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Autor(en): **Mohan Kumar, N.**

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## Some theorems on generation of ideals in affine algebras

N. MOHAN KUMAR

### §1. Introduction

Let  $A$  be an affine ring over a field  $k$ . We are interested in studying the following statements and their relation to Eisenbud–Evans type theorems.

C-1: Let  $A$  be reduced. If  $I \subset A$  is any ideal which is a local complete intersection of height  $= \dim A$ , then  $I$  is a complete intersection.

C-2: Every maximal ideal of  $A$  is a complete intersection. (In particular,  $A$  is regular.)

C-3:  $A^n(A) = \{\text{zero cycles modulo rational equivalence}\} = 0$ .

If  $A$  is smooth, it is trivial to see that  $C-1 \Rightarrow C-2 \Rightarrow C-3$ . In this paper, we will prove that  $C-2 \Rightarrow C-1$  if  $k$  is algebraically closed. The equivalence of C-2 and C-3 is known only when  $\dim A \leq 3$  and  $k$  is algebraically closed [MP].

Now we will state the three statements of Eisenbud–Evans conjectures, which were conjectured only for polynomial rings [EE]. We will not call these conjectures, since for general rings they are obviously false. The original conjectures are all proved [for e.g. see [BP]]. Let  $\dim A = n$ .

EE-1: Let  $P, Q$  be two projective modules of rank  $n$  over  $A$ . If  $P \oplus A \simeq Q \oplus A$ , then  $P \simeq Q$ .

EE-2: If  $P$  is a rank  $n$  projective module over  $A$ , then  $P \simeq Q \oplus A$ , where  $Q$  is a rank  $(n-1)$  projective module.

EE-3: Let  $M$  be any finitely generated module over  $A$ . Let  $\mu_p(M)$  denote the minimal number of generators of  $M_p$  as an  $A_p$ -module where  $p$  is any prime ideal of  $A$ . Define  $e(M) = \max \{\mu_p(M) + \dim A/p \mid \dim A/p < \dim A\}$ . Then: minimal number of generators of  $M = \mu(M) \leq e(M)$ .

Suslin's cancellation theorem asserts the validity of EE-1 for any  $A$  over an algebraically closed field. Amit Roy and M. P. Murthy have proved EE-1 when the base field is finite [AR]. EE-2 and EE-3 are easily seen to be false for such general rings. We will prove that EE-2 and EE-3 are equivalent to C-1 (at least when  $k$  is algebraically closed). We will show that EE-2 implies C-1 when  $k$  is a finite field. The major obstacle we face in proving C-1 assuming EE-2, is the analogue of Theorem 3.1 in [MP]. There we prove that when  $k$  is algebraically

closed, every local complete intersection maximal ideal of  $A$  is projectively 'dim  $A$ ' generated (i.e. there exists a projective module of the correct rank mapping onto the maximal ideal). In fact our proof actually gives that this projective module can be chosen to have determinant trivial if  $\dim A \geq 2$ . We will construct examples of smooth 3-folds over a field and a point which is not the zero of a section of a rank 3 projective module with trivial determinant. This is a deviation from the algebraically closed field case. This will give a rank two stably free non-free module over smooth 3-folds over such fields. Also using the same techniques, we will construct a rank two projective module over a smooth 4-fold over  $\mathbb{C}$ , which is stably trivial and not trivial. To the best of our knowledge, all examples of stably free non-free modules were also non trivial holomorphically (and hence topologically). But this example by [MS] is trivial holomorphically: So this is strictly an algebraic example!

## §2

**LEMMA 1.** *Let  $A$  be a reduced noetherian ring and let  $P, Q$  be two constant rank projective modules of the same rank. Let  $\varphi: P \rightarrow A$  and  $\psi: Q \rightarrow A$  be any two homomorphisms such that  $\varphi(P) \subset \psi(Q)$  and  $\varphi(P)$  is not contained in any minimal prime ideal. Then there exists a monomorphism  $\theta: P \rightarrow Q$  such that  $\varphi = \psi \circ \theta$ .*

*Proof.* Existence of a  $\theta$  with  $\psi \circ \theta = \varphi$  is trivial. We will modify  $\theta$  to make it injective. Let  $M = \text{Ker } \psi$  and denote by  $i$  the inclusion  $M \hookrightarrow Q$ . Let  $K$  be the total quotient ring of  $A$ .  $P \otimes_A K$  and  $Q \otimes_A K$  are  $K$ -free modules of the same rank. So  $\text{Ker } \theta \otimes_A K = \text{Coker } \theta \otimes_A K$ . Thus we can write  $P \otimes K \simeq P_1 \oplus P_2$ ,  $Q \otimes K = Q_1 \oplus Q_2$  and  $\theta|_{P_1}: P_1 \rightarrow Q_1$  is an isomorphism,  $P_2 = \text{Ker } \theta \otimes K$  and  $P_2 \simeq Q_2 \simeq \text{Coker } \theta \otimes_A K$ .

Since  $\varphi(P)$  is not contained in any minimal prime ideal,  $\varphi(P) \otimes_A K = K = \psi(P) \otimes_A K$ . Using this, it is trivial to verify that  $M \otimes_A K$  surjects onto  $\text{Coker } \theta \otimes_A K$ . So write,  $M \otimes K = M_1 \oplus M_2$  with  $i(M_1)$  maps isomorphically onto  $\text{Coker } \theta \otimes K$  and  $i(M_2)$  maps to zero. Thus we get that  $M_1 \simeq P_2$ . Define  $f: P \otimes K \rightarrow M \otimes K$  as follows.  $f$  maps  $P_1$  to zero,  $P_2$  isomorphically onto  $M_1$ . Multiplying  $f$  by a non-zero divisor of  $A$  we may further assume that  $f \in \text{Hom}_A(P, M)$ . So  $f$  naturally defines a map  $f: P \rightarrow Q$ . Let  $\theta' = \theta + f \in \text{Hom}_A(P, Q)$ . Since  $f \in \text{Hom}(P, M)$ , it is trivial to see that  $\psi \circ \theta' = \varphi$ . We will check that  $\theta'$  is injective. It is sufficient to check that  $\theta': P \otimes K \rightarrow Q \otimes K$  is injective. So let  $p = (p_1, p_2)$  belong to  $P \otimes K$ ,  $p_i \in P_i$  and  $\theta'(P) = 0$ . So the image of  $\theta'(p)$  in  $\text{Coker } \theta$  is zero. i.e. image of  $f(p)$  in  $\text{Coker } \theta$  is zero. But  $f(p) \in i(M_1)$  and  $i(M_1)$  maps isomorphically onto  $\text{Coker } \theta \otimes_A K$ . Therefore  $f(p) = 0$ . By definition

of  $f$  we see that  $p_2 = 0$ . Since  $\theta$  maps  $P_1$  injectively into  $Q$ , we get that  $p_1 = 0$ . i.e.  $p = 0$ .

**THEOREM 1.** *Let  $A$  be a reduced affine ring of dimension  $n$  over  $k$ . Then C-1 implies EE-2 if  $k$  is finite or algebraically closed. If  $k$  is algebraically closed and  $\text{char } k \nmid (n-1)!$ , then EE-2  $\Rightarrow$  C-1.*

*Proof.* C-1  $\Rightarrow$  EE-12.

Let  $P$  be any rank  $n$  projective module. Taking a generic section of  $P^*$ , we can find a surjective map  $\varphi: P \rightarrow I$ , where  $I$  is a local complete intersection ideal of height  $n$ . By C-1,  $I$  is actually a complete intersection. So we have a surjective map  $\psi: F \rightarrow I$ ,  $F$  a free  $A$ -module of rank  $n$ . By Lemma 1, we can find a  $\theta: P \rightarrow F$  such that  $\psi \circ \theta = \varphi$  and such that  $\theta$  is injective. So  $\theta$  is an isomorphism at every minimal prime of  $A$ . Also using the fact that  $I/I^2$  is a free rank  $n$  module over  $A$ , we get that  $\theta$  is an isomorphism at every maximal ideal containing  $I$ . Putting these together, we see that there exists a non-zero divisor  $x \in A$  which is not contained in any maximal ideal containing  $I$  and such that  $x$  annihilates  $K = \text{Coker } \theta$ . So  $K/xK = 0$  and  $I/xI = A/xA$ . Denote by going modulo  $x$ . We get the following diagram:

$$\begin{array}{ccc}
 \bar{P} & \xrightarrow{\bar{\varphi}} & \bar{I} = \bar{A} \\
 \downarrow \bar{\theta} & & \parallel \\
 \bar{F} & \xrightarrow{\bar{\psi}} & \bar{I} = \bar{A} \\
 \downarrow & & \\
 K & & \\
 \downarrow & & \\
 0 & & 
 \end{array}$$

Let  $p \in \bar{P}$  such that,  $\bar{\varphi}(p) = \bar{I} \in \bar{A}$ . Then clearly  $\bar{\theta}(p) \in \bar{F}$  is unimodular. So  $\bar{F}/\bar{\theta}(P)$ .  $\bar{A}$  is an  $\bar{A}$ -free module of rank  $n-1$ , by [Su, AR]. Thus  $\mu(K) \leq n-1$ . So we have an exact sequence,  $0 \rightarrow Q \rightarrow A^{n-1} \rightarrow K \rightarrow 0$ . Since  $pd_A K = 1$ , we get that  $Q$  is a projective module of rank  $n-1$ . Now by Schanuel's lemma, we get that  $P$  and  $Q$  are stably isomorphic and hence by [Su, AR],  $P \simeq Q \oplus A$ .

EE-2  $\Rightarrow$  C-1 if  $k$  is algebraically closed and  $\text{Char } k \mid (n-1)!$

Let  $I \subset A$  be any local complete intersection ideal of height  $n$ . Then by [MP], there exists a rank  $n$  projective module  $P$  with trivial determinant mapping onto  $I$ . (Notice that if  $\dim A = 1$ , there is nothing to prove). By EE-2, we get a map



$\varphi: Q \oplus A \rightarrow I$ ,  $Q$ , a projective module of rank  $n-1$ . By general arguments we may modify this so that height of  $\varphi(Q)$  is  $n-1$ . Let  $\varphi(Q) = J$ . Then  $I = J + Ax$ ,  $x = \varphi(0, 1)$ . Also  $Q/JQ$  surjects onto  $J/J^2$ . Since  $\dim A/J = 1$ , and  $\det(Q)$  is trivial,  $Q/JQ$  is  $A/J$ -free of rank  $n-1$ . So  $\mu(J/J^2) = n-1$ . Now by [[MK] Lemma 1]  $\mu(I) \leq n$ .

*Remark.* If  $A$  is smooth, then EE-2  $\Rightarrow$  C-2, when  $k$  is algebraically closed. Since in [Theorem 3.1 [MP]] no characteristic assumption was necessary.

**THEOREM 2.** *Let  $A$  be any reduced affine ring of dimension  $n$  over  $k$ , where  $k$  is algebraically closed or finite. Let  $x_1, \dots, x_n$  and  $y_1, \dots, y_n$  be elements of  $A$  such that,  $(x_1, \dots, x_n) = I \cap (y_1, \dots, y_n)$ ,  $(y_i)$  is a complete intersection ideal of height  $n$ ,  $\text{ht } I \geq 1$  and  $I + (y_1, \dots, y_n) = A$ . Then  $\mu(I) \leq n$ .*

*Proof.* If  $n = 1$ , theorem is trivial. The case when  $n = 2$  is slightly different from the case  $n \geq 3$ .

We may assume by Lemma 1 that  $x_i = \sum a_{ij}y_j$  and  $\det(a_{ij}) = d$  is a non-zero divisor in  $A$ . Write  $J = (y_1, \dots, y_n)$ . We have an obvious surjection from  $A^n \rightarrow (y_1, \dots, y_n) = J$ . Let  $K$  be the kernel. Similarly we have an obvious exact sequence

$$0 \rightarrow L \rightarrow A^n \rightarrow I \cap J = (x_1, \dots, x_n) \rightarrow 0 \quad (*)$$

It is easy to see that  $(x_1, \dots, x_n, d) = I$ . Thus  $dA$  is comaximal with  $J$ . So we get an exact sequence,

$$0 \rightarrow K/dK \rightarrow (A/dA)^n \rightarrow J/dJ = A/dA \rightarrow 0.$$

So  $K/dK$  is stably free of rank  $n-1$  over  $A/dA$  and hence free, [Su, AR]. Since  $\text{rank } K = n-1$ , it is easy to see that there exists an element  $e = 1 + fd$  in  $J$ , which is a non-zero divisor and  $K_e$  is free. In other words there exists a free module  $F$  of rank  $n-1$ , with  $K \subset F$  and  $K_e = F_e$ .

*Case when  $n = 2$ .* In this case,  $K \simeq A$ , since  $J$  is a complete intersection ideal of height 2. Let  $L$  be as in (\*). Then  $\text{Ext}^1(I \cap J, L) \simeq \text{Ext}^1(I, L) \oplus \text{Ext}^1(J, L)$ . (\*)

corresponds to an element  $(a, b)$  in this module. Since  $d$  annihilates  $\text{Ext}^1(I, L)$  and  $e$  annihilates  $\text{Ext}^1(J, L)$ , we have,  $ea = (1 + fd)a = a$  and  $eb = 0$ . Consider the following push-out diagram:

$$\begin{array}{ccccccc}
 & 0 & & 0 & & & \\
 & \downarrow & & \downarrow & & & \\
 0 & \longrightarrow & L & \longrightarrow & A^2 & \longrightarrow & I \cap J \longrightarrow 0 \\
 & & \downarrow e & & \downarrow & & \parallel \\
 0 & \longrightarrow & L & \longrightarrow & Q & \longrightarrow & I \cap J \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \\
 & & L/eL = Q/A^2 & & & & \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & & 
 \end{array}$$

Then,  $0 \rightarrow L \rightarrow Q \rightarrow I \cap J \rightarrow 0$  corresponds to the element  $(a, 0)$  in  $\text{Ext}^1(I \cap J, L)$ . Also  $(L/eL) \simeq (L/eL)_d \simeq L_d/eL_d \simeq A_d/eA_d \simeq A/eA$ . Thus  $Q/A^2 \simeq A/eA$ . So  $Q$  has finite homological dimension and  $[Q] = [A^2]$  in  $K_0(A)$ . Since  $(a, 0)$  is in the image of  $\text{Ext}^1(I, L)$  in  $\text{Ext}^1(I \cap J, L)$ , we get an exact sequence,  $0 \rightarrow L \rightarrow P \rightarrow I \rightarrow 0$ , which when pulled back by the inclusion  $I \cap J \hookrightarrow I$ , gives  $0 \rightarrow L \rightarrow Q \rightarrow I \cap J \rightarrow 0$ . Since  $I_d$  and  $L_d$  are free  $P_d$  is free of rank 2. Also  $P_e = Q_e \simeq A_e^2$ . So  $P$  is projective of rank 2. Also  $P/Q \cong I/I \cap J \cong A/J$  and hence  $[P/Q] = [A/J] = 0$  in  $K_0(A)$ . Thus  $[P] = [Q] = [A^2]$ .  $P$  is stably free and hence free of rank 2.

*Case when  $n \geq 3$ .* Using the inclusion  $I \cap J \hookrightarrow J$  we get a commutative diagram:

$$\begin{array}{ccccccc}
 0 & \longrightarrow & L & \longrightarrow & A^n & \longrightarrow & I \cap J \longrightarrow 0 \\
 & & \downarrow & & \downarrow (a_{ij}) & & \downarrow \\
 0 & \longrightarrow & K & \longrightarrow & A^n & \longrightarrow & J \longrightarrow 0
 \end{array}$$

Thus  $L \subset K$  and  $L_d = K_d$ . We can think of all these modules as submodules of  $F_{de}$ . Let  $M = L_e \cap F_d$ . Since  $L_e \subset K_e = F_e$ , we get,  $M \subset F_e \cap F_d = F$  and hence  $M$  is a finitely generated  $A$ -module. Also  $M_e = L_e$  and  $M_d = F_d$ . Notice that  $L \subset M$  and  $M/L \simeq F/K$ . So  $M/L$  has finite homological dimension since  $K$  has.

Using  $L \subset M$  and  $(*)$ , we get a pushout diagram:

$$\begin{array}{ccccccc}
 & 0 & & 0 & & & \\
 & \downarrow & & \downarrow & & & \\
 0 & \longrightarrow & L & \longrightarrow & A^n & \longrightarrow & I \cap J \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \parallel \\
 0 & \longrightarrow & M & \longrightarrow & Q & \longrightarrow & I \cap J \longrightarrow 0 \\
 & & \downarrow & & \downarrow & & \\
 & & F/K \simeq M/L \simeq Q/A^n & & & & \\
 & & \downarrow & & \downarrow & & \\
 & & 0 & & 0 & & 
 \end{array} \quad (**)$$

Also the natural map  $\text{Ext}^1(I, M) \rightarrow \text{Ext}^1(I \cap J, M)$  is an isomorphism, since  $\text{Ext}^1(I \cap J, M) \simeq \text{Ext}^1(I, M) \oplus \text{Ext}^1(J, M)$  and  $\text{Ext}^1(J, M) \simeq \text{Ext}^1(J_d, M_d) \simeq \text{Ext}^1(J_d, F_d) = \text{Ext}^1(J, F) = 0$  since  $n \geq 3$ .

So there exists an exact sequence,  $0 \rightarrow M \rightarrow P \rightarrow I \rightarrow 0$ , which when pulled back by the inclusion  $I \cap J \hookrightarrow I$  gives  $(**)$ . So we get  $P/Q \simeq I/I \cap J \simeq A/J$ . Also since  $P_e \simeq Q_e \simeq A^n$  and  $P_d \simeq A_d \oplus M_d \cong A_d \oplus F_d$ ,  $P$  is a projective module of rank  $n$ . Since  $Q$  has finite projective dimension, in  $K_0(A)$ , we have  $[P] - [Q] = [A/J] = 0$ . Also  $[Q] - [A^n] = [F] - [K] = [F] + [A] - [A^n] = 0$ . So  $[P] \equiv [A^n]$ .  $P$  is stably free and hence free by [Su, AR]. This proves the theorem.

*Remark.* If height  $I \geq 2$  in Theorem 2, one can give a more elementary proof of the Theorem.

**COROLLARY 1.** *Let  $A$  be as in Theorem 2. Let  $I$  and  $J$  be two local complete intersection height  $n$  co-maximal ideals. If any two of  $I, J$  and  $I \cap J$  are complete intersections, then so is the third.*

*Proof.* If  $I$  and  $I \cap J$  are complete intersections, then  $J$  is also a complete intersection by Theorem 2. Assume that  $I$  and  $J$  are complete intersections. Then we can find a sequence  $x_1, \dots, x_n$  in  $I \cap J$  which generate  $I \cap J$  modulo its square. So,  $(x_1, \dots, x_n) = I \cap J \cap K$ ,  $K$  co-maximal with  $I \cap J$ , height  $n$  and local complete intersection. Since  $I$  is a complete intersection, by Theorem 2,  $\mu(J \cap K) = n$ . Since  $J$  is a complete intersection, again by Theorem 2,  $\mu(K) = n$ . So  $K$  is a complete intersection and again by Theorem 2,  $I \cap J$  is a complete intersection.

**COROLLARY 2.** *If  $A$  is a regular affine domain, over  $k = \bar{k}$ , then C-2  $\Rightarrow$  C-1.*

*Proof.* By Corollary 1, if  $I = \text{finite intersection of maximal ideals}$ , then  $I$  is a complete intersection. Let  $I$  be any local complete intersection height  $n$  ideal of  $A$ . By Bertini's Theorem [Sw], we can choose  $x_1, \dots, x_n \in I$ , which generate  $I \bmod^2 I^2$  and the residual intersection is a finite set of reduced points i.e.  $(x_1, \dots, x_n) = I \cap M$ , where  $M$  is intersection of finitely many maximal ideals co-maximal to  $I$ . Now by Corollary 1 we are done.

**COROLLARY 3.** *Let  $A$  be an affine domain over  $k$ , where  $k$  is a finite field or algebraically closed. Then  $C-1 \Leftrightarrow EE-3$ .*

*Proof.*  $EE-3 \Rightarrow C-1$  is trivial. So assume  $C-1$ . By the theorem of Sathaye [for e.g. see [MK1] (with obvious modifications)], we need to prove only that if  $I$  is any ideal of  $A$  with  $\mu(I/I^2) = \dim A = n$ , then  $\mu(I) = n$ . Again by general arguments we can find  $x_1, \dots, x_n \in I$ , generating  $I \bmod I^2$  and the residual intersection is a height  $n$  local complete intersection ideal  $J$ . By  $C-1$ ,  $J$  is a complete intersection. Then Theorem 2 implies the result.

### §3. Some examples

Let  $K$  be any field,  $p$  any prime number and  $n$  any positive integer. Let  $a \in K$  such that,  $X^{p^n} + a$  (and hence  $X^{p^n} + a^{p^{-1}}$ ) in  $K[X]$  are irreducible polynomials. Then, consider the homogeneous polynomial,  $F_n = (((X_0^p + aX_1^p)^p + aX_2^{p^2})^p + \dots) + aX_n^{p^n}$  in  $n+1$  variables. We claim that  $F$  is an irreducible polynomial. If not, when we specialise  $F$  at,  $X_0 = X_1 = \dots = X_{n-2} = 0$ , we must get a reducible polynomial. But then we get  $a^p X_{n-1}^{p^n} + aX_n^{p^n}$ . This polynomial is irreducible since  $X^{p^n} + a^{p^{-1}}$  is irreducible. Let  $X = \mathbb{P}_K^n \setminus \{F_n = 0\}$ . Then  $X$  is a smooth affine  $n$ -fold. We claim that zero cycles modulo rational equivalence  $= A^n(X) \simeq \mathbb{Z}/p\mathbb{Z}$ .  $A^n(X)$  is a quotient of  $A^n(\mathbb{P}^n) \simeq \mathbb{Z}$  given by degree of the zero cycle. So to prove our claim we need to check that there exists a zero cycle of degree  $p$  on  $F=0$  and any zero-cycle on  $F=0$  has degree a multiplier of  $p$ .

If we intersect by  $X_2 = X_3 = \dots = X_n = 0$ , we get a  $\mathbb{P}^1 \hookrightarrow \mathbb{P}^n$  and  $F \cap \mathbb{P}^1$  is given by the equation,  $X_0^p + aX_1^p = 0$ . By our hypothesis on  $a$ , this point has degree  $p$ . To prove the other part of the claim, we need to check that for every point  $x$  on  $F=0$ ,  $\deg x$  is a multiple of  $p$ . Choose  $X_k$  with  $k$  maximum such that  $X_k(x) \neq 0$ . ( $k$  may be equal to  $n$ ). Then  $x$  satisfies the equation  $F_k$ . Since  $X_k(x) \neq 0$ ,  $0, x \in A_K^n$ , given by  $X_k \neq 0$ . Let  $f_k = F_k(X_0, \dots, X_{k-1})$ . Then  $f_k$  can be considered as a regular function on  $\mathbb{A}^n \cap F$  and its image is zero in  $K(x)$ . But  $f_k = g^p + a$ ,  $g$  a regular function on  $\mathbb{A}^n \cap F$ . Thus  $k(x)$  contains  $k[X]/(X^p + a)$ . So by hypothesis  $[k(x):k]$  is divisible by  $p$ .

**EXAMPLE 1.** The above example immediately gives projective modules over smooth rational surfaces which are not free plus an ideal if the base field is not algebraically closed.

**EXAMPLE 2.** Take  $p=2$  and  $n=3$  and any field  $K$  with an irreducible polynomial  $t^8+a$  over  $K$ . Then we get an affine open subset  $X$  of  $\mathbb{P}_K^3$  such that  $A^3(X) \cong \mathbb{Z}/2$ . Let  $x \in X$  be any  $K$ -rational point. This exists since  $\mathbb{P}^3$  has  $K$ -rational points and none of them can lie on  $\mathbb{P}^3 - X$ . Then we claim that  $x$  is not the zero of a section of a rank three projective module over  $x$  with trivial determinant. It is the zero of a section of rank 3-bundle namely  $L \oplus L \oplus L$  where  $L$  is the restriction of hyperplane section on  $X$ .

Assume such a projective module  $P$  exists. Then  $C_1(P)=0$ ,  $C_3(P) \neq 0$ , where  $C_1$  denotes the Chern classes.  $A^2(X)$  is generated by the class of  $\mathbb{P}^1 \cap X$ , where  $\mathbb{P}^1 \hookrightarrow \mathbb{P}^3$  is any line.

*Claim.* There exists a projective module  $Q$  of rank 2 over  $X$  such that  $C_1(Q)=0$  and  $C_2(Q)=C_2(P)$ .

We have seen in the construction of  $X$ , that there exists a  $\mathbb{P}^1 \hookrightarrow \mathbb{P}^3$ ,  $\mathbb{P}^1 \cap F_3 =$  a degree 2 point. Let  $\mathbb{P}^1 \cap X = C$  and  $I$  denote its ideal in  $\Gamma(X) = A$ . Since  $K_{\mathbb{P}^1} = \mathcal{O}(-2)$ , and  $\mathbb{P}^1 \cap F_3$  has degree 2,  $K_C$  is trivial.

$$K_C = \text{Ext}_A^1(I, K_X) \cong \text{Ext}_A^1(I, A) \otimes K_X^{-1}.$$

But  $K_X = \mathcal{O}_{\mathbb{P}^3}(-4)|_X$  and hence  $K_X|_C$  is trivial by the same reasoning. So  $\text{Ext}^1(I, A)$  is trivial. i.e.  $\text{Ext}^1(I, A) \cong A/I$ . Thus we get a projective module  $Q$  of rank 2 with an exact sequence,

$$0 \rightarrow A \rightarrow Q \rightarrow I \rightarrow 0$$

Thus  $C_1(Q)=0$  and  $C_2(Q)$  is a generator of  $A^2(X)$ . Now by [[MP] Theorem 1], we can get projective modules of rank 2 with trivial first chern class and whose second chern class is any positive multiple of the generator of  $A^2(X)$ . Since  $A^2(X)$  is torsion, we can arrange it to have any second chern class.

Now let  $Q$  be a rank two projective module with  $C_1(Q)=0$  and  $C_2(Q)=C_2(P)$ . Using the fact that  $C_1$  and  $C_2$  are always isomorphisms from the appropriate filtration of  $K_0(X)$ , we get that  $e = [P] - [Q \oplus A]$  is an element of  $F^3 K_0(X)$  and  $C_3(e) \neq 0$ . We have a surjection  $\psi_3: A^3(X) \rightarrow F^3 K_0(X)$  and  $c_3 \circ \psi_3$  is multiplication by 2. But  $A^3(X) \cong \mathbb{Z}/2\mathbb{Z}$  implies that  $C_3 = 0$ . This is a contradiction.

**EXAMPLE 3.** In the above example we saw that there exists a maximal ideal  $M$  of  $A = \Gamma(X)$ , such that no rank 3 projective module with trivial determinant maps onto it. Let  $f$  be some element in  $A \setminus M$  such that  $M_f$  is 3-generated. We have an exact sequence,

$$0 \rightarrow K_f \rightarrow A_f^3 \rightarrow M_f \rightarrow 0.$$

Since  $f \notin M$ , there exists  $g \in M$  such that,  $fA + gA = A$ . Localising the above exact sequence at  $g$ , we get  $K_g$  over  $A_{fg}$  to be a rank two stably free module. We claim that it is not free. If it were free, it is clear that the split exact sequence  $0 \rightarrow A_g^2 \rightarrow A_g^3 \rightarrow A_g \rightarrow 0$  can be restricted to  $A_{fg}$  and patched up by a matrix whose determinant is trivial and thus a projective module of rank 3 with trivial determinant mapping onto  $I$ . This contradicts Example 2.

**EXAMPLE 4.** There exists a smooth affine rational 4-fold over  $\mathbb{C}$  and a rank two projective module which is stably free and not free.

In Example 3, we can take any base field  $K$  such that there exists an element  $a \in K$  and  $X^8 + a \in K[X]$  is irreducible. In particular we can take  $K = \mathbb{C}(t)$ . Thus there exists a smooth rational affine 3-fold over  $K$  and a rank two stably free non-free module. By usual ‘spreading’ technique we can get a 3-fold over a smooth rational curve/ $\mathbb{C}$  and a projective module as before. This 4-fold clearly does the trick.

*Remark.* It is not known whether stably free rank two projective modules over an affine 3-fold/ $\mathbb{C}$  are free. The above method will not work to construct an example, since, Suslin has proved that such examples cannot exist for surfaces over a  $C^1$ -field. (I do not know a proof of this).

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*School of Mathematics*  
*Tata Institute of Fundamental Research*  
*Homi Bhabha Road*  
*Bombay 400005*  
*India*

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