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# Definite unimodular lattices having an automorphism of given characteristic polynomial 

Eva Bayer-Fluckiger*

## Introduction

A lattice will be an integral symmetric bilinear form of non-zero discriminant. The orthogonal group of a definite lattice is finite. This implies that the characteristic polynomial of an automorphism of a definite lattice is a product of cyclotomic polynomials. Conversely, let $f$ be a product of cyclotomic polynomials. Does there exists a definite and unimodular lattice which has an automorphism with characteristic polynomial $f$ ? The first part of the present paper is devoted to the study of this problem. We shall give a complete solution in the case where $f$ is a power of a cyclotomic polynomial. As an example, let us discuss the case $f=\boldsymbol{\phi}_{\boldsymbol{m}}$, the $m$ th cyclotomic polynomial, where $m$ is not a power of 2 . We shall give some necessary conditions for the existence of a definite unimodular lattice ( $L, S$ ) having an automorphism $t$ with characteristic polynomial $\phi_{m}$. One of these conditions is that $m$ must be mixed, i.e. $m$ is not of the form $p^{r}$ or $2 p^{r}$ where $p$ is a prime. Indeed, if $m=p^{r}$ or $2 p^{r}$ then $\operatorname{det}(1-t) \operatorname{det}(1+t)=\phi_{m}(1) \phi_{m}(-1)=p$ (cf. e.g. [13] Chap. VIII, §3, 1 and 3). Therefore the determinant of $S^{\prime}=S\left(t-t^{-1}\right)$ is p. But this is impossible because $S^{\prime}$ is skew-symmetric so $\operatorname{det}\left(S^{\prime}\right)$ must be a square. On the other hand it is not difficult to prove that ( $L, S$ ) must be even, i.e. $S(x, x)$ is divisible by 2 for all $x$ in $L$ (see Lemma 1.4). The rank of an even, definite lattice is divisible by 8 (cf. e.g. [21], Chapitre V, 2.1) therefore $\varphi(m)=$ $\operatorname{deg} \phi_{m}$ must be divisible by 8.

It turns out that these necessary conditions are also sufficient:

THEOREM. Let $m$ be a positive integer such that $m$ is not a power of 2. Then there exists a definite unimodular lattice having an automorphism with characteristic polynomial $\phi_{m}$ if and only if $m$ is mixed and $\varphi(m)$ is divisible by 8.

[^0]In the second part of the paper we shall investigate some properties of definite lattices which have an automorphism of characteristic polynomial $\phi_{m}^{n}$ :

DEFINITION. A lattice is said to be indecomposable if it cannot be written as the orthogonal sum of two non-trivial lattices. We shall say that a lattice ( $L, S$ ) represents 2 if there exists $x \in L$ such that $S(x, x)=2$.

For instance we shall prove the following theorem, which also holds for non unimodular lattices:

THEOREM. Let $m$ be a square free integer, and let $(L, S)$ be a definite lattice having an isometry with characteristic polynomial $\phi_{m}$. Then ( $L, S$ ) is indecomposable. If moreover $\varphi(m)>8$ and $m$ is not prime, then $(L, S)$ does not represent 2.

It is possible to apply these results to obtain some interesting examples. The first theorem implies that for $m=35,39,56$ and 84 there exist definite unimodular lattices of rank 24 having an automorphism of characteristic polynomial $\phi_{m}$. Using the second theorem and similar results, we see that these lattices do not represent 2 , so by a theorem of Conway [3] they are isometric to the Leech lattice. We also obtain lattices of minimum 4 in dimensions 32 and 40. In higher dimensions we obtain lattices of minimum at least 4 .

In the last part of the paper we shall study the classification problem of lattices having an automorphism with characteristic polynomial $\phi_{m}$, and also the possibility of constructing such lattices explicitly. This leads to difficult problems concerning the signatures of units of a cyclotomic field.

I thank R. Gillard for useful conversations about the signatures of the units of a number field. I thank M. Kervaire for many useful comments on my manuscript.

## 1.

Let $f$ be a product of cyclotomic polynomials. We shall say that $(L, S)$ is an $f$-lattice if $(L, S)$ has an automorphism with characteristic polynomial $f$. Let us denote $\phi_{m}$ the $m$ th cyclotomic polynomial. In this section we shall solve the existence problem of definite unimodular $\phi_{m}^{n}$-lattices, and then we shall make a few remarks on the corresponding problem for an arbitrary $f$.

THEOREM 1.1.
I. Assume that $m$ is not a power of 2. Then we have:
a) If $n$ is divisible by 4 , then there exists a definite unimodular $\phi_{m}^{n}$-lattice for any $m$.
b) If $n \equiv 2 \bmod 4$, then there exists a definite unimodular $\phi_{m}^{n}$-lattice if and only if $\varphi(m)$ is divisible by 4.
c) If $n$ is odd, then there exists a definite unimodular $\phi_{m}^{n}$-lattice if and only if $m$ is mixed and $\varphi(m)$ is divisible by 8.
II. If $m$ is a power of 2 , then there exists a definite unimodular $\phi_{m}^{n}$-lattice for any $n$.

Moreover if $m$ is not a power of 2 then the lattices will be even (cf. Lemma 1.4).

COROLLARY 1.2. Let $f$ be a product of cyclotomic polynomials. There exists a definite unimodular lattice having an automorphism with minimal polynomial $f$ if and only if $f$ has no repeated factors.

Proof of Corollary 1.2. Let $(L, S)$ be a definite lattice and let $t: L \rightarrow L$ be an automorphism of $(L, S)$. Let $f$ be the minimal polynomial of $t$. Then $f$ has no repeated factors: indeed, if $f=g^{2} h$, then $M=g h(t)(L)$ is an isotropic submodule of $L$.

By taking orthogonal sums it suffices to prove the corollary for $f=\phi_{m}$. But this follows immediately from Theorem 1.1.

Remark 1.3. Let $f=f_{1} \cdots f_{r}$ where $f_{i}$ is a power of a cyclotomic polynomial, $i=1, \ldots, r$. Assume that the resultants $\operatorname{Res}\left(f_{i}, f_{j}\right)= \pm 1$ for all $i \neq j$. Then there exists a definite unimodular $f$-lattice if and only if there exists a definite unimodular $f_{i}$-lattice for all $i=1, \ldots, r$.

Indeed, let $(L, S)$ be a definite unimodular lattice having an automorphism $t$ with characteristic polynomial $f$. Let $F=f_{2} \cdots f_{r}$. There exist integral polynomials $G$ and $H$ such that

$$
f_{1} G+F H=1
$$

Let $L_{1}=F(t)(L)$ and $L_{2}=f_{1}(t)(L)$, and let $S_{1}$ and $S_{2}$ be the restrictions of $S$ to $L_{1}$ and $L_{2}$. Then it is easy to check that

$$
(L, S)=\left(L_{1}, S_{1}\right) \boxplus\left(L_{2}, S_{2}\right)
$$

where $\boxplus$ denotes the orthogonal sum, and that $\left(L_{1}, S_{1}\right)$ is an $f_{1}$-lattice.
We have Res $\left(\phi_{n}, \phi_{m}\right)= \pm 1$ except if $m=p^{r} n$, where $p$ is a prime (see for instance [23], Proposition 3.4).

The remainder of this section will be devoted to the proof of Theorem 1.1. We shall need a few lemmas:

LEMMA 1.4. If $(L, S)$ is $a \phi_{m}^{n}$-lattice with $m$ not a power of 2 , then $(L, S)$ is even.

Proof. Let $t$ be an automorphism of $(L, S)$ with characteristic polynomial $\phi_{m}^{n}$. As $m$ is not a power of 2 , we have $\operatorname{det}(1-t)=1$ or $\operatorname{det}(1+t)=1$ (cf. e.g. [13] Chap. VII §3). By replacing $t$ with $-t$ if necessary we may assume that $1-t$ is invertible. We have $S(w x, y)=S\left(x, w^{\prime} y\right)$ with $w=(1-t)^{-1}, w^{\prime}=\left(1-t^{-1}\right)^{-1}$. It is easy to check that $w+w^{\prime}=i d_{L}$. Therefore $S(x, x)=S\left(\left(w+w^{\prime}\right) x, x\right)=2 S(w x, x)$ so $(L, S)$ is even.

Let $\zeta$ be a primitive $m$ th root of unity, and let $K=\mathbb{Q}(\zeta)$. We shall denote by an overbar the $\mathbb{Q}$-involution of $K$ which sends $\zeta$ to $\zeta^{-1}$. Let $I$ be a fractional $\mathbb{Z}[\zeta]$-ideal such that $\bar{I}=I$, let $L$ be a torsion free $\mathbb{Z}[\zeta]$-module of finite rank and let $h: L \times L \rightarrow I$ be a hermitian or skew-hermitian form. We shall say that $(L, h)$ is unimodular if and only if the adjoint of $h$, ad $(h): L \rightarrow \operatorname{Hom}_{\mathbb{Z}[5]}(L, I)$, is bijective.

The following lemma will be important for the construction of $\phi_{m}^{n}$-lattices:
LEMMA 1.5 (Stoltzfus [23], Lemma 2.6 and Addendum). Let $\Delta$ be the inverse different of $K / \mathbb{Q}$. Let $h: L \times L \rightarrow \Delta$ be a unimodular hermitian form, and let $n=\operatorname{rank}_{\mathbb{Z}[\zeta]}(L)$. Set

$$
\begin{equation*}
S(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}(h(x, y)) \tag{1}
\end{equation*}
$$

Then ( $L, S$ ) is a unimodular $\phi_{m}^{n}$-lattice. Conversely, if $(L, S)$ is a $\phi_{m}^{n}$-lattice then there exists a unique hermitian form $h: L \times L \rightarrow \Delta$ such that (1) holds. If moreover ( $L, S$ ) is unimodular, then $h$ is unimodular.

Let $F=\mathbb{Q}\left(\zeta+\zeta^{-1}\right)$ be the fixed field of the involution. We shall denote by $\psi$ the minimal polynomial of $\eta=\zeta+\zeta^{-1}$, and by $\psi^{\prime}$ the derivative of $\psi$.

We shall also need the following lemma:
LEMMA 1.6. The different of $K / \mathbb{Q}$ is $\left(\zeta-\zeta^{-1}\right) \psi^{\prime}(\eta) \mathbb{Z}[\zeta]$.
Proof. The different of $K / F$ is $\left(\zeta-\zeta^{-1}\right) \mathbb{Z}[\zeta]$ and the different of $F / \mathbb{Q}$ is $\psi^{\prime}(\eta) \mathbb{Z}[\eta]$, see for instance [14], III, §1. The lemma now follows by the multiplicative property of the differents, see [14], III, §1.

Notice that this lemma gives a bijection between unimodular hermitian forms
with values in the inverse different and unimodular skew-hermitian forms with values in $\mathbb{Z}[\zeta]$.

Let $V$ be a finite dimensional $K$-vector space and let $h_{K}: V \times V \rightarrow K$ be a non-singular $\varepsilon$-hermitian form, where $\varepsilon= \pm 1$. We shall need to know under what conditions ( $V, h_{K}$ ) contains a unimodular lattice, i.e. under what conditions there exists a unimodular $\varepsilon$-hermitian form $h: L \times L \rightarrow \mathbb{Z}[\zeta]$ such that $(L, h) \otimes_{\mathbb{Z}[\zeta]} K=$ ( $V, h_{K}$ ). If $\varepsilon=-1$, then we only need to consider the case where $\operatorname{dim}_{K}(V)$ is even. In this case $\operatorname{det}\left(h_{K}\right)$ is an element of $F^{*}$, and we shall denote $D=\operatorname{det}\left(h_{K}\right) \in$ $F^{*} / N_{K / F}\left(K^{*}\right)$ the discriminant of $\left(V, h_{K}\right)$.

LEMMA 1.7. (Wall [27] Proposition 6, or Levine [16] Lemma 24.3). Let $\theta=\left(\zeta-\zeta^{-1}\right)^{2}$ and let $(,)_{P}$ be the Hilbert symbol. Let us denote $D$ the discriminant of $h_{K}$.
$\varepsilon=+1\left(V, h_{K}\right)$ contains a unimodular lattice if and only if $(D, \theta)_{P}=1$ for every finite prime $P$ of $F$ which does not ramify in $K$.
$\varepsilon=-1$, $\operatorname{dim}(V)$ even. Then $\left(V, h_{K}\right)$ contains a unimodular lattice if and only if $(D, \theta)_{P}=1$ for every finite prime $P$ of $F$ which does not ramify in $K$, and for every non-dyadic finite prime of $F$ which ramifies in $K$.

Proof of Theorem 1.1. Let us check that the conditions of the theorem are necessary. If $m$ is not a power of 2 then a $\phi_{m}^{n}$-lattice $(L, S)$ is even by Lemma 1.4. If moreover $(L, S)$ is definite then $\operatorname{rank}_{\mathbb{Z}}(L)$ is divisible by 8 , see for instance [21], Chapitre V, 2.1. Therefore $n \varphi(m)$ must be divisible by 8 . We have already proved in the introduction that the condition $m$ mixed is necessary in part $c$.) of the theorem.

We shall now prove that the conditions are also sufficient:
I.a) Notice that it is sufficient to consider the case $n=4$. Let $d \in F^{*}$ such that $d \psi^{\prime}(\sigma)$ is totally positive and set $a=\left(\zeta-\zeta^{-1}\right) d$. Let us denote $\langle a\rangle$ the skewhermitian form $g: K \times K \rightarrow K$ such that $g(x, y)=a x \bar{y}$. Set $V=K^{4}$, and let $h_{K}$ be the form $\langle a\rangle \boxplus\langle a\rangle \boxplus\langle a\rangle \boxplus\langle a\rangle$ where $\boxplus$ denotes the orthogonal sum. Lemma 1.7 implies that $\left(V, h_{K}\right)$ contains a unimodular lattice ( $L, h$ ). Now let

$$
S(x, y)=\operatorname{Tr}_{K / Q}\left(\frac{1}{\zeta-\zeta^{-1}} \frac{1}{\psi^{\prime}(\eta)} h(x, y)\right)
$$

then $(L, S)$ is a unimodular $\phi_{m}^{4}$-lattice by Lemma 1.5 and Lemma 1.6.
We have to show that $(L, S)$ is positive definite. It suffices to show that the form $S_{\mathbb{Q}}: V \times V \rightarrow \mathbb{Q}$, obtained by extension of the scalars, is positive definite. We
have $S_{\mathbb{Q}}=S_{\Phi}^{\prime} ⿴ 囗 十$ S $S_{\Phi}^{\prime} \boxplus S_{\Phi}^{\prime} \boxplus S_{\Phi}^{\prime}$ where

$$
S_{\Phi}^{\prime}(x, y)=\operatorname{Tr}_{K / Q}\left(\frac{1}{\zeta-\zeta^{-1}} \frac{1}{\psi^{\prime}(\eta)} a x \bar{y}\right)
$$

with $x, y \in K$ ．Now

$$
S_{\Phi}^{\prime}(x, x)=\operatorname{Tr}_{\mathrm{K} / \Omega}\left(\frac{d}{\psi^{\prime}(\eta)} x \bar{x}\right), \quad \text { and } \frac{d}{\psi^{\prime}(\eta)}
$$

is totally positive．Therefore $S_{\Phi}^{\prime}$ is positive definite．
b）It is sufficient to consider the case $n=2$ ．Let $d \in F^{\cdot}$ such that $d \psi^{\prime}(\sigma)$ is totally positive and set $a=\left(\zeta-\zeta^{-1}\right) d$ ．Let $V=K^{2}$ ，and let $h_{K}: V \times V \rightarrow K$ be the skew－hermitian form $\langle a\rangle ⿴ 囗|a\rangle$ ．The discriminant of $h_{k}$ is $D=\left(\zeta-\zeta^{-1}\right)^{2} d^{2}=-1 \in$ $F^{\cdot} / N_{K / F}\left(K^{*}\right)$ ．We have $(-1, \theta)_{P}=1$ if $P$ is a finite prime of $F$ which does not ramify in $K$（cf．［14］，IX，$\S 3$ ）．If $m$ is mixed then no finite prime of $F$ ramifies in $K$ （see［28］，Proposition 2．15）so the conditions of Lemma 1.7 are satisfied in this case．If $m=p^{r}$ or $2 p^{r}$ ，then exactly one finite prime $P$ of $F$ ramifies in $K$ ，and $N_{\mathrm{K} / \mathbb{Q}}(P)=p$ ．We have $\varphi(m)=(p-1) p^{r-1}$ ．We are assuming that $p$ is odd and that $\varphi(m)$ is divisible by 4 ．This implies that $p \equiv 1 \bmod 4$ ．Therefore -1 is a square $\bmod p$ ，and by Hensel＇s lemma this implies that $(-1, \theta)_{P}=1$ ．So the conditions of Lemma 1.7 are satisfied in this case also，therefore（ $V, h_{K}$ ）contains a unimodular lattice（ $L, h$ ）．Set

$$
S(x, y)=\operatorname{Tr}_{\mathrm{K} / Q}\left(\frac{1}{\zeta-\zeta^{-1}} \frac{1}{\psi^{\prime}(\eta)} h(x, y)\right)
$$

for $x, y \in L$ ．As in the proof of case a）we check that $(L, S)$ is a positive definite $\phi_{m}^{2}$－lattice．

The case 1．（c）of Theorem 1.1 will follow from a description of unimodular definite $\phi_{m}$－lattices，given by Proposition 1．8．In order to state this proposition we need the notion of signature．

Recall that the field $F$ is totally real．Let $G=\operatorname{Gal}(F / \mathbb{Q})$ ，which can be identified with the set of real embeddings of $F$ over $\mathbb{Q}$ ．Define $\sigma: \mathbb{R}^{\cdot} \rightarrow \mathbb{F}_{2} G$ by $\sigma(\alpha)=0$ if $\alpha$ is positive，$\sigma(\alpha)=1$ if $\alpha$ is negative．The signature $\operatorname{sgn}: F^{\cdot} \rightarrow \mathbb{F}_{2} G$ is given by：

$$
\operatorname{sgn}(x)=\sum_{\mathrm{g} \in \mathrm{G}} \sigma(g x) \mathrm{g}^{-1} .
$$

This is an equivariant homomorphism．

Let $\zeta_{1}, \ldots, \zeta_{N}, \zeta_{1}^{-1}, \ldots, \zeta_{N}^{-1}$ where $N=\varphi(m) / 2$ be a list of the primitive $m$ th roots of unity such that, if we set $\eta_{j}=\zeta_{j}+\zeta_{j}^{-1}$, then $\eta_{j}>\eta_{k}$ for $j<k$.

Let $g_{k}$ be the real embedding of $F$ which sends $\eta$ to $\eta_{k}$.
Recall that ()$_{P}$ is the Hilbert symbol, and that $\theta=\left(\zeta-\zeta^{-1}\right)^{2}$.
PROPOSITION 1.8. Let $m$ be a positive integer such that $m$ is mixed that $\varphi(m)$ is divisible by 8.

1) There exists an $a \in F^{\cdot}$ such that $(a, \theta)_{P}=1$ for all finite primes $P$ of $F$, and that

$$
\operatorname{sgn}(a)=\sum_{k=1}^{M} \mathrm{~g}_{2 k}^{-1}
$$

where

$$
M=\frac{\varphi(m)}{4}
$$

2) If $a \in F^{\cdot}$ is as in 1) then there exists a fractional $\mathbb{Z}[\zeta]$-ideal $I$ such that the hermitian form

$$
h: I \times I \rightarrow \mathbb{Z}[\zeta]
$$

defined by

$$
h(x, y)=a x \bar{y}
$$

is unimodular.
3) Let $a$ and I be as above. Set

$$
\begin{equation*}
S(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}\left(\frac{1}{\psi^{\prime}(\eta)} a x \bar{y}\right) \tag{2}
\end{equation*}
$$

then $(I, S)$ is a definite unimodular $\phi_{m}$-lattice.
Conversely, if ( $I, S$ ) is a definite unimodular $\phi_{m}$-lattice then I can be identified with a fractional $\mathbb{Z}[\zeta]$-ideal, and $S$ can be written under the form (2) so that the hermitian form $h: I \times I \rightarrow \mathbb{Z}[\zeta]$ defined by $h(x, y)=a x \bar{y}$ is unimodular, and that $a \in F^{\cdot}$ satisfies the conditions of 1 ).

Proof of Proposition 1.8. 1) Let $P_{j}$ be the infinite prime of $F$ corresponding to $g_{j}$. Then the condition

$$
\operatorname{sgn}(a)=\sum_{k=1}^{M} g_{2 k}^{-1}
$$

is equivalent with $(a, \theta)_{\mathbb{P}_{i}}=(-1)^{i}$ for $j=1, \ldots, N=\varphi(m) / 2$ ．By Hilbert reciprocity there exists an $a \in F^{\cdot}$ such that $(a, \theta)_{P_{i}}=(-1)^{i}$ for $j=1, \ldots, N$ and that $(a, \theta)_{P}=1$ for $P$ finite if and only if $\prod_{j=1}^{N}(-1)^{i}=1$ ．This is the case if and only if $\varphi(m)$ is divisible by 8 ．

2）Let $V=K$ and let $h_{k}$ be the 1－dimensional hermitian form $\langle a\rangle$ ．By Lemma 1.7 the form（ $V, h_{k}$ ）contains a unimodular lattice，i．e．there exists a fractional $\mathbb{Z}[\zeta]$－ideal $I$ such that $h: I \times I \rightarrow \mathbb{Z}[\zeta], h(x, y)=a x \bar{y}$ is unimodular．

3）If $m$ is mixed then no finite prime of $F$ ramifies in $K$ ，and $\zeta-\zeta^{-1}$ is a unit． Therefore by Lemma 1.6 the inverse different of $K / \mathbb{Q}$ is $1 / \psi^{\prime}(\eta) \mathbb{Z}[\zeta]$ ．By Lemma 1.5 this implies that the lattice $(I, S$ ）defined by（2）is unimodular．Let us check that $(I, S)$ is also definite：it suffices to prove that $a \psi^{\prime}(\eta)$ is totally positive，i．e．that

$$
\operatorname{sgn}\left(\psi^{\prime}(\eta)\right)=\sum_{k=1}^{M} g_{2 k}^{-1} .
$$

We have

$$
\psi(X)=\prod_{i=1}^{N}\left(X-\eta_{j}\right), \quad \text { so } \quad \psi^{\prime}\left(\eta_{k}\right)=\prod_{\substack{i \neq k \\ i=1}}^{N}\left(\eta_{k}-\eta_{j}\right) .
$$

Recall that $\eta_{j}>\eta_{k}$ if $j<k$ ．Therefore it is immediate that the signature of $\psi^{\prime}(\eta)$ is as above．

Conversely let $(I, S)$ be a positive definite $\phi_{m}$－lattice．We have seen in the first part of the proof that the inverse different of $K / \mathbb{Q}$ is $1 / \psi^{\prime}(\eta) \mathbb{Z}[\zeta]$ ．Therefore by Lemma 1.5 we can write $S$ under the form（2）where $h: I \times I \rightarrow \mathbb{Z}[\zeta], h(x, y)=a x \bar{y}$ is a unimodular hermitian form．Therefore $(a, \theta)_{P}=1$ if $P$ is a finite prime of $F$ ．

It is easy to check that $S$ positive definite implies $a \psi^{\prime}(\eta)$ totally positive（use weak approximation）．Therefore

$$
\operatorname{sgn}(a)=\operatorname{sgn}\left(\psi^{\prime}(\eta)\right)=\sum_{k=1}^{M} g_{2 k}^{-1} .
$$

It is clear that this proposition implies I．c），therefore the proof of part I of Theorem 1.1 is complete．

Part II of Theorem 1.1 can be proved by direct computation：the form $\langle 1\rangle ⿴ \cdots \boxplus ⿴ 囗 十(1\rangle$ is a $\phi_{m}$－lattice if $m=2^{r}$ ．It also follows from the description of definite unimodular $\phi_{m}$－lattices，$m=2^{r}$ ，given by Proposition 1．9：

PROPOSITION 1．9．Let $m=2^{r}$ and set $k=m / 4$ ．
1）Let $a \in F^{\cdot}$ be totally positive and such that $(a, \theta)_{P}=1$ if $P$ is a non－dyadic finite prime of $F$ ．Then there exists a fractional $\mathbb{Z}[\zeta]$－ideal I such that the skew－
hermitian form

$$
h: I \times I \rightarrow \mathbb{Z}[\zeta]
$$

defined by

$$
h(x, y)=\zeta^{k} a x \bar{y}
$$

is unimodular.
2) Let $a$ and I be as in 1). Set

$$
\begin{equation*}
S(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}\left(\frac{1}{\psi^{\prime}(\eta)} \frac{1}{\zeta-\zeta^{-1}} \zeta^{k} a x \bar{y}\right) \tag{3}
\end{equation*}
$$

then $(I, S)$ is a definite unimodular $\phi_{m}$-lattice.
Conversely, if ( $I, S$ ) is a definite unimodular $\phi_{m}$-lattice then $S$ can be written under the form (3) with $a \in F^{*}$ as in 1).

Proof. 1) By Lemma 1.7 there exists a fractional ideal $I$ such that the hermitian form $g: I \times I \rightarrow \mathbb{Z}[\zeta]$ defined by $g(x, y)=a x \bar{y}$ is unimodular. As $\zeta^{k}$ is a unit, this implies that $(I, h)$ is also unimodular.
2) By Lemma 1.5 and Lemma 1.6 we see that ( $I, S$ ) is unimodular. Let $\alpha=\left(\zeta-\zeta^{-1}\right) \zeta^{-k}$. In order to prove that $(I, S)$ is positive definite, it suffices to prove that

$$
\operatorname{sgn}(\alpha)=\operatorname{sgn}\left(\psi^{\prime}(\eta)\right)
$$

As in the proof of Proposition 1.8 we see that

$$
\operatorname{sgn}\left(\psi^{\prime}(\eta)\right)=\sum_{h=1}^{M} g_{2 h}^{-1}
$$

where $M=\varphi(m) / 4=k / 2$ if $m \neq 4$ and $M=0$ if $m=4$. Notice that

$$
g_{j}(\eta)=\eta_{j}=\exp \left(\frac{2 i \pi(2 j-1)}{m}\right)+\exp \left(\frac{-2 i \pi(2 j-1)}{m}\right) \quad j=1, \ldots, k
$$

We have

$$
\alpha=\zeta^{k-1}+\zeta^{-k+1}
$$

It is easy to check that $g_{j}(\alpha)$ is positive if $j$ is odd and negative if $j$ is even. Therefore ( $I, S$ ) is positive definite.

Conversely let ( $I, S$ ) be a definite unimodular $\phi_{m}$-lattice. By Lemma 1.6 and Lemma 1.5 we have

$$
S(x, y)=\operatorname{Tr}_{K / Q}\left(\frac{1}{\psi^{\prime}(\eta)} \frac{1}{\zeta-\zeta^{-1}} b x \bar{y}\right)
$$

where $h: I \times I \rightarrow \mathbb{Z}[\zeta]$ defined by $h(x, y)=b x \bar{y}$ is a unimodular skew-hermitian form.

Set $a=b \zeta^{-k}$. Then $a \in F^{\prime}$, and

$$
S(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}\left(\frac{1}{\psi^{\prime}(\eta)} \frac{1}{\zeta-\zeta^{-1}} \zeta^{k} a x \bar{y}\right) .
$$

Let us check that $a$ satisfies the conditions of 1 ). As $(I, h)$ is unimodular, the hermitian form $\mathrm{g}: I \times I \rightarrow \mathbb{Z}[\zeta]$ defined by $g(x, y)=a x \bar{y}$ is also unimodular. Therefore by Lemma 1.7 we have $(a, \theta)_{\mathbf{P}}=1$ for all finite non-dyadic primes $P$ of $F$. We have seen in the proof of 1 ) that $\psi^{\prime}(\eta)\left(\zeta-\zeta^{-1}\right) \zeta^{-k}$ is totally positive. Therefore $a$ is also totally positive.

Remark 1.10. Let $m=2^{r}$. It is easy to check that if we take $a=1$ and $I=\mathbb{Z}[\zeta]$ in Proposition 1.9, we obtain the lattice $\langle 1\rangle ⿴ 囗 \cdots \boxplus\langle 1\rangle$. On the other hand, if $(I, S)$ is a definite $\phi_{m}$-lattice such that $I$ is a non-principal $\mathbb{Z}[\zeta]$-ideal then ( $I, S$ ) does not respresent 1 . Indeed, suppose that there exists an $x \in I$ such that $S(x, x)=1$. Then $(\mathbb{Z} x, S)$ is an orthogonal summand of $(L, S)$. A definite lattice factorizes uniquely into the orthogonal sum of indecomposable sublattices (cf. [19], 105.1). This implies that either $t(x)= \pm x$, or $S(x, t(x))=0$. Let $a=m / 2-1$. Then the elements $x, t(x), \ldots, t^{a}(x)$ are linearly independent, so we must have $S\left(t^{i}(x), t^{j}(x)\right)=0$ if $i \neq j$. But we also have $S\left(t^{i}(x), t^{i}(x)\right)=1$, so the lattice $(\mathbb{Z}[\zeta] x, S)$ is unimodular. As $\mathbb{Z}[\zeta] x \subset I$, this implies that $\mathbb{Z}[\zeta] x=I$ so $I$ is a principal ideal.

Remark 1.11. I thank J. Milnor for the following observations. Theorem 1 implies that for all integers $m>1$, there exists a definite unimodular lattice $L$ such that the orthogonal group of $L$ contain a cyclic group $C_{m}$ of order $m$, and such that $C_{m}$ acts freely on $L \backslash\{0\}$.

Let $t$ be an automorphism of order $m$ of a lattice $L$. Then the cyclic group generated by $t$ acts freely on $L \backslash\{0\}$ if and only if the characteristic polynomial of $t$ is a power of the cyclotomic polynomial $\phi_{m}$.

## 2.

In this section we shall investigate some properties of definite $\phi_{m}^{n}$-lattices. If there is no ambiguity we shall write just $L$ instead of ( $L, S$ ). We shall be interested in the decompositions $L=L_{1} \boxplus \cdots \boxplus L_{k}$ into the orthogonal sum of sublattices (the sublattices $L_{i}$ are not supposed to be stables by an automorphism of $L$ ). We shall say that $L$ is indecomposable if $L$ cannot be written as the orthogonal sum of two non-trivial lattices.

Let us recall that $\zeta$ is a primitive $m$ th root of unity, that $K=\mathbb{Q}(\zeta)$ and that $\Delta$ is the inverse different of $K / \mathbb{Q}$.

THEOREM 2.1. Let $(L, S)$ be a positive definite $\phi_{m}^{n}$-lattice such that

$$
S(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}(h(x, y))
$$

where $h: L \times L \rightarrow \Delta$ is an indecomposable hermitian form.
Let $L=L_{1} \boxplus \cdots \boxplus L_{k}$ where the $L_{i}$ 's are indecomposable lattices.
Then $L_{i} \simeq L_{j}$ for all $i$ and $j$. The number of indecomposable components $k$ divides $m$ and $n \varphi(m)$. We have $\operatorname{rank}_{\mathbb{Z}}\left(L_{i}\right)=n \varphi(m) / k$, and $L_{i}$ is a $\phi_{m / k}^{r}$-lattice for some r. In particular $\varphi(m / k)$ divides $n \varphi(m) / k$.

If $(L, S)$ is unimodular and if $m$ is not a power of 2 , then $n \varphi(m) / k$ is divisible by 8. If moreover $n=1$, then $m \neq k p^{r}, m \neq 2 k p^{r}$ where $p$ is an odd prime.

Proof. Let $t: L \rightarrow L$ be an automorphism of $(L, S)$ with characteristic polynomial $\phi_{m}^{n}$. Then $t$ permutes the $L_{i}$ 's:t $\left(L_{i}\right)=L_{i}$, because the decomposition into the orthogonal sum of indecomposable sublattices is unique (cf. [19], 105.1). Suppose that $L=M$ 田 with $t(M)=M$ (therefore also $t(N)=N$ ). Then $M$ and $N$ are $\operatorname{sub} \mathbb{Z}[\zeta]$-modules of $L$. By Lemma 1.5 there exist hermitian forms $g: M \times M \rightarrow \Delta$ and $g^{\prime}: N \times N \rightarrow \Delta$ such that

$$
S(x, y)=\operatorname{Tr}_{K / Q}(g(x, y)) \quad x, y \in M
$$

and

$$
S(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}\left(g^{\prime}(x, y)\right) \quad x, y \in N
$$

Then $(L, h)=(M, g) \square\left(N, g^{\prime}\right)$, but we have supposed $(L, h)$ indecomposable so this implies $M=0$ or $N=0$. Therefore $t$ induces a cyclic permutation of the $L_{i}$ 's. So $k$ divides $m$. On the other hand the $L_{i}$ 's are all isometric, and in particular $k \cdot \operatorname{rank}_{\mathbb{Z}}\left(L_{i}\right)=n \varphi(m)$. We have $t^{k}\left(L_{i}\right)=L_{i}$, so the $L_{i}$ 's are $\phi_{m / k}^{r}$-lattices for some $r$. Then $\operatorname{rank}_{\mathbb{Z}}\left(L_{i}\right)=r \varphi(m / k)$, so $r \varphi(m / k)=n \varphi(m) / k$.

If ( $L, S$ ) is unimodular, then the $L_{i}$ 's are unimodular too, therefore $\operatorname{rank}_{\mathbf{z}}\left(L_{i}\right)=n \varphi(m) / k$ must be divisible by 8 (see e.g. [21] Chapitre $V, 2.1$ ). Let $n=1$. We have $\varphi(m / k) \leqslant \varphi(m) / k$, therefore $r=1$, and $L_{i}$ is a $\phi_{m / k}$-lattice. By Theorem 1.1 this implies that $m / k$ must be mixed or a power of 2 .

We shall say that a lattice ( $L, S$ ) represents 2 if there exists an $x \in L$ such that $S(x, x)=2$.

COROLLARY 2.2. Let ( $L, S$ ) be a definite $\phi_{m}$-lattice with $m$ square free. Then ( $L, S$ ) is indecomposable. If moreover $m \neq p, 2 p$ where $p$ is a prime and if $\varphi(m)>8$ then $(L, S)$ does not represent 2.

Proof. As $(L, S)$ is a $\phi_{m}$-lattice, by Lemma 1.5 it is the trace of a rank one hermitian form, which is of course indecomposable. Let $k$ be a common divisor of $m$ and of $\varphi(m)$. It is easy to check that as $m$ is square free, we have $\varphi(m) / k<$ $\varphi(m / k)$ if $k \neq 1$. Therefore by Theorem 2.1 we must have $k=1$, so ( $L, S$ ) is indecomposable.

Let $R=\{x \in L$ such that $S(x, x)=2\}$ and set $M=\mathbb{Z} R$. Let $t$ be an automorphism of ( $L, S$ ) with characteristic polynomial $\phi_{m}$. Then $t(M)=M$. As $\phi_{m}$ is irreducible, we have either $M=0$ or $\operatorname{rank}_{\mathbb{Z}}(M)=\varphi(m)$. If $M \neq 0$, then $(M, S)$ is a definite $\phi_{m}$-lattice, so by the first part of Corollary 2.2, $(M, S)$ is indecomposable. Then $R$ is an indecomposable root system, therefore $R=A_{h}$ or $D_{h}$ with $h=$ $\varphi(m)$, cf. for instance [18] p. 145-146. The automorphism group of $A_{h}$ is the product of the symmetric group of $h+1$ letters $S_{h+1}$ with $C_{2}=\mathbb{Z} / 2 \mathbb{Z}$ and the automorphism group of $D_{h}$ is a semi-direct product of $S_{h}$ with $C_{2}^{h}$ (cf. [2], Chap. VI, no 4.7 and no 4.8) and it is easy to check that these groups do not contain any element $t$ such that the characteristic polynomial of the automorphism $t: \mathbb{Z} R \rightarrow$ $\mathbb{Z} R$ is $\phi_{m}$. Therefore $M=0$ and $R$ is empty.

In the following Corollary we shall assume that $(L, S)$ is unimodular:
COROLLARY 2.3. Let $(L, S)$ be a definite unimodular $\phi_{m}^{n}$-lattice such that one of the following holds:
a) $n=1, m$ is mixed and for all divisors $k$ of $m$ and of $\varphi(m)$ such that $\varphi(m / k)=\varphi(m) / k$, either $m / k$ is not mixed or $\varphi(m / k)$ is not divisible by 8 .
b) $n=2, m=p$ or $2 \cdot p$ with $p$ prime and $p \equiv 1 \bmod 4$.
c) $n=4, m=p$ or $2 \cdot p$ with $p$ prime and $p \equiv 3 \bmod 4$.

Then ( $L, S$ ) is indecomposable.
Proof. By Lemma 1.5, $S(x, y)=\operatorname{Tr}_{\mathrm{K} / \mathbb{Q}}(h(x, y))$ where $h: L \times L \rightarrow \Delta$ is a unimodular hermitian form. By Theorem 1.1 we see that $h$ is indecomposable. The indecomposability of $(L, S)$ then follows immediately from Theorem 2.1.

Let $(L, S)$ be a definite lattice and let $\alpha$ be a positive integer. Set $R=\{x \in L$ such that $S(x, x)=\alpha\}$. We shall say that $R$ is decomposable if $R=R_{1} \cup R_{2}$ such that $R_{1}$ and $R_{2}$ are disjoint and $S(x, y)=0$ for $x \in R_{1}, y \in R_{2}$.

If $\alpha=2$ then $R$ is a root system.
The following Corollary is a consequence of Theorem 2.1 and of results of Kervaire:

COROLLARY 2.4. Let $(L, S)$ be a definite $\phi_{m}^{n}$-lattice. Let $T \subset R$ such that $T$ is indecomposable.
a) If $R$ contains exactly $k$ copies of $T$, then $k \cdot \operatorname{rank}_{\mathbb{Z}}(\mathbb{Z} T)=r \varphi(m)$ for some integer $1 \leqslant r \leqslant n$. If $r=1$, then $k$ divides $m$, and $\mathbb{Z} T$ is a $\phi_{m / k}$-lattice. In particular $\operatorname{rank}_{\mathbb{Z}}(\mathbb{Z} T)=\varphi(m / k)$.
b) Suppose that $\alpha=2$ (so $R$ is a root system) and that $m$ is not a power of 2 . Then $T$ is either $D_{4}, E_{6}, E_{8}$ or $A_{h}$ with $h$ even.

Proof. a) Let $t$ be an automorphism of ( $L, S$ ) with characteristic polynomial $\phi_{m}^{n}$. We have $t(R)=R$. Let $M$ be an orthogonal summand of $(\mathbb{Z} R, S)$ such that $t(M)=M$ and that $M$ does not have any orthogonal summands $N$ with $t(N)=N$. By Lemma 1.5 it is clear that ( $M, S$ ) satisfies the hypothesis of Theorem 2.1. Let $M=L_{1} ⿴ \cdots \rightarrow L_{a}$ then by Theorem 2.1 we have $L_{i} \simeq L_{j}$ for all $i$ and $j$, so $a \cdot \operatorname{rank}_{\mathbb{Z}}\left(L_{i}\right)=\operatorname{rank}_{\mathbb{Z}}(M)$ which is divisible by $\varphi(m)$. Notice that $L_{i} \simeq \mathbb{Z} T$ for some indecomposable $T \subset R$. It is easy to see that this implies that $k \cdot \operatorname{rank}_{\mathbb{Z}}(\mathbb{Z} T)=r \varphi(m)$ for some integer $1 \leqslant r \leqslant n$.

If $r=1$, then there exists a unique $M \subset \mathbb{Z} R$ as above such that $\mathbb{Z} T \subset M$. By Theorem $2.1, \mathbb{Z} T$ is a $\phi_{m / k}^{b}$-lattice for some integer $b$. We have $\operatorname{rank}_{\mathbb{Z}}(\mathbb{Z} T)=$ $b \varphi(m / k)$, so $b \varphi(m / k)=\varphi(m) / k$. But $\varphi(m / k) \geqslant \varphi(m) / k$, so $b=1$.
b) If $m$ is not a power of 2 , then either $1-t$ or $1+t$ is invertible (indeed, $t$ is the multiplication by a primitive $m$ th root of unity). Therefore ( $L, S$ ) has an automorphism $s$ such that $1-s$ is invertible. Kervaire has proved that this implies that ( $\mathbb{Z} T, S$ ) also has an automorphism $s^{\prime}$ such that $1-s^{\prime}$ is invertible (cf. [8] Proposition 2). On the other hand be also proved that this implies that $T$ must be one of the root systems $D_{4}, E_{6}, E_{8}$ or $A_{h}$ with $h$ even (see [8] Proposition 3).

COROLLARY 2.5. Let $(L, S)$ be a definite indecomposable $\phi_{m}$-lattice. Assume that for all common divisors $k$ of $m$ and of $\varphi(m)$ such that $\varphi(m / k)=\varphi(m) / k$ we have : $\varphi(m) \neq 4 k, \varphi(m) \neq 6 k$, and either $\varphi(m) / k$ is odd, or

$$
\frac{m}{k}>2 \frac{\varphi(m)}{k}+2
$$

Then ( $L, S$ ) does not represent 2 .

Proof. Let $R=\{x \in L$ such that $S(x, x)=2\}$, and let $T$ be an indecomposable root system. Assume that $R$ contains exactly $k$ copies of $T$. Then part a) of Corollary 2.4 implies that $k$ divides $m$ and $\varphi(m)$ and that $\operatorname{rank}_{\mathbb{Z}}(\mathbb{Z} T)=\varphi(m) / k=$ $\varphi(m / k)$.

Moreover $(\mathbb{Z} T, S)$ is a $\phi_{m / k}$-lattice. By part b) of Corollary 2.4 we have $T=D_{4}, E_{6}$ or $A_{h}$ with $h$ even. But we have assumed that $\varphi(m / k) \neq 4,6$ so $T=A_{h}$ where $h=\varphi(m / k)$. The automorphism group of $A_{h}$ is $S_{h+1} \times C_{2}$ (cf. [2], Chap. VI, no 4.7). We have assumed that $m / k>2(h+1)$, therefore the automorphism group of $A_{h}=T$ does not contain any element of characteristic polynomial $\phi_{m / k}$. Therefore $T$ is empty, so ( $L, S$ ) does not represent 2 .

We shall give an application of Theorem 2.1 to the indecomposability of tensor products of definite lattices. We shall need the following lemma:

LEMMA 2.6. Let $\zeta$ be a primitive $m$ th root of unity, and let $(L, S)$ be a definite lattice. Set $M=L \otimes_{\mathbb{Z}} \mathbb{Z}[\zeta]$, and let $h: M \times M \rightarrow \mathbb{Z}[\zeta]$ be the hermitian form defined by

$$
h(x \otimes \alpha, y \otimes \beta)=\alpha \bar{\beta} S(x, y)
$$

If $(L, S)$ is indecomposable, then $(M, h)$ is also indecomposable.
Proof. The proof is essentially the same as Kitaoka's proof of a similar statement for quadratic forms, cf. [9] Corollary of Theorem 4.

COROLLARY 2.7. Let $(L, S)$ and $\left(L^{\prime}, S^{\prime}\right)$ be indecomposable definite lattices such that $\left(L^{\prime}, S^{\prime}\right)$ is a $\phi_{m}$-lattice. Let $r=\operatorname{rank}_{\mathbf{Z}}(L)$. Assume that if $k$ is a common divisor of $m$ and of $r \varphi(m)$, then $\varphi(m / k)$ does not divide $r \varphi(m) / k$.

Then $(L, S) \otimes_{\mathbb{Z}}\left(L^{\prime}, S^{\prime}\right)$ is indecomposable.
Proof. Let $(M, h)=(L, S) \otimes_{\mathbb{Z}} \mathbb{Z}[\zeta]$ as in Lemma 2.6. Then $(M, h)$ is indecomposable. We have $S^{\prime}(x, y)=\operatorname{Tr}_{K / Q}(g(x, y))$, where $g: L^{\prime} \times L^{\prime} \rightarrow \Delta$ is a hermitian form, and $L^{\prime}$ is a rank one $\mathbb{Z}[\zeta]$-module (cf. Lemma 1.5). Then

$$
(N, f)=(M, h) \otimes_{\mathbb{Z}[f]}\left(L^{\prime}, g^{\prime}\right)
$$

is also indecomposable.
Let ( $N, S^{\prime \prime}$ ) be defined by

$$
S^{\prime \prime}(x, y)=\operatorname{Tr}_{K / Q}(f(x, y))
$$

Then ( $N, S^{\prime \prime}$ ) is indecomposable by Theorem 2.1. On the other hand, it is easy to see that $\left(N, S^{\prime \prime}\right)$ is isometric to $(L, S) \otimes_{\mathbb{Z}}\left(L^{\prime}, S^{\prime}\right)$, the proof is similar to the proof of [12], Chapter VII, Theorem 1.3.

Kitaoka has proved a theorem in [10] with same conclusion as Corollary 2.7. The precise relationship between Kitaoka's hypothesis and the hypothesis of Corollary 2.6 is not known.

## 3. The classification problem of definite unimodular $\boldsymbol{\phi}_{\boldsymbol{m}}$-lattices

Let $(L, S)$ be a definite unimodular $\phi_{m}$-lattice. In Section 1 we have found necessary and sufficient conditions for the existence of such a lattice: namely either $m$ is a power of 2 , or $m$ is mixed and $\varphi(m)$ is divisible by 8 . In the present section we shall study the classification up to isometry of these lattices.

Let us recall some notations: $\zeta$ is a primitive $m$ th root of unity, $K=\mathbb{Q}(\zeta)$, $F=\mathbb{Q}\left(\zeta+\zeta^{-1}\right)$ is the fixed field of the $\mathbb{Q}$-involution of $K$ which sends $\zeta$ to $\zeta^{-1}$. We denote by $\psi$ the minimal polynomial of $\eta=\zeta+\zeta^{-1}$, and by $\psi^{\prime}$ the derivative of $\psi$.

Let $h^{-}$be the relative class number of $K$ (i.e. the class number of $K$ divided by the class number of $F$ ).

Let $C_{K}$ and $C_{F}$ be the ideal class groups of $K$ and $F$. We have a homomorphism $N_{K / F}: C_{K} \rightarrow C_{F}$ which is induced by the norm of ideals. Notice that $h^{-}$is the cardinality of the kernel of this homomorphism (see for instance [15] Theorem 4.4).

In this section we shall assume that $h^{-}$is odd. If $m$ is a power of 2 then this hypothesis is always satisfied, see Weber [29].

PROPOSITION 3.1. Assume that $h^{-}$is odd. Let $J$ be a fractional $\mathbb{Z}[\zeta]$-ideal such that $N_{K / F}([J])=1$, where $[J]$ is the class of $J$ in $C_{K}$.

Then there exists $S: J \times J \rightarrow \mathbb{Z}$ such that $(J, S)$ is a definite unimodular $\phi_{m}{ }^{-}$ lattice.

Conversely if $(J, S)$ is a unimodular $\phi_{m}$-lattice, then $N_{K / F}([J])=1$.
Moreover if $\left(J, S_{1}\right)$ and $\left(J, S_{2}\right)$ are two definite unimodular $\phi_{m}$-lattices, then $\left(J, S_{1}\right) \simeq\left(J, S_{2}\right)$.

Let us recall that $G=\operatorname{Gal}(F / \mathbb{Q})$, and that we have defined the signature homomorphism sgn: $F^{\cdot} \rightarrow \mathbb{F}_{2} G$ by

$$
\operatorname{sgn}(x)=\sum_{g \in G} \sigma(g x) g^{-1}
$$

where $\sigma(\alpha)=0$ or 1 according as $\alpha$ is positive or negative.

Let $U_{F}$ and $U_{K}$ denote the group of units of $F$ and of $K$. We have $N_{K / F}: U_{K} \rightarrow U_{F}$ defined by $N_{K / F}(u)=u \bar{u}$.

For the proof of Proposition 3.1 we shall need the following lemma (which I believe is well known):

LEMMA 3.2. Assume that $h^{-}$is odd.
Let us denote IG the augmentation ideal of $\mathbb{F}_{2} G$. Then we have:
a) If $m$ is mixed, then

$$
\operatorname{sgn}: U_{F} / N_{K / F}\left(U_{K}\right) \rightarrow I G
$$

is bijective.
b) If $m$ is a prime power, then

$$
\text { sgn : } U_{\mathrm{F}} / N_{\mathrm{K} / \mathrm{F}}\left(U_{K}\right) \rightarrow \mathbb{F}_{2} G
$$

is bijective.

Proof of Lemma 3.2. Let us denote $U_{F}^{+}$the totally positive units of $F$.
a) If $m$ is mixed then by Shimura [22] Proposition A. 2 we see that $\left[U_{F}^{+}: U_{F}^{2}\right]=$ 2. But it is well known that $\left[N_{K / F}\left(U_{K}\right): U_{F}^{2}\right]=2$, see Hasse [7], §21 and §22. Therefore $U_{F}^{+}=N_{K / F}\left(U_{K}\right)$, so sgn: $U_{F} / N_{K / F}\left(U_{K}\right) \rightarrow I G$ is injective. But $U_{\mathbf{F}} / N_{\mathbf{K} / \mathbf{F}}\left(U_{\mathbf{K}}\right)$ and $I G$ have the same cardinality, (see [1] Example 2.5) therefore sgn is also onto.
b) If $m=2^{r}$, then by Shimura [22] Proposition A. 2 we see that $\boldsymbol{U}_{\mathbf{F}}^{+}=\boldsymbol{U}_{\mathbf{F}}^{2}=$ $N_{K / F}\left(U_{K}\right)$. On the other hand, $U_{F} / N_{K / F}\left(U_{K}\right)$ and $\mathbb{F}_{2} G$ have the same cardinality (see [1] Example 2.5). Therefore sgn: $U_{F} / N_{K / F}\left(U_{K}\right) \rightarrow \mathbb{F}_{2} G$ is bijective.

Proof of Proposition 3.1. We have two cases to consider: either $m$ is mixed and $\varphi(m)$ is divisible by 8 , or $m$ is a power of 2 .

1) Let us assume that $m$ is mixed and that $\varphi(m)$ is divisible by 8 . Let $J$ be a fractional $\mathbb{Z}[\zeta]$-ideal such that $N_{K / F}([J])=1$. Then there exists a $b \in F^{\cdot}$ such that the hermitian form $h: J \times J \rightarrow \mathbb{Z}[\zeta]$ defined by $h(x, y)=b x \bar{y}$ is unimodular (cf. [1], Proposition 1.2).
Recall that $\theta=\left(\zeta-\zeta^{-1}\right)^{2}$, and that ()$_{P}$ is the Hilbert symbol. No finite prime of $F$ ramifies in $K$, therefore by Lemma 1.7 we have $(b, \theta)_{P}=1$ for all finite primes $P$ of $F$. By Hilbert reciprocity we have $\prod_{P \in \Omega}(b, \theta)_{P}=1$, where $\Omega$ is the set of infinite primes of $F$. It is easy to see that this implies that $\operatorname{sgn}(b) \in I G$.

Let $x=\sum_{k=1}^{M} g_{2 k}^{-1}$, where $M=\varphi(m) / 4$ (see Proposition 1.8 for the definition of $\left.g_{i}\right)$. As $\varphi(m)$ is divisible by 8 , we have $x \in I G$.
By part a) of Lemma 3.2 we see that there exists $u \in U_{F}$ such that

$$
\operatorname{sgn}(u)=x+\operatorname{sgn}(b)
$$

Let $a=u b$, then $\operatorname{sgn}(a)=\sum_{k=1}^{M} g_{2 k}^{-1}$, and $(a, \theta)_{P}=1$ for all finite primes $P$ of $F$. Set

$$
\begin{equation*}
S(x, y)=\operatorname{Tr}_{\mathrm{K} / \mathbb{Q}}\left(\frac{1}{\psi^{\prime}(\eta)} a x \bar{y}\right) \tag{2}
\end{equation*}
$$

then by Proposition $1.8,(J, S)$ is a definite unimodular $\phi_{m}$-lattice.
If $(J, S)$ is a unimodular $\phi_{m}$-lattice, then we can identify $J$ with a fractional $\mathbb{Z}[\zeta]$-ideal. By Lemma 1.5 and Lemma 1.6 we have

$$
S(x, y)=\operatorname{Tr}_{K / Q}\left(\frac{1}{\psi^{\prime}(\eta)} h(x, y)\right)
$$

where $h: J \times J \rightarrow \mathbb{Z}[\zeta]$ is unimodular. Therefore by [1], Proposition 1.2 we have $N([J])=1$.
If $\left(J, S_{1}\right)$ and $\left(J, S_{2}\right)$ are two unimodular, definite $\phi_{m}$-lattices, then by Proposition 1.8 we have

$$
S_{i}(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}\left(\frac{1}{\psi^{\prime}(\eta)} a_{i} x \bar{y}\right)
$$

such that $h_{i}: J \times J \rightarrow \mathbb{Z}[\zeta]$ defined by $h_{i}(x, y)=a_{i} x \bar{y}$ is unimodular. Therefore $u=a_{1} a_{2}^{-1} \in U_{F}$ (cf. [1], §2). As $S_{1}$ and $S_{2}$ are definite, by Proposition 1.8 we have $\operatorname{sgn}\left(a_{1}\right)=\operatorname{sgn}\left(a_{2}\right)$. Therefore $u$ is totally positive. By Lemma 3.2 this implies that there exists $v \in U_{K}$ such that $u=v \bar{v}$. Therefore $f: J \rightarrow J$ defined by $f(x)=v x$ gives an isometry between $\left(J, S_{1}\right)$ and $\left(J, S_{2}\right)$.
2) Let $m=2^{r}$. Let $J$ be a fractional $\mathbb{Z}[\zeta]$-ideal such that $N_{K / F}([J])=1$. Then there exists $b \in F^{\cdot}$ such that the hermitian form $h: J \times J \rightarrow \mathbb{Z}[\zeta]$ defined by $h(x, y)=b x \bar{y}$ is unimodular (cf. [1], Proposition 1.2). By Lemma 3.2 there exists $u \in U_{F}$ such that $\operatorname{sgn}(u)=\operatorname{sgn}(b)$. Set

$$
\begin{equation*}
S(x, y)=\operatorname{Tr}_{K / Q}\left(\frac{1}{\psi^{\prime}(\eta)} \frac{1}{\zeta-\zeta^{-1}} \zeta^{k} a u x \bar{y}\right) \tag{3}
\end{equation*}
$$

By Proposition 1.9, $(J, S)$ is a definite unimodular $\phi_{m}$-lattice. The end of the proof if similar to the case $m$ mixed.

Let us denote by $C^{-}$the kernel of $N_{K / F}: C_{K} \rightarrow C_{F}$ and let $h$ be the cardinality of $C^{-} / \mathrm{Gal}(K / \mathbb{Q})$.

COROLLARY 3.3. Assume that $h^{-}$is odd. The number of isometry classes of definite unimodular $\phi_{m}$-lattices is at most $h$.

Proof. By Proposition 3.1 we have a surjective map from $C^{-}$to the set of isometry classes of definite unimodular $\phi_{m}$-lattices. Let $c_{1}, c_{2} \in C^{-}$and suppose that there exists a $g \in \operatorname{Gal}(K / \mathbb{Q})$ such that $c_{1}^{\mathrm{g}}=c_{2}$.

It is easy to see that the definite unimodular $\phi_{m}$-lattices associated to $c_{1}$ and $c_{1}^{\mathrm{g}}$ are isometric (write the $\phi_{m}$-lattice under the form (2) or (3)).

It would be interesting to know the exact number of isometry classes of definite unimodular $\phi_{m}$-lattices. A similar problem (for automorphisms of prime order) has been solved by H.-G. Quebbemann, cf. [20].

## 4. The signature of cyclotomic units

We have seen in the preceding section that in order to construct definite unimodular $\phi_{m}$-lattices, we have to find units of $F=\mathbb{Q}\left(\zeta+\zeta^{-1}\right)$ (where $\zeta$ is a primitive $m$ th root of unity) of prescribed signatures. If the relative class number $h^{-}$of $K=\mathbb{Q}(\zeta)$ is odd, then such units exist by Lemma 3.2. The present section deals with the problem of constructing these units explicitly.

We shall expose here a method of computing the signature of cyclotomic units which uses some ideas of G. Gras (cf. [6]). This method has been communicated to me by R. Gillard.

DEFINITION 4.1. Let $\xi$ be a primitive $2 m$ th root of unity, and let $a$ be a positive integer relatively prime to $m$. Set

$$
w_{a}=\frac{\xi^{a}-\xi^{-a}}{\xi-\xi^{-1}}
$$

It is easy to check that $w_{a}$ is a unit of $F$ (cf. e.g. [5]). We shall say that $w_{a}$ is a cyclotomic unit.

Recall that $G=\operatorname{Gal}(F / \mathbb{Q})$ and that

$$
\operatorname{sgn}(x)=\sum_{g \in G} \sigma(g x) g^{-1} \in \mathbb{F}_{2} G
$$

where $\sigma(\alpha)=0$ if $\alpha$ is positive and $\sigma(\alpha)=1$ if $\alpha$ is negative.
We shall give formulas for $\operatorname{sgn}\left(w_{a}\right)$. We have to distinguish the cases $m$ odd and $m$ even.
m odd
Set

$$
\xi=\exp \left(\frac{\pi i}{m}+\pi i\right)
$$

Let $b$ be an integer relatively prime to $m$, and let $\rho(b)$ be the element of $G$ which sends $\xi+\xi^{-1}$ to $\xi^{b}+\xi^{-b}$. Let us denote $R(b)$ the remainder of the division of $b$ modulo $m$. We have:

$$
\begin{aligned}
\rho(b) w_{a} & =\frac{\xi^{a b}-\xi^{-a b}}{\xi^{b}-\xi^{-b}}=\frac{\sin \left(\frac{\pi R(a b)}{m}+\pi R(a b)\right)}{\sin \left(\frac{\pi R(b)}{m}+\pi R(b)\right)} \\
& =(-1)^{R(a b)-R(b)}\left(\frac{\sin \frac{\pi R(a b)}{m}}{\sin \frac{\pi R(b)}{m}}\right) .
\end{aligned}
$$

Therefore the sign of $\sigma(b) w_{a}$ is determined by the parity of $R(a b)-R(b)$. We have

$$
\operatorname{sgn}\left(w_{a}\right)=\sum_{\substack{(b, m)=1 \\ 0<b<m / 2}}[R(a b)-R(b)] \rho(b)^{-1}
$$

where $[x]$ denotes the remainder of the division of $x$ modulo 2 .
$m$ even
We may assume that $m$ is divisible by 4 . Set

$$
\xi=\exp \left(\frac{\pi i}{m}+\pi i\right)
$$

Let $0<b<m$, we have:

$$
\begin{aligned}
\rho(b) w_{a} & =\frac{\xi^{a b}-\xi^{-a b}}{\xi^{b}-\xi^{-b}}=\frac{\sin \left(\frac{\pi a b}{m}+\pi a b\right)}{\left.\sin \left(\frac{\pi b}{m}+\pi b\right)\right)} \\
& =(-1)^{a b-b} \frac{\sin \left(\frac{\pi a b}{m}\right)}{\sin \left(\frac{\pi b}{m}\right)}
\end{aligned}
$$

As $a$ is odd, $(-1)^{a b-b}=1$. We have $0<b<m$, so $\sin (\pi b / m)$ is positive. Therefore we have:

$$
\operatorname{sgn}(a)=\sum_{\substack{(b, m)=1 \\ 0<b<m / 2}}\left[\frac{a b}{m}\right] \rho(b)^{-1}
$$

where $[x]$ denotes the remainder of the division of the integral part of $x$ modulo 2.

Assume that $m$ is mixed and that $\varphi(m)$ is divisible by 8. By Proposition 1.8 there exists a $\phi_{m}$-lattice $(I, S)$ with $I \simeq \mathbb{Z}[\zeta]$ if and only if there exists a $u \in U_{F}$ such that

$$
\begin{equation*}
\operatorname{sgn}(u)=\sum_{k=1}^{M} g_{2 k}^{-1} \tag{4}
\end{equation*}
$$

where $M=\varphi(m) / 4$.
(See Proposition 1.8 for the definition of the $g_{i}$ 's).
In the following examples we shall construct such units. This construction makes use of the formulas for the signature of cyclotomic units.

EXAMPLE 4.2. $m=15$. Then $g=\rho(2)$ generates $G$. We want to find $u \in U_{F}$ satisfying (4), i.e.

$$
\operatorname{sgn}(u)=\rho(2)+\rho(7)=g+g^{3}
$$

The formula for the signature of cyclotomic units in the case $m$ odd shows that $\operatorname{sgn}\left(w_{2}\right)=1+g$. We, have $\left(1+g^{3}\right)(1+g)=g+g^{3}$, so $u=w_{2} \cdot w_{2}^{\rho(7)}=$ $\left(\zeta+\zeta^{-1}\right)\left(\zeta^{7}+\zeta^{-7}\right)$ has signature $g+g^{3}$.

By Proposition 1.8 the $\phi_{15}$-lattice $(\mathbb{Z}[\zeta], S)$, with

$$
S(x, y)=\operatorname{Tr}_{K / Q}\left(\frac{1}{\psi^{\prime}(\eta)} u x \bar{y}\right)
$$

is definite and unimodular. By Lemma 1.4 this lattice is even. As the rank of this lattice is 8 , it must be isometric to $\Gamma_{8}$ (see for instance [21] Chapitre $\mathrm{V}, 2.3$ ).

EXAMPLE 4.3. $m=24$. Then $G=\{1, \rho(5), \rho(7), \rho(11)\}$. Using the formula for the case $m$ even, we see that $\operatorname{sgn}\left(w_{7}\right)=\rho(5)^{-1}+\rho(11)^{-1}$. Therefore $u=w_{7}$ satisfies the relation (4). As in Example 4.1 we obtain the lattice $\Gamma_{8}$.

EXAMPLE 4.4. $m=35$. Then $g=\rho(2)$ generates $G$. We want to find $u \in U_{F}$ satisfifying (4), i.e.

$$
\begin{aligned}
\operatorname{sgn}(u) & =\rho(2)^{-1}+\rho(4)^{-1}+\rho(8)^{-1}+\rho(11)^{-1}+\rho(13)^{-1}+\rho(17)^{-1} \\
& =g+\mathrm{g}^{3}+\mathrm{g}^{4}+\mathrm{g}^{9}+\mathrm{g}^{10}+\mathrm{g}^{11}
\end{aligned}
$$

By the formula for the case $m$ odd we have

$$
\operatorname{sgn}\left(w_{2}\right)=1+g+g^{2}+g^{3}+g^{4}+g^{7}
$$

We see by direct computation that

$$
\left(g^{6}+g^{7}+g^{9}+g^{11}\right) \operatorname{sgn}\left(w_{2}\right)=g+g^{3}+g^{4}+g^{9}+g^{10}+g^{11}
$$

Let $\alpha=g^{-6}+g^{-7}+g^{-9}+g^{-11}$. Then the unit

$$
u=w_{2}^{\alpha}=\left(\zeta^{6}+\zeta^{-6}\right)\left(\zeta^{7}+\zeta^{-7}\right)\left(\zeta^{9}+\zeta^{-9}\right)\left(\zeta^{11}+\zeta^{-11}\right)
$$

Satisfies the relation (4).
Therefore by Proposition 1.8 the $\phi_{35}$-lattice ( $\mathbb{Z}[\zeta], S$ ) with

$$
S(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}\left(\frac{1}{\psi^{\prime}(\eta)} u x \bar{y}\right)
$$

is definite and unimodular. As 35 is square free we can apply Corollary 2.2: the lattice $(\mathbb{Z}[\zeta], S)$ is indecomposable and does not represent 2 . By Lemma 1.4 the lattice is also even. As the rank of this lattice is 24 , the above properties imply that it must be isometric to the Leech lattice (cf. Conway [3]).

## 5. Examples

There exists a complete list of the isometry classes of definite unimodular and even lattices of rank at most 24 (cf. Niemeier [18]). For all mixed integer $m$ such that $\varphi(m) \leqslant 24$, we shall determine which of these lattices are $\phi_{m}$-lattices.

Recall that if $m$ is mixed and if $\varphi(m)$ is divisible by 8 , then there exists a definite unimodular and even $\phi_{m}$-lattice (see Theorem 1.1).

## 1) Lattices of rank 8

We have $\varphi(m)=8$, so $m=15(30), 20$ or 24 . As $\Gamma_{8}$ is up to isometry the unique definite, unimodular and even lattice of rank 8 , we see that $\Gamma_{8}$ is a $\phi_{m}$-lattice for these values of $m$.
2) Lattices of rank 16

We have $\varphi(m)=16$, so $m=40,48$ or 60 . For these values of $m$ the corresponding cyclotomic field has relative class number $h^{-}=1$ (cf. [28], p. 353). Therefore there exists a unique definite unimodular $\phi_{m}$-lattice with $m=40,48$ or 60 (see Section 3, Proposition 3.1). This lattice is $\Gamma_{8} \boxplus \Gamma_{8}$ in each case. Indeed, $\Gamma_{8}$ is a $\phi_{m / 2}$-lattice (cf. 1)). Let $t$ be an automorphism of $\Gamma_{8}$ with characteristic polynomial $\phi_{m / 2}$. Then $\left(\begin{array}{ll}0 & t \\ I & 0\end{array}\right)$ is an automorphism of $\Gamma_{8} \boxplus \Gamma_{8}$ with characteristic polynomial $\phi_{m}$.

Every definite, unimodular and even lattice of rank 16 is isometric to $\Gamma_{8} ⿴ \Gamma_{8}$ or to $\Gamma_{16}$. The above discussion shows that $\Gamma_{16}$ cannot be a $\phi_{m}$-lattice. This also follows from Corollary 2.4: indeed, the root system of $\Gamma_{16}$ is $D_{16}$.
3) Lattices of rank 24

We have $\varphi(m)=24$, so $m=35(70), 39(78), 45(90), 52,56,72$ or 84 . We shall study each case separately.
$m=35$
As 35 is square free, we can apply Corollary 2.2: Every definite $\phi_{35}$-lattice is indecomposable and does not represent 2. Therefore if $(L, S)$ is a definite unimodular $\phi_{35}$-lattice, then $(L, S)$ is isometric to the Leech lattice (cf. Conway [3]). Explicitly, we have $L \simeq \mathbb{Z}[\zeta]$ where $\zeta$ is a primitive 35 th root of unity, and

$$
S(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}\left(\frac{1}{\psi^{\prime}(\eta)} u x \bar{y}\right), \quad x, y \in \mathbb{Z}[\zeta]
$$

where $u=\left(\zeta^{6}+\zeta^{-6}\right)\left(\zeta^{7}+\zeta^{-7}\right)\left(\zeta^{9}+\zeta^{-9}\right)\left(\zeta^{11}+\zeta^{-11}\right), \psi$ is the minimal polynomial of $\eta=\zeta+\zeta^{-1}$ and $\psi^{\prime}$ is the derivative of $\psi$ (cf. Example 4.4).
$m=39$
As 39 is square free, we can again apply Corollary 2.2 to deduce that every definite unimodular $\phi_{39}$-lattice $(L, S)$ is isometric to the Leech lattice. We shall give a description of $(L, S)$ which is similar to Craig's presentation of the Leech lattice (cf. [4]). Let $K=\mathbb{Q}(\zeta)$ where $\zeta$ is a primitive 39 th root of unity. It is straightforward to check that the different of $K / \mathbb{Q}$ is

$$
P_{1} \bar{P}_{1} P_{2} \bar{P}_{2} Q^{11} \bar{Q}^{11}
$$

where $P_{1}, P_{2}$ and $Q$ are prime $\mathbb{Z}[\zeta]$-ideals with norms $3^{3}, 3^{3}$ and 13 respectively. Let $I=\left(P_{1} P_{2} Q^{11}\right)^{-1}$, and let us denote $\Delta$ the inverse different of $K / \mathbb{Q}$. Then $\Delta=I \bar{I}$. Therefore we can take $L \simeq I$ and

$$
S(x, y)=\operatorname{Tr}_{K / \mathbb{Q}}(x \bar{y}), \quad x, y \in I .
$$

(This corresponds to $a=\psi^{\prime}(\eta)$ in Proposition 1.8.)
Notice that for $m=35$ one cannot write the inverse different under the form $J \bar{J}$, therefore this type of description is not possible.
$m=45$
$\Gamma_{8} \boxplus \Gamma_{8} \boxplus \Gamma_{8}$ is a $\phi_{45}$-lattice. Indeed, let $t$ be an automorphism of $\Gamma_{8}$ with characteristic polynomial $\phi_{15}$ (cf. 1). Then

$$
\left(\begin{array}{ccc}
0 & 0 & I \\
t & 0 & 0 \\
0 & I & 0
\end{array}\right)
$$

is an automorphism of $\Gamma_{8} ⿴ \Gamma_{8} \boxplus \Gamma_{8}$ with characteristic polynomial $\phi_{45}$.
Let $K=\mathbb{Q}(\zeta)$, where $\zeta$ is a primitive 45 th root of unity. The relative class number of $K$ is 1 (cf. [28], p. 353). By Proposition 3.1 this implies that up to isometry $\Gamma_{8} \boxplus \Gamma_{8} \boxplus \Gamma_{8}$ is the unique unimodular definite $\phi_{45}$-lattice.
$m=52$
Let $(L, S)$ be a definite unimodular $\phi_{52}$-lattice. Then Corollary 2.3 implies that ( $L, S$ ) is indecomposable. Indeed, $k=2$ is the only common divisor of 52 and of $\varphi(52)=24$ such that $\varphi(52 / k)=24 / k$. But $24 / 2=12$ is not divisible by 8 , therefore ( $L, S$ ) is indecomposable. Let $R=\{x \in L$ such that $S(x, x)=2\}$ be the associated
root system. Then Corollary 2.4 implies that either $R$ is empty or $R=2 A_{12}$. We shall see that there exists a definite unimodular $\phi_{52}$-lattice $(L, S)$ having root system $2 A_{12}$.

The automorphism group of $A_{12}$ is $S_{13} \times C_{2}$ (cf. [2], Chap. VI, no 4.7), therefore there exists an automorphism $t: \mathbb{Z} A_{12} \rightarrow \mathbb{Z} A_{12}$ with characteristic polynomial $\phi_{26}$. Set $R=2 A_{12}$, and let $T: \mathbb{Z} R \rightarrow \mathbb{Z} R$ be the automorphism which is given by the matrix

$$
\left(\begin{array}{cc}
0 & t \\
I & 0
\end{array}\right)
$$

Then the characteristic polynomial of $T$ is $\phi_{52}$.
Let us identify the $i$ th copy of $\mathbb{Z} A_{12}$ with

$$
\left\{\sum_{j=1}^{13} x_{j i} e_{j i} \text { such that } x_{j i} \in \mathbb{Z}, \sum_{j=1}^{13} x_{i i}=0\right\}
$$

for $i=1,2$. Let

$$
y_{1 i}=\frac{1}{13} \sum_{j=1}^{12} e_{j i}-\frac{12}{13} e_{13 i}
$$

and let $y_{r i}=r y_{1 i}$. Set $R=2 A_{12}$, and let $L=\mathbb{Z} R+\mathbb{Z}\left(y_{11}+y_{52}\right)$. Then $L$ is unimodular (cf. Niemeier [18] p. 163). It is easy to check that $T\left(y_{11}+y_{52}\right)=y_{51}-y_{12}$ modulo $\mathbb{Z} \boldsymbol{R}$. An easy computation shows that $S\left(y_{11}+y_{52}, T\left(y_{11}+y_{52}\right)\right)=1$, therefore $T\left(y_{11}+y_{52}\right) \in L$. So $T$ is an automorphism of $(L, S)$.

The relative class number of the cyclotomic field corresponding to the 52th roots of unity is $h^{-}=3$ (see [28], p. 353). therefore by Corollary 3.3 there are at most two isometry classes of definite unimodular $\phi_{52}$-lattices. We already know that there exists such a lattice $(L, S)$ with root system $2 A_{12}$. But Niemeier has shown that every definite unimodular lattice of rank 24 having root system $2 A_{12}$ is isometric to $(L, S)$. We have seen that there are no other root systems $R$ such that $\mathbb{Z} \boldsymbol{R}$ is a $\phi_{52}$-lattice. Therefore if there exists another definite unimodular $\phi_{52}$-lattice, it must be isometric to the Leech lattice.
$m=56$
Let $(L, S)$ be a definite unimodular $\phi_{56}$-lattice. Then Corollary 2.3 implies that $(L, S)$ is indecomposable. Indeed, $k=2$ and $k=4$ are the only common divisors of 56 and of 24 such that $\varphi(56 / k)=24 / k$, and in each case $24 / k$ is not divisible by 8 .

Let $R=\{x \in L$ such that $S(x, x)=2\}$ be the associated root system. Then

Corollary 2.4 implies that if $R$ is not empty, then $R=2 A_{12}, 4 E_{6}$ or $4 A_{6}$. It is easy to check that the automorphism groups of $2 A_{12}$ and of $4 E_{6}$ do not contain any element of characteristic polynomial $\phi_{56}$ (cf. [2], Chap. VI, no 4.7 and no 4.12).

We shall see that $R=4 A_{6}$ is also impossible. Indeed, let $R=4 A_{6}$. The automorphism group of $A_{6}$ is $S_{7} \times C_{2}$ (cf. [2], Chap. VI, no 4.7) therefore $A_{6}$ has automorphisms of characteristic polynomial $\phi_{14}$. Let $T$ be an automorphism of $R$ with characteristic polynomial $\phi_{56}$. Then $T$ is the composition of

$$
\left(\begin{array}{cccc}
t_{1} & 0 & 0 & 0 \\
0 & t_{2} & 0 & 0 \\
0 & 0 & t_{3} & 0 \\
0 & 0 & 0 & t_{4}
\end{array}\right)
$$

with a permutation matrix of order 4 , where $t_{i}= \pm I$ or an automorphism of $A_{6}$ with characteristic polynomial $\phi_{14}$ or $\phi_{7}$. Niemeier has proved that the unimodular lattice ( $L, S$ ) with root system $R=4 A_{6}$ is unique up to isometry (cf. [18], p. 165). We shall see that $T$ does not extend to an automorphism of $L$.

We shall identify the $i$ th copy of $\mathbb{Z} A_{6}$ with

$$
\left\{\sum_{j=1}^{7} \alpha_{j i} e_{j i} \text { such that } \alpha_{i i} \in \mathbb{Z}, \sum_{j=1}^{7} \alpha_{i i}=0\right\}
$$

Let $y_{1 i}=\frac{1}{7} \sum_{j=1}^{6} e_{i i}-\frac{6}{7} e_{7 i}$ and let $y_{r i}=r y_{1 i}$, for $r=1, \ldots, 6$. Let $x_{1}=y_{11}+y_{22}+y_{33}$, $x_{2}=y_{32}-y_{23}+y_{14}$. then $L=\mathbb{Z} \boldsymbol{R}+\mathbb{Z} x_{1}+\mathbb{Z} x_{2}$ is a unimodular lattice (cf. Niemeier [18], p. 166). It is easy to check that

$$
T\left(y_{1 i}\right)= \pm y_{1 \sigma(i)} \text { modulo } R
$$

where $\sigma$ is a permutation of order 4. To simplify notations, we shall write $a b$ instead of $S(a, b)$. We see that $x_{1} T\left(x_{1}\right)$ is either $\pm y_{1} y_{2} \pm y_{2} y_{3}$ or $y_{1} y_{3} \pm y_{2} y_{3}$, or $\pm y_{1} y_{2} \pm y_{1} y_{3}$ (we omit the second index which is irrelevant here). But none of these can be an integer, as $y_{1} y_{2}=\frac{5}{7}, y_{1} y_{3}=\frac{4}{7}$ and $y_{2} y_{3}=\frac{8}{7}$. Therefore $T\left(x_{1}\right) \notin L$.

This implies that up to isometry the Leech lattice is the unique definite unimodular $\phi_{56}$-lattice.
$m=72$
a) $\Gamma_{8} \boxplus \Gamma_{8} ⿴ \Gamma_{8}$ is a $\phi_{72}$-lattice. Indeed, $\Gamma_{8}$ has an automorphism $t$ with
characteristic polynomial $\phi_{24}$ (see 1$)$ ). Then

$$
\left(\begin{array}{ccc}
0 & 0 & I \\
t & 0 & 0 \\
0 & I & 0
\end{array}\right)
$$

is an automorphism of $\Gamma_{8} \boxplus \Gamma_{8} \boxplus \Gamma_{8}$ with characteristic polynomial $\phi_{72}$.
b) There exists a unimodular lattice $(L, S)$ with root system $R=4 E_{6}$. Moreover, $(L, S)$ is unique up to isometry with these properties (cf. Niemeier [18], p. 160). We shall see that $(L, S)$ is a $\phi_{72}$-lattice.

The root system $E_{6}$ is generated by 6 simple roots $\alpha_{1}, \alpha_{2}, \ldots, \alpha_{6}$, with Dynkin diagram


The corresponding matrix of inner products is

$$
M=\left(\begin{array}{rrrrrr}
2 & 0 & -1 & 0 & 0 & 0 \\
0 & 2 & 0 & -1 & 0 & 0 \\
-1 & 0 & 2 & -1 & 0 & 0 \\
0 & -1 & -1 & 2 & -1 & 0 \\
0 & 0 & 0 & -1 & 2 & -1 \\
0 & 0 & 0 & 0 & -1 & 2
\end{array}\right)
$$

We have $\operatorname{det}(M)=3$.
We see that $\mathbb{Z} E_{6}$ is a $\phi_{9}$-lattice. Indeed, one can identify $\mathbb{Z} E_{6}$ with the lattice $\left(\mathbb{Z}[\zeta], \boldsymbol{S}^{\prime}\right)$, with

$$
S^{\prime}(x, y)=\operatorname{Tr}_{K / Q}\left(\frac{\eta}{3(\eta+1)} x \bar{y}\right)
$$

where $\zeta$ is a primitive 9 th root of unity, $K=\mathbb{Q}(\zeta)$ and $\eta=\zeta+\zeta^{-1}$. Notice that the different of $K / \mathbb{Q}$ is $3\left(\zeta-\zeta^{-1}\right)\left(\eta^{2}-1\right)$, see Lemma 1.6. On the other hand, $N_{K / Q}\left(\zeta-\zeta^{-1}\right)=3$. As $\eta$ and $\eta-1$ are units, it is easy to deduce from this that $\operatorname{det}\left(S^{\prime}\right)=3$. It is easy to check that $\eta / \eta+1$ is totally positive. Therefore $S^{\prime}$ is positive definite. Theorem 2.1 implies that $S^{\prime}$ is indecomposable. But Kneser has
proved (cf. [11]) that there exists only one isometry class of definite indecomposable lattices of rank 6 and determinant 3 , so $\left(\mathbb{Z}[\zeta], S^{\prime}\right)$ is isometric to $E_{6}$.

I thank Michel Kervaire for the following explicit identification of ( $\left.\mathbb{Z}[\zeta], S^{\prime}\right)$ and $E_{6}$ : set $\alpha_{1}=\zeta^{2}+\zeta^{3}, \quad \alpha_{2}=1, \quad \alpha_{3}=-\left(\zeta+\zeta^{2}\right), \quad \alpha_{4}=\zeta, \quad \alpha_{5}=\zeta^{4}, \quad \alpha_{6}=$ $-1+\zeta^{2}-\zeta^{3}-\zeta^{4}+\zeta^{5}$. Using this identification, he also obtains formulas for an automorphism $\theta$ of $E_{6}$ with characteristic polynomial $\phi_{9}$ :

$$
\begin{aligned}
& \theta\left(\alpha_{1}\right)=\alpha_{1}+\alpha_{3}+\alpha_{4}+\alpha_{5} \\
& \theta\left(\alpha_{2}\right)=\alpha_{4} \\
& \theta\left(\alpha_{3}\right)=-\alpha_{1} \\
& \theta\left(\alpha_{4}\right)=-\left(\alpha_{3}+\alpha_{4}\right) \\
& \theta\left(\alpha_{5}\right)=\alpha_{1}+\alpha_{2}+2 \alpha_{3}+2 \alpha_{4}+\alpha_{5}+\alpha_{6} \\
& \theta\left(\alpha_{6}\right)=-\left(\alpha_{1}+2 \alpha_{2}+2 \alpha_{3}+3 \alpha_{4}+2 \alpha_{5}+\alpha_{6}\right) .
\end{aligned}
$$

Let $t=-\boldsymbol{\theta}$. Then $t$ is an automorphism of $E_{6}$ with characteristic polynomial $\phi_{18}$. Set

$$
\mathrm{T}=\left(\begin{array}{llll}
0 & 0 & 0 & I \\
t & 0 & 0 & 0 \\
0 & I & 0 & 0 \\
0 & 0 & I & 0
\end{array}\right)
$$

Then $T$ is an automorphism of $4 E_{6}$ with characteristic polynomial $\phi_{72}$.
If $(M, S)$ is a lattice, we shall denote

$$
M^{\#}=\{x \in \mathbb{Q} M \text { such that } S(x, M) \in \mathbb{Z}\} .
$$

We have $X=\mathbb{Z} E_{6}^{\#} / \mathbb{Z} E_{6}=\mathbb{F}_{3} x$, with $x=\frac{1}{3}\left(-\alpha_{1}+\alpha_{3}-\alpha_{5}+\alpha_{6}\right), x^{2}=\frac{4}{3}$. The automorphism $t$ of $\mathbb{Z} E_{6}$ extends to an automorphism of $\mathbb{Z} E_{6}^{\neq}$, and induces $t: X \rightarrow X$. It is easy to check that $t(x)=-x$.

Following Niemeier (cf. [18] p. 160) we shall denote $\pm x_{i} \pm y_{i} \pm z_{i} \pm s_{i}, i=0,1$, the elements of

$$
\underset{k=1}{\oplus}\left(\mathbb{Z} E_{6}^{\#} / \mathbb{Z} E_{6}\right)
$$

Let $L=\mathbb{Z} R+\mathbb{Z} a+\mathbb{Z} b$, where $a=x_{1}+y_{1}+z_{1}+s_{0}=x_{1}+y_{1}+z_{1}, \quad$ and $\quad b=$ $x_{0}-y_{1}+z_{1}+s_{1}=-y_{1}+z_{1}+s_{1}$. It is easy to check that $a T(a)=a T(b)=b T(b)=0$ and that $b T(a)=4$. As these are all integral, we have $T(L)=L$.

The relative class number of the cyclotomic field corresponding to the 72th roots of unity is 3 . Therefore by Corollary 3.3 there are at most 2 isometry classes of definite unimodular $\phi_{72}$-lattices. This implies that up to isometry the only definite unimodular $\phi_{72}$-lattices are $\Gamma_{8} \boxplus \Gamma_{8} \boxplus \Gamma_{8}$ and the lattice with root system $4 E_{6}$.
$m=84$
Let $(L, S)$ be a definite unimodular $\phi_{84}$-lattice. The only common divisor $k$ of 84 and of 24 such that $\varphi(84 / k)=24 / k$ is $k=2$. As 12 is not divisible by 8 , Corollary 2.3 implies that ( $L, S$ ) is indecomposable. As $41>26$, Corollary 2.5 implies that ( $L, S$ ) does not represent 2 . Therefore by Conway's result [3] the lattice ( $L, S$ ) is isometric to the Leech lattice.

The following Proposition summarizes the above results on $\phi_{m}$-lattices of rank 24:

PROPOSITION 5.1. Every definite unimodular $\phi_{m}$-lattice of rank 24 is isometric to one of the following:
a) the Leech lattice $(m=35,39,56,84)$
b) $\Gamma_{8} \boxplus \Gamma_{8} ⿴ \Gamma_{8}(m=45,72)$
c) the Niemeier lattice with root system $2 A_{12}(m=52)$
d) the Niemeier lattice with root system $4 E_{6}(m=72)$.

Remark 5.2. J. Tits has given four presentations of the Leech lattice (cf. [24], [25]) which also make use of trace maps. M.-F. Vignéras has generalized one of these constructions and obtained lattices of higher rank (cf. [26]).
4) Lattices of rank $r \geqslant 32$

We shall give some values of $m$ such that every definite unimodular $\phi_{m}$-lattice is indecomposable and does not represent 2 (this can be proved by easy applications of Corollaries $2.2,2.3$ or 2.5 ). We shall also give the relative class number $h^{-}$of the corresponding cyclotomic field (cf. [24], p. 353).

$$
\begin{array}{lll}
r=32 & m=51, & h^{-}=5 \\
r=40 & m=55, & h^{-}=10 \\
& m=132, & h^{-}=11 \\
r=48 & m=65, & h^{-}=64 \\
& m=105, & h^{-}=13 \\
r=56 & m=87, & h^{-}=1536 \\
r=64 & m=85, & h^{-}=6205
\end{array}
$$

$$
\begin{array}{lll}
r=72 & m=91, & h^{-}=53872 \\
& m=228, & h^{-}=238203 \\
r=96 & m=119, & h^{-}=1238459625
\end{array}
$$

This list is not always complete for the given values of $r:$ if $r \geqslant 72$, it is easy to find more examples.

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