} \cdot \Gamma(s/2)^n \cdot \zeta_K(s)$$

is invariant under the substitution  $s \rightarrow 1 - s$ .

This is the well-known functional equation of  $\zeta_K(s)$ . It can be found in most books on algebraic number theory. See, for example, [52].

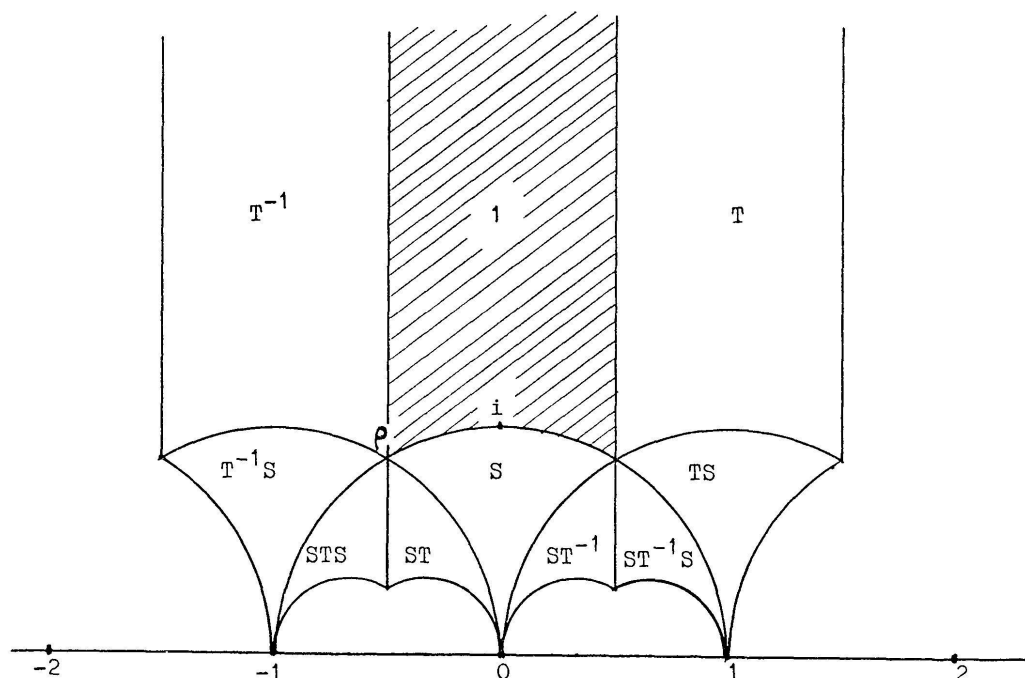
**THEOREM (Siegel).** *The Euler volume of  $\mathfrak{H}^n/G$  relates to the zeta-function as follows*

$$(9) \quad \int_{\mathfrak{H}^n/G} \omega = 2 \zeta_K(-1).$$

The formula (19) of [72] uses the volume element  $\frac{dx_1 \wedge dy_1}{y_1^2} \wedge \dots \wedge \frac{dx_n \wedge dy_n}{y_n^2}$  and gives for the volume the value  $2 \pi^{-n} \cdot D_K^{3/2} \zeta_K(2)$ . If we multiply this value with  $(-1)^n \cdot (2\pi)^{-n}$ , we get  $\int_{\mathfrak{H}^n/G} \omega$ .

Formula (9) follows from the functional equation. It was pointed out by J. P. Serre [69] that such Euler volume formulas may be written more conveniently using values of the zeta functions at negative odd integers.  $2\zeta_K(-1)$  is a rational number, a result going back to Hecke, see Siegel ([73] Ges. Abh. I, p. 546, [76]) and Klingen [44]. The rational number  $2\zeta_K(-1)$  is in fact the rational Euler number of  $G$  in the sense of Wall [77], as we shall see later.

1.4. We shall write down explicit formulas for  $2\zeta_K(-1)$  in some cases. For  $K = \mathbf{Q}$ , the group  $G$  is the ordinary modular group acting on  $\mathfrak{H}$ . A fundamental domain is described by the famous picture (see, for example, [68] p. 128).



The volume of  $\mathfrak{H}/G$  equals the volume of the shaded domain. By Siegel's general formula, the volume of the shaded domain with respect to  $\frac{dx \wedge dy}{y^2}$  equals

$$2\pi^{-1} \sum_{n=1}^{\infty} \frac{1}{n^2} = \frac{\pi}{3}.$$

Therefore, we get for the Euler volume

$$(10) \quad \int_{\mathfrak{H}/G} \omega = -\frac{1}{6} = 2\zeta_{\mathbf{Q}}(-1).$$

We consider the real quadratic fields  $K = \mathbf{Q}(\sqrt{d})$  where  $d$  is a square-free natural number  $> 1$ . We recall that the discriminant  $D$  of  $K$  is given by

$$D = 4d \quad \text{for } d \equiv 2, 3 \pmod{4}$$

$$D = d \quad \text{for } d \equiv 1 \pmod{4}.$$

The ring  $\mathfrak{o}_K$  has additively the following  $\mathbf{Z}$ -bases.

$$\mathfrak{o}_K = \mathbf{Z} + \mathbf{Z}\sqrt{d} \quad \text{for } d \equiv 2, 3 \pmod{4}$$

$$\mathfrak{o}_K = \mathbf{Z} + \mathbf{Z}\frac{1 + \sqrt{d}}{2} \quad \text{for } d \equiv 1 \pmod{4}$$

THEOREM. Let  $K = \mathbf{Q}(\sqrt{d})$  be as above. Then for  $d \equiv 1 \pmod{4}$

$$(11) \quad 2\zeta_K(-1) = \frac{1}{15} \sum_{\substack{1 \leq b < \sqrt{d} \\ b \text{ odd}}} \sigma_1\left(\frac{d-b^2}{4}\right)$$

and for  $d \equiv 2, 3 \pmod{4}$

$$(12) \quad 2\zeta_K(-1) = \frac{1}{30} (\sigma_1(d) + 2 \cdot \sum_{1 \leq b < \sqrt{d}} \sigma_1(d-b^2))$$

where  $\sigma_1(a)$  equals the sum of the divisors of  $a$ .

This theorem, though not exactly in this form, can be found in Siegel [76]. Compare also Gundlach [22], Zagier [78]. The  $\kappa_2$  of Gundlach equals  $4/\zeta_K(-1)$ .

1.5. A reference for the following discussion is [71].

We always assume that  $\Gamma$  is a discrete subgroup of  $(\mathbf{PL}^+(\mathbf{R}))^n$  and that  $\mathfrak{H}^n/\Gamma$  has finite volume.

$\Gamma$  is irreducible if it contains no element  $\gamma = (\gamma^{(1)}, \dots, \gamma^{(n)})$  such that  $\gamma^{(i)} = 1$  for some  $i$  and  $\gamma^{(j)} \neq 1$  for some  $j$ . See [71], p. 40 Corollary.

An element of  $\mathbf{PL}_2^+(\mathbf{R})$  is parabolic if and only if it has exactly one fixed point in  $\mathbf{P}_1\mathbf{C}$ . This point belongs to  $\mathbf{P}_1\mathbf{R} = \mathbf{R} \cup \infty$ . An element  $\gamma = (\gamma^{(1)}, \dots, \gamma^{(n)})$  of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$  is called parabolic if and only if all  $\gamma^{(i)}$  are parabolic. The parabolic element  $\gamma$  has exactly one fixed point in  $(\mathbf{P}_1\mathbf{C})^n$ . It belongs to  $(\mathbf{P}_1\mathbf{R})^n$ . The parabolic points of  $\Gamma$  are by definition fixed points of the parabolic elements of  $\Gamma$ .

The above notation, hopefully, will not confuse the reader. The  $\gamma^{(i)}$  are simply the components of the element  $\gamma$  of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$ . If  $\gamma \in \mathbf{PL}_2^+(K) \subset (\mathbf{PL}_2^+(\mathbf{R}))^n$  (compare 1.3), then, for  $\gamma$  represented by  $\begin{pmatrix} a & b \\ c & d \end{pmatrix}$ , the element  $\gamma^{(i)}$  is represented by  $\begin{pmatrix} a^{(i)} & b^{(i)} \\ c^{(i)} & d^{(i)} \end{pmatrix}$  where  $x \mapsto x^{(i)}$  is the  $i$ -th embedding of  $K$  in  $\mathbf{R}$ . For any group  $\Gamma \subset (\mathbf{PL}_2^+(\mathbf{R}))^n$  we consider the orbits of parabolic points under the action of  $\Gamma$  on  $(\mathbf{P}_1\mathbf{R})^n$ . They are called parabolic orbits. Each such orbit consists only of parabolic points.

*If  $\Gamma$  is irreducible, then there are only finitely many parabolic orbits.* ([71], p. 46 Theorem 5).

Hereafter we shall assume in addition that  $\Gamma$  is irreducible.

If  $x \in (\mathbf{P}_1\mathbf{R})^n$  is a parabolic point of  $\Gamma$ , we transform it to  $\infty = (\infty, \dots, \infty)$  by an element  $\rho$  of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$ , not necessarily belonging to  $\Gamma$ , of course. Thus  $\rho x = \infty$ .

Let  $\Gamma_x$  be the isotropy group of  $x$ .

$$\Gamma_x = \{ \gamma \mid \gamma \in \Gamma, \gamma x = x \}.$$

Then any element of  $\rho \Gamma_x \rho^{-1}$  is of the form

$$(13) \quad z_j \mapsto \lambda^{(j)} z_j + \mu^{(j)}, \lambda^{(j)} > 0.$$

Consider the following multiplicative group

$$(14) \quad \Lambda = \{ t \mid t^{(i)} \in \mathbf{R}, t^{(i)} > 0, \prod_{j=1}^n t^{(j)} = 1 \}.$$

It is isomorphic to  $\mathbf{R}^{n-1}$  by taking logarithms. Each element of  $\rho \Gamma_x \rho^{-1}$  (see (13)) satisfies  $\lambda^{(1)} \cdot \lambda^{(2)} \dots \cdot \lambda^{(n)} = 1$ , (compare [71], p. 43, Theorem 3). Therefore we have a natural homomorphism  $\rho \Gamma_x \rho^{-1} \rightarrow \Lambda$  whose image is a discrete subgroup  $\Lambda_x$  of  $\Lambda$  of rank  $n - 1$ . The kernel consists of all the translations

$$z_j \mapsto z_j + \mu^{(j)}$$

where  $\mu = (\mu^{(1)}, \dots, \mu^{(n)})$  belongs to a certain discrete subgroup  $M_x$  of  $\mathbf{R}^n$  of rank  $n$ . Thus we have an exact sequence

$$(15) \quad 0 \rightarrow M_x \rightarrow \rho \Gamma_x \rho^{-1} \rightarrow \Lambda_x \rightarrow 1.$$

Using the inner automorphisms of  $\rho \Gamma_x \rho^{-1}$ , the group  $\Lambda_x$  acts on  $M_x$  by componentwise multiplication. However, in the general case, (15) does not present  $\rho \Gamma_x \rho^{-1}$  as a semi-direct product. For  $n = 1$ , the group  $\Lambda_x$  is trivial. For  $n = 2$  it is infinite cyclic,  $\rho \Gamma_x \rho^{-1}$  is a semi-direct product, and  $\rho$  can be chosen in such a way that  $\rho \Gamma_x \rho^{-1}$  is exactly the group of all elements of the form (13) with  $\lambda \in \Lambda_x$  and  $\mu \in M_x$ .

For any positive number  $d$ , the group  $\rho \Gamma_x \rho^{-1}$  acts freely on

$$(16) \quad W = \{z \mid z \in \mathfrak{H}^n, \prod_{j=1}^n \text{Im}(z_j) \geq d\}$$

where  $\text{Im}$  denotes the imaginary part. The orbit space  $W/\rho \Gamma_x \rho^{-1}$  is a (non-compact) manifold with compact boundary

$$N = \partial W / \rho \Gamma_x \rho^{-1}.$$

Since  $\partial W$  is a principal homogeneous space for the semi-direct product  $E = \mathbf{R}^n \rtimes \Lambda$  of all transformations

$$z_j \mapsto t^{(j)} z_j + a^{(j)}, t \in \Lambda, a \in \mathbf{R}^n$$

we can consider  $N$  as the quotient space of the group  $E$  (homeomorphic to  $\mathbf{R}^{2n-1}$ ) by the discrete subgroup  $\rho \Gamma_x \rho^{-1}$ . Thus  $N$  is an Eilenberg-MacLane space. The  $(2n-1)$ -dimensional manifold  $N$  is a torus bundle over the  $(n-1)$ -dimensional torus  $\Lambda/\Lambda_x$ . The fibre is the  $n$ -dimensional torus  $\mathbf{R}^n/M_x$ , and  $N$  is obtained by the action of  $\Lambda_x$  on  $\mathbf{R}^n/M_x$  which is induced by the action  $x_j \mapsto \lambda^{(j)} x_j + \mu^{(j)}$  of  $\rho \Gamma_x \rho^{-1}$  on  $\mathbf{R}^n$ . Since, in general,  $\mu^{(j)}$  is not necessarily an element of  $M_x$ , the action of  $\Lambda_x$  on  $\mathbf{R}^n/M_x$  need not be the one given by componentwise multiplication.

*Definition ([71], p. 48). Let  $\Gamma$  be as before a discrete irreducible subgroup of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$  such that  $\mathfrak{H}^n/\Gamma$  has finite volume. Let  $x_v$  ( $1 \leq v \leq t$ ) be a complete set of  $\Gamma$ -inequivalent parabolic points of  $\Gamma$ . Choose elements  $\rho_v \in (\mathbf{PL}_2^+(\mathbf{R}))^n$  with  $\rho_v x_v = \infty$  and put  $U_v = \rho_v^{-1}(W_v)$  where  $W_v$  is defined as in (16) with some positive number  $d_v$  instead of  $d$ . We say that  $\Gamma$  satisfies condition (F) if it admits (for some  $d_v$ ) a fundamental domain  $F$  of the form*

$$F = F_0 \cup V_1 \cup \dots \cup V_t \quad (\text{disjoint union})$$

where  $F_0$  is relatively compact in  $\mathfrak{H}^n$  and  $V_v$  is a fundamental domain of  $\Gamma_{x_v}$  in  $U_v$ .

The fundamental domain  $F \subset \mathfrak{H}^n$  is by definition in one-to-one correspondence with  $\mathfrak{H}^n/\Gamma$  and  $V_v$  is in one-to-one correspondence with  $U_v/\Gamma_{x_v}$ .

The Hilbert modular group  $G$  of any totally real field  $K$  is a discrete irreducible subgroup of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$  with finite volume of  $\mathfrak{H}^n/G$  which satisfies condition (F). The existence of a fundamental domain with the required properties was shown by Blumenthal [5] as corrected by Maaß [53]. See Siegel [75] for a detailed exposition.

Two subgroups of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$  are called commensurable if their intersection is of finite index in both of them.

*Any subgroup  $\Gamma$  of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$  which is commensurable with the Hilbert modular group  $G$  also satisfies (F).*

We define

$$(17) \quad [G : \Gamma] = [G : (G \cap \Gamma)] / [\Gamma : (G \cap \Gamma)]$$

Then we get for the Euler volume

$$(18) \quad \int_{\mathfrak{H}^n/\Gamma} \omega = [G : \Gamma] \cdot \int_{\mathfrak{H}^n/G} \omega = [G : \Gamma] \cdot 2\zeta_K(-1)$$

*Remark.* It is not known whether every discrete irreducible subgroup  $\Gamma$  of  $(\mathbf{PL}_2^+(\mathbf{R}))^n$  such that  $\mathfrak{H}^n/\Gamma$  has finite volume satisfies Shimizu's condition (F).

Selberg has conjectured that any  $\Gamma$  satisfying (F) and having at least one parabolic point ( $t \geq 1$ ) is conjugate in the group  $\mathfrak{A}$  of all automorphism of  $\mathfrak{H}^n$  to a group commensurable with the Hilbert modular group  $G$  of some totally real field  $K$  with  $[K : \mathbf{Q}] = n$ .

1.6. Harder [28] has proved a general theorem on the Euler number of not necessarily compact quotient spaces of finite volume. For the following result a direct proof can be given by the method used in [40].

**THEOREM (Harder).** *Let  $\Gamma \subset (\mathbf{PL}_2^+(\mathbf{R}))^n$  be a discrete irreducible group satisfying condition (F) of the definition in 1.5. Suppose moreover*

that  $\Gamma$  operates freely on  $\mathfrak{H}^n$ . Then  $\mathfrak{H}^n/\Gamma$  is a complex manifold whose Euler number is given by

$$(19) \quad e(\mathfrak{H}^n/\Gamma) = \int_{\mathfrak{H}^n/\Gamma} \omega.$$

If  $\Gamma$  is commensurable with the Hilbert modular group  $G$  of  $K$ , (where  $K$  is a totally real field of degree  $n$  over  $\mathbf{Q}$ ) then

$$(20) \quad e(\mathfrak{H}^n/\Gamma) = [G : \Gamma] \cdot 2\zeta_K(-1).$$

*Proof.* It follows from 1.5 that  $\mathfrak{H}^n/\Gamma$  contains a compact manifold  $Y$  with  $t$  boundary components  $B_v = \partial W_v / \rho \Gamma_x \rho^{-1}$  (which are  $T^n$ -bundles over  $T^{n-1}$ ). We have to choose the numbers  $d_v$  sufficiently large. By the Gauß-Bonnet theorem of Allendoerfer-Weil-Chern [10]

$$e(\mathfrak{H}^n/\Gamma) = \int_Y \omega + \sum_{v=1}^t \int_{B_v} \prod$$

where  $\prod$  is a certain  $(2n-1)$ -form. By the argument explained in [40], one can show easily that

$$\lim_{d_v \rightarrow \infty} \int_{B_v} \prod = 0. \text{ Q.E.D.}$$

Since the Hilbert modular group  $G$  always contains a subgroup  $\Gamma$  of finite index which operates freely and since  $\mathfrak{H}^n/\Gamma$  can be replaced up to homotopy by the compact manifold  $Y$  with boundary,  $[G : \Gamma] \cdot 2\zeta_K(-1)$  is the Euler number of  $\Gamma$  in the sense of the rational cohomology theory of groups and thus  $2\zeta_K(-1)$  is the Euler number of  $G$  in the sense of Wall [77].

**THEOREM.** Let  $\Gamma \subset (\mathbf{PL}_2^+(\mathbf{R}))^n$  be a discrete irreducible group such that  $\mathfrak{H}^n/\Gamma$  has finite volume. Assume that  $\Gamma$  satisfies condition (F). The isotropy groups  $\Gamma_z (z \in \mathfrak{H}^n)$  are finite cyclic and the set of those  $z$  with  $|\Gamma_z| > 1$  projects down to a finite set in  $\mathfrak{H}^n/\Gamma$ . Thus  $\mathfrak{H}^n/\Gamma$  is a complex space with finitely many singularities. (For  $n = 1$ , these “branching points” are actually not singularities.)

Let  $a_r(\Gamma)$  be the number of points in  $\mathfrak{H}^n/\Gamma$  which come from isotropy groups of order  $r$ . The Euler number of the space  $\mathfrak{H}^n/\Gamma$  is well-defined, and we have

$$(21) \quad e(\mathfrak{H}^n/\Gamma) = \int_{\mathfrak{H}^n/\Gamma} \omega + \sum_{r \geq 2} a_r(\Gamma) \frac{r-1}{r}.$$

The proof is an easy consequence of the Allendoerfer-Weil-Chern formula (compare [40], [65]).

The easiest example of (21) is of course the ordinary modular group  $G = G(\mathbf{Q})$ . We have  $a_2(G) = a_3(G) = 1$  whereas the other  $a_r(G)$  vanish. Thus

$$e(\mathfrak{H}/G) = -\frac{1}{6} + \frac{1}{2} + \frac{2}{3} = 1.$$

This checks, since  $\mathfrak{H}/G$  and  $\mathbf{C}$  are biholomorphically equivalent.

1.7. We shall apply (21) to the Hilbert modular group  $G$  and the extended Hilbert modular  $\hat{G}$  of a real quadratic field.  $\hat{G}$  is defined for any totally real field  $K$ . To define it we must say a few words about the units of  $K$ . They are the units of the ring  $\mathfrak{o}_K$  of algebraic integers. Let  $U$  be the group of these units. Its rank equals  $n-1$  by Dirichlet's theorem [6]. Let  $U^+$  be the group of all totally positive units (see 1.3). It also has rank  $n-1$  because it contains  $U^2 = \{\varepsilon^2 \mid \varepsilon \in U\}$ .

The extended Hilbert modular group is defined as follows

$$\hat{G} = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathfrak{o}_K, ad - bc \in U^+ \right\} / \left\{ \begin{pmatrix} a & 0 \\ 0 & a \end{pmatrix} \mid a \in U \right\}$$

We have an exact sequence

$$1 \rightarrow G \rightarrow \hat{G} \rightarrow U^+ / U^2 \rightarrow 1.$$

obtained by associating to each element of  $\hat{G}$  its determinant mod  $U^2$ .

If  $K = \mathbf{Q}(\sqrt{d})$  with  $d$  as in 1.4., then  $U^+$  and  $U^2$  are infinite cyclic groups and  $U^+/U^2$  is of order 2 or 1. The first case happens if and only if there is no unit in  $\mathfrak{o}_K$  with negative norm. If  $d$  is a prime  $p$ , then

$$U^+ \neq U^2 \Leftrightarrow p \equiv 3 \pmod{4}$$

$$U^+ = U^2 \Leftrightarrow p = 2 \text{ or } p \equiv 1 \pmod{4}.$$

Compare [30], Satz 133.

To apply (21) to the groups  $G$  and  $\hat{G}$  belonging to a real quadratic field we must know the numbers  $a_r(G)$  and  $a_r(\hat{G})$ . They were determined by Gundlach [21] in some cases and in general by Prestel [61] using the idea that the isotropy groups  $G_z$  and  $\hat{G}_z$  respectively ( $z \in \mathfrak{H}^2$ ) determine orders in imaginary extensions of  $K$ , which by an additional step relates



the  $a_r(G)$  and  $a_r(\hat{G})$  to ideal class numbers of quadratic imaginary fields over  $\mathbf{Q}$ . To write down Prestel's result we fix the following notation. A quadratic field  $k$  over  $\mathbf{Q}$  (real or imaginary) is completely given by its discriminant  $D$ . The class number of the field will be denoted by  $h(D)$  or by  $h(k)$ .

Prestel has very explicit results for the Hilbert modular group  $G$  of any real quadratic field  $K$  and for the extended group  $\hat{G}$  in case the class number of  $K$  is odd. We shall indicate part of his result.

**THEOREM.** (Prestel). *Let  $d$  be squarefree,  $d \geq 7$  and  $(d, 6) = 1$ . Let  $K = \mathbf{Q}(\sqrt{d})$ . Then for the Hilbert modular group  $G(K)$  we have for*

$$d \equiv 1 \pmod{4}$$

$$a_2(G) = h(-4d), a_3(G) = h(-3d), a_r(G) = 0 \text{ for } r \neq 2, 3$$

and for  $d \equiv 3 \pmod{8}$

$$a_2(G) = 10 \cdot h(-d), a_3(G) = h(-12d), a_r(G) = 0 \text{ for } r \neq 2, 3$$

and for  $d \equiv 7 \pmod{8}$

$$a_2(G) = 4h(-d), a_3(G) = h(-12d), a_r(G) = 0 \text{ for } r \neq 2, 3$$

If  $d$  is a prime  $\equiv 3 \pmod{4}$  and  $d \neq 3$  we have for the extended group  $\hat{G}(K)$  the following result:

If  $d \equiv 3 \pmod{8}$ , then

$$a_2(\hat{G}) = 3h(-d) + h(-8d), a_3(\hat{G}) = h(-12d)/2,$$

$$a_4(\hat{G}) = 4h(-d),$$

$$a_r(\hat{G}) = 0 \text{ for } r \neq 2, 3, 4.$$

If  $d \equiv 7 \pmod{8}$ , then

$$a_2(\hat{G}) = h(-d) + h(-8d), a_3(\hat{G}) = h(-12d)/2,$$

$$a_4(\hat{G}) = 2h(-d),$$

$$a_r(\hat{G}) = 0 \text{ for } r \neq 2, 3, 4.$$

Prestel gives the numbers  $a_r(G)$  and  $a_r(\hat{G})$  also for  $d = 2, 3, 5$ . For  $d = 3$  we have

$$a_2(\hat{G}) = 3, a_3(\hat{G}) = 1, a_4(\hat{G}) = 1, a_{12}(\hat{G}) = 1,$$

all other  $a_r(\hat{G}) = 0$ .

We apply (12), (20) and (21) for  $K = \mathbf{Q}(\sqrt{3})$  as an example

$$2\zeta_K(-1) = \frac{1}{30}(4 + 2\sigma_1(2)) = \frac{10}{30} = \frac{1}{3},$$

$$[G : \hat{G}] = \frac{1}{2},$$

$$e(H^2/\hat{G}) = \frac{1}{6} + 3 \cdot \frac{1}{2} + \frac{2}{3} + \frac{3}{4} + \frac{11}{12} = 4.$$

We shall copy Prestel's table [61] of the  $a_r(G)$  and the  $a_r(\hat{G})$  (if known) for  $K = \mathbf{Q}(\sqrt{d})$  up to  $d = 41$ . In [61] the table contains an error which was corrected in [62].

We also tabulate the values of  $2\zeta_K(-1)$ ,  $e(\mathfrak{H}^2/G)$ , and of  $e(\mathfrak{H}^2/\hat{G})$  if known. In the columns before  $2\zeta_K(-1)$  we find the values of the  $a_r(G)$ ; the values of the  $a_r(\hat{G})$  are written behind  $2\zeta_K(-1)$ . If there is no entry, then the value is zero.

If the  $a_r(\hat{G})$  and  $e(\mathfrak{H}^2/\hat{G})$  are not given in the table, this means that either there exists a unit of negative norm and thus  $G = \hat{G}$  or that the values are not known. This is indicated in the last column.

By Prestel  $a_r(G) = 0$  for  $r > 3$  and  $K = \mathbf{Q}(\sqrt{d})$  with  $d > 5$ , and we have for  $d > 5$

$$(22) \quad e(\mathfrak{H}^2/G) = 2\zeta_K(-1) + \frac{a_2(G)}{2} + a_3(G) \cdot \frac{2}{3}$$

Since the Euler number is an integer, we obtain by (11) and (12):

For  $d > 5$ ,  $d \equiv 1 \pmod{4}$ ,  $d$  square-free,

$$\sum_{\substack{1 \leq b < \sqrt{d} \\ b \text{ odd}}} \sigma_1\left(\frac{d-b^2}{4}\right) \equiv 0 \pmod{5}$$

For  $d > 5$ ,  $d \equiv 2, 3 \pmod{4}$ ,  $d$  square-free

$$\sigma_1(d) + 2 \sum_{1 \leq b < \sqrt{d}} \sigma_1(d-b^2) \equiv 0 \pmod{5}$$

*Problem.* Prove these congruences in the framework of elementary number theory.

$d$	2	3	4	5	6	$2\zeta_K(-1)$	2	3	4	6	12	$e(\mathfrak{H}^2/G)$	$e(\mathfrak{H}^2/\hat{G})$
2	2	2	2			1/6	—	—	—	—	—	4	$G = \hat{G}$
3	3	2			1	1/3	3	1	1		1	4	4
5	2	2		2		1/15	—	—	—	—	—	4	$G = \hat{G}$
6	6	3				1	5	1	2	1		6	6
7	4	4				4/3	5	2	2			6	6
10	6	4				7/3	—	—	—	—	—	8	$G = \hat{G}$
11	10	4				7/3	5	2	4			10	8
13	2	4				1/3	—	—	—	—	—	4	$G = \hat{G}$
14	12	4				10/3	8	2	4			12	10
15	8	6				4	—	—	—	—	—	12	?
17	4	2				2/3	—	—	—	—	—	4	$G = \hat{G}$
19	10	4				19/3	9	2	4			14	12
21	4	5				2/3	3	2		1		6	4
22	6	8				23/3	12	4	2			16	14
23	12	8				20/3	7	4	6			18	14
26	18	4				25/3	—	—	—	—	—	20	$G = \hat{G}$
29	6	6				1	—	—	—	—	—	8	$G = \hat{G}$
30	12	10				34/3	—	—	—	—	—	24	?
31	12	4				40/3	11	2	6			22	18
33	4	3				2	7	1		1		6	6
34	12	4				46/3	—	—	—	—	—	24	?
35	20	8				38/3	—	—	—	—	—	28	?
37	2	8				5/3	—	—	—	—	—	8	$G = \hat{G}$
38	18	8				41/3	16	4	6			28	22
39	16	10				52/3	—	—	—	—	—	40	?
41	8	2				8/3	—	—	—	—	—	8	$G = \hat{G}$

## § 2. THE CUSPS AND THEIR RESOLUTION FOR THE 2-DIMENSIONAL CASE

2.1. Let  $K$  be a totally real algebraic field of degree  $n$  over  $\mathbf{Q}$  and  $M$  an additive subgroup of  $K$  which is a free abelian group of rank  $n$ . Such a group  $M$  is called a complete  $\mathbf{Z}$ -module of  $K$ . Let  $U_M^+$  be the group of those units  $\varepsilon$  of  $K$  which are totally positive and satisfy  $\varepsilon M = M$ . Any  $\alpha \in K$  with  $\alpha M = M$  is automatically an algebraic integer and a unit.

The group  $U_M^+$  is free of rank  $n - 1$  (compare [6]).