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# Abelian group extensions and the axiom of constructibility

by Paul C. Eklof and Martin Huber\*

## Introduction

Throughout this paper the word "group" will mean "abelian group". The results of Shelah's remarkable work on Whitehead's problem ( $[Sh_1]$ ,  $[Sh_2]$ ) suggested the investigation of the structure of  $Ext(A, \mathbb{Z})$  for torsion-free A under the hypothesis of the Axiom of Constructibility, V = L. Applying Shelah's methods, H. Hiller, Shelah and the second-named author obtained a surprisingly simple description of the torsion-free part of  $Ext(A, \mathbb{Z})$  in terms of A[H-H-S].

In this paper we study, in the same spirit, the group  $\operatorname{Ext}(A,G)$  in the case where A is torsion-free and G is any group satisfying suitable cardinality conditions. We are interested in characterizing pairs (A,G) such that  $\operatorname{Ext}(A,G)=0$  as well as in determining the structure of  $\operatorname{Ext}(A,G)$ . Herein we restrict our attention to its torsion-free part. Since in our case  $\operatorname{Ext}(A,G)$  is always divisible, the structure of its torsion-free part is completely determined by its torsion-free rank. (Following  $[F_1]$  we denote the torsion-free rank of a group B by  $r_0(B)$ .)

Our first task is to settle the case where A is countable. For this, of course, we do not need any additional axiom of set theory. We assume G to be a group of countable torsion-free rank, thus unifying the known cases  $G = \mathbb{Z}[J, \S 2]$  and G = T, a torsion group ( $[B_1]$ ,  $[B_2]$ ). In Section 1 we consider the crucial case where A is of rank 1. For such A we give a group-theoretical characterization of pairs (A, G) such that  $\operatorname{Ext}(A, G) = 0$  and show that  $\operatorname{Ext}(A, G) \neq 0$  implies  $r_0(\operatorname{Ext}(A, G)) \geq 2^{\aleph_0}$  (Theorem 1.2). In Section 2 we study  $\operatorname{Ext}(A, G)$  in case A is any coutable torsion-free group. Applying Theorem 1.2 we obtain various conditions that are necessary and (or) sufficient for the vanishing of  $\operatorname{Ext}(A, G)$  (Theorems 2.1 and 2.6, Corollaries 2.4 and 2.7). In particular we have the following analogue of Pontryagin's criterion: If  $\operatorname{Ext}(B, G) = 0$  for every subgroup B of A of finite rank, then  $\operatorname{Ext}(A, G) = 0$  (Corollary 2.7). Using Theorem 1.2 we conclude that also in this case,  $\operatorname{Ext}(A, G) \neq 0$  implies  $r_0(\operatorname{Ext}(A, G)) \geq 2^{\aleph_0}$  (Theorem 2.8).

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Section 3 is devoted to the vanishing of  $\operatorname{Ext}(A,G)$  for uncountable A. From now on we have to assume V=L in order to be able to apply Shelah's methods. The main theorem of this section (Theorem 3.2) generalizes earlier results of the first-named author (see  $[E_3]$ ). In particular it contains the following singular compactness theorem for  $\operatorname{Ext}:(V=L)$ . Let A be a group of singular cardinality  $\kappa$  and let G be a group of cardinality  $<\kappa$ . If  $\operatorname{Ext}(B,G)=0$  for every subgroup B of A of cardinality  $<\kappa$ , then  $\operatorname{Ext}(A,G)=0$ . The proof of this is based on a new version  $[\operatorname{Sh}_3]$  of the principal result of  $[\operatorname{Sh}_2]$ . Among other consequences of Theorem 3.2 we deduce a vanishing result for  $\operatorname{Ext}(A,G)$  (Theorem 3.7) which corresponds to a theorem of Hill  $[\operatorname{Hi}]$ .

The final section deals with the structure of Ext (A, G) for uncountable A. We show that the main result of [H-H-S] generalizes to our situation; we proceed along the lines of that proof. Theorem 4.5 may be viewed as the principal result of Section 4: (V=L). Let A be torsion-free and G of countable torsion-free rank such that  $\operatorname{Ext}(A, G) \neq 0$ . Suppose that B is a pure subgroup of A and that  $\operatorname{Ext}(A/B, G) = 0$ . If B is of minimal cardinality, then  $r_0(\operatorname{Ext}(A, G)) \geq 2^{|B|}$ . (As usual |B| denotes the cardinality of B.) The case where A is of singular cardinality relies on a variant of Theorem 3.2 which is of interest in its own right (Theorem 4.3). Finally we deduce some corollaries concerning the torsion-free rank of  $\operatorname{Ext}(A, G)$  which extend results of [H-H-S] and [Hu].

## 1. The rank one case

In this section we investigate the group Ext(A, G) in case A is torsion-free of rank 1 and G any group of (at most) countable torsion-free rank.

We first recall some definitions and known facts and state certain exact sequences which will be important tools in the proof of Theorem 1.2. Given a prime p, we denote the p-primary part of a group G by  $t_pG$  and the torsion subgroup of G by tG. The p-primary part of  $\mathbb{Q}/\mathbb{Z}$  is denoted by  $\mathbb{Z}(p^{\infty})$  and its full preimage in  $\mathbb{Q}$  by  $\mathbb{Q}^{(p)}$ . A group G is called p-divisible if pG = G; G is divisible if it is p-divisible for every prime p. A group which does not contain any nontrivial divisible subgroup is called reduced. It is well known that every group is the direct sum of its maximal divisible subgroup and a reduced group.

Let A be a torsion-free group of rank 1. For a nonzero element  $x \in A$  and any prime p let  $h_p(x)$  be the largest integer k such that  $p^k$  divides x if it exists, or  $h_p(x) = \infty$  otherwise;  $h_p(x)$  is called the p-height of x. Suppose that for every p we are given  $k_p$  which is either a nonnegative integer or  $\infty$ . Then there exists a nonzero  $y \in A$  such that for every p,  $h_p(y) = k_p$  if and only if the following two

conditions hold:

$$k_p \neq h_p(x)$$
 only for finitely many p's; (1.1a)

$$k_p = h_p(x)$$
 whenever  $k_p = \infty$  or  $h_p(x) = \infty$  (1.1b)

(see e.g.  $(F_2, \S 85]$ ). By definition of the *p*-heights we can associate with every nonzero  $x \in A$  a short exact sequence

$$0 \to \mathbf{Z} \xrightarrow{\mu} A \to \bigoplus_{p} \mathbf{Z}(p^{k_p}) \to 0, \tag{1.2}$$

where  $\mu$  is given by  $\mu(1) = x$  and  $k_p = h_p(x)$  for every p. Here  $k_p = 0$  means that the p-primary part does not occur. For any group G this sequence induces a long exact sequence

$$0 \to \prod_{p} \operatorname{Hom} \left( \mathbf{Z}(p^{k_{p}}), G \right) \to \operatorname{Hom} \left( A, G \right) \to G \to$$

$$\to \prod_{p} \operatorname{Ext} \left( \mathbf{Z}(p^{k_{p}}), G \right) \to \operatorname{Ext} \left( A, G \right) \to 0.$$
(1.3)

In particular we shall make use of the sequence

$$0 \to \operatorname{Hom}(\mathbf{Z}(p^{\infty}), G) \to \operatorname{Hom}(\mathbf{Q}^{(p)}, G) \to G \to$$

$$\to \operatorname{Ext}(\mathbf{Z}(p^{\infty}), G) \to \operatorname{Ext}(\mathbf{Q}^{(p)}, G) \to 0$$
(1.4)

which is induced by  $0 \to \mathbb{Z} \to \mathbb{Q}^{(p)} \to \mathbb{Z}(p^{\infty}) \to 0$ .

The following facts will be applied several times; therefore we state them as a lemma.

LEMMA 1.1. (a) For any group G,  $\operatorname{Ext}(\mathbf{Z}(p^n), G) \cong G/p^nG$ . (b)  $\operatorname{Ext}(\mathbf{Z}(p^\infty), G) = 0$  if and only if G is p-divisible.

*Proof.* Statement (a) is well-known (see e.g.  $[F_1](D)$ , p. 222) while (b) follows from Corollary 4.3 and Theorem 4.5 of  $[N_1]$ .

Finally we assign to every group G two sets of primes

$$D_1(G) = \{p \mid pG \neq G\}$$
 and 
$$D_2(G) = \{p \mid p^{k+1}G \neq p^kG \text{ for all } k\}.$$

We are now ready to state the result of this section.

THEOREM 1.2. Let A be a torsion-free group of rank 1 and let G be any group of countable torsion-free rank. Then

- (a) Ext (A, G) = 0 if and only if for any nonzero  $x \in A$  the following conditions hold:
  - (1)  $\{p \in D_1(G) \mid h_p(x) \neq 0\}$  is finite;
  - (2) for all  $p \in D_2(G)$ ,  $h_p(x) < \infty$ .
- (b) If Ext  $(A, G) \neq 0$ , then the torsion-free rank of Ext (A, G) is  $\geq 2^{\aleph_0}$ .

## Remarks

- 1) Combining (a) with (1.1a, b) we obtain that  $\operatorname{Ext}(A, G) = 0$  if and only if there is a nonzero  $x \in A$  such that (1) and (2') are satisfied, where (2') means that  $h_p(x) = 0$  for all  $p \in D_2(G)$ .
- 2) Let G be a group satisfying conditions (1) and (2) for any nonzero  $x \in \mathbb{Q}$ . It is not hard to see that such a G is the direct sum of a divisible group and a bounded torsion group. On the other hand, there are *cotorsion* groups G, i.e. groups satisfying  $\operatorname{Ext}(\mathbb{Q}, G) = 0$ , (of uncountable torsion-free rank) that are not of this form (cf.  $[F_1]$ , §55). We conclude that the countability hypothesis on G in statement (a) cannot be dropped.
- 3) In (b) the hypothesis on G cannot be omitted either. By [M-V, p. 119] there are groups A and G, A torsion-free of rank 1 and G torsion-free of rank  $2^{\aleph}$ , such that  $\operatorname{Ext}(A, G) \cong \bigoplus \mathbf{Q}$ .

**Proof of Theorem 1.2.** We observe that it suffices to prove the following two statements:

- (a') If there is a nonzero  $x \in A$  such that (1) and (2) are satisfied, then  $\operatorname{Ext}(A, G) = 0$ .
- (b') If there is a nonzero  $x \in A$  such that (1) or (2) does not hold, then  $r_0(\operatorname{Ext}(A, G)) \ge 2^{\aleph_0}$ .

Proof of (a'): We consider the associated exact sequence (1.3). Since  $\operatorname{Ext}(A,G)$  is divisible, the assertion follows if we can show that  $\prod_p \operatorname{Ext}(\mathbf{Z}(p^{k_p}),G)$  is divisible, the assertion follows if we can show that  $\prod_p \operatorname{Ext}(\mathbf{Z}(p^{k_p}),G)$  is a bounded torsion group. By conditin (1) and Lemma 1.1  $\operatorname{Ext}(\mathbf{Z}(p^{k_p},G))$  nonzero only for a finite set of primes, say I. Thus it remains to show that for all  $p \in I$ ,  $\operatorname{Ext}(\mathbf{Z}(p^{k_p}),G)$  is a bounded torsion group. If  $k_p < \infty$  this is obvious. In case  $k_p = \infty$  condition (2) implies that  $p^{k+1}G = p^kG$  for some k. Therefore by Lemma 1.1(b) the first term of the exact sequence

$$\operatorname{Ext}(\mathbf{Z}(p^{\infty}), p^{k}G) \to \operatorname{Ext}(\mathbf{Z}(p^{\infty}), G) \to \operatorname{Ext}(\mathbf{Z}(p^{\infty}), G/p^{k}G) \to 0$$

is trivial. Consequently,  $\operatorname{Ext}(\mathbf{Z}(p^{\infty}), G)$  is a bounded torsion group. This completes the proof of (a').

Proof of (b'): Suppose first that (1) does not hold. Again we consider the associated exact sequence (1.3). By hypothesis and Lemma 1.1 the product  $\prod_p \operatorname{Ext}(\mathbf{Z}(p^{k_p}), G)$  has infinitely many nontrivial factors. Therefore it has a quotient isomorphic to  $\prod_{p \in J} \mathbf{Z}(p)$  for some infinite set of primes J. It follows that  $r_0(\prod_p \operatorname{Ext}(\mathbf{Z}(p^{k_p}), G)) \ge 2^{\aleph_0}$ . As  $r_0(G)$  is countable, we see from (1.3) that  $r_0(\operatorname{Ext}(A, G)) \ge 2^{\aleph_0}$  as well.

Now suppose that x does not satisfy (2). So there is a prime p such that A contains a copy of  $\mathbf{Q}^{(p)}$  and the chain

$$G \supseteq pG \supseteq \cdots \supseteq p^kG \supseteq \cdots$$

is properly descending. Since  $\operatorname{Ext}(\mathbf{Q}^{(p)},G)$  is then an epimorphic image of  $\operatorname{Ext}(A,G)$ , it suffices to show that  $r_0(\operatorname{Ext}(\mathbf{Q}^{(p)},G)) \ge 2^{\aleph_0}$ . For this purpose we distinguish two cases. First assume that G/tG is not p-divisible. Then its p-adic completion  $(G/tG)_p^{\wedge}$  is torsion-free of cardinality  $2^{\aleph_0}$ . But by  $[N_1, p. 233]$ ,  $(G/tG)_p^{\wedge}$  is an epimorphic image of  $\operatorname{Ext}(\mathbf{Z}(p^{\infty}), G/tG)$ ; hence  $r_0(\operatorname{Ext}(\mathbf{Z}(p^{\infty}), G/tG)) \ge 2^{\aleph_0}$ . Using the sequence (1.4) for G/tG, we conclude that  $r_0(\operatorname{Ext}(\mathbf{Q}^{(p)}, G/tG)) \ge 2^{\aleph_0}$ , and hence  $r_0(\operatorname{Ext}(\mathbf{Q}^{(p)}, G)) \ge 2^{\aleph_0}$ .

In the second case assume that G/tG is p-divisible. Then the reduced part of  $t_pG$  must be unbounded. Therefore, by the main result of [Sz], there is an epimorphism

$$tG \longrightarrow \bigoplus_{k < \omega} \mathbf{Z}(p^k) = H.$$

We claim that  $r_0(\operatorname{Ext}(\mathbf{Q}^{(p)}, H)) = 2^{\aleph_0}$ . By Lemma 1 of [R] we have  $|\operatorname{Ext}(\mathbf{Z}(p^{\infty}), H)| = 2^{\aleph_0}$ . Thus, using exactness of (1.4) for G = H, we conclude that  $|\operatorname{Ext}(\mathbf{Q}^{(p)}, H)| = 2^{\aleph_0}$ . But  $\operatorname{Ext}(\mathbf{Q}^{(p)}, H)$  is torsion-free, hence the claim is proved. Now  $\operatorname{Ext}(\mathbf{Q}^{(p)}, H)$  is an epimorphic image of  $\operatorname{Ext}(\mathbf{Q}^{(p)}, tG)$ , and the latter fits into an exact sequence

$$\operatorname{Hom}(\mathbf{Q}^{(p)}, G/tG) \to \operatorname{Ext}(\mathbf{Q}^{(p)}, tG) \to \operatorname{Ext}(\mathbf{Q}^{(p)}, G).$$

As Hom  $(\mathbf{Q}^{(p)}, G/tG)$  is countable, it follows that  $r_0(\operatorname{Ext}(\mathbf{Q}^{(p)}, G)) \ge 2^{\aleph_0}$  also in this case. This completes the proof of Theorem 1.2.

## 2. The countable case

Throughout this section G denotes a given group of countable torsion-free rank. We now study the group  $\operatorname{Ext}(A, G)$  in case A is any countable torsion-free group. We start with

THEOREM 2.1. For any countable torsion-free group A the following statements are equivalent:

- (a) Ext (A, G) = 0;
- (b) A is the union of an ascending chain of pure subgroups  $\{A_n \mid n < \dot{\omega}\}$  such that  $A_0 = 0$  and for all n,  $r_0(A_{n+1}/A_n) \le 1$  and  $\text{Ext}(A_{n+1}/A_n, G) = 0$ .

For the proof of this theorem we need the following auxiliary result.

LEMMA 2.2. Suppose that G is reduced. If A is torsion-free of finite rank such that Ext(A, G) = 0, then the torsion-free rank of Hom(A, G) is countable.

**Proof.** We proceed by induction on the rank of A. Suppose first that A is of rank 1 and let  $x \in A$ ,  $x \ne 0$ . Then we consider the associated exact sequences (1.2) and (1.3). Since G is reduced, we have  $\operatorname{Hom}(\mathbf{Z}(p^{k_p}), G) = 0$  if  $k_p = \infty$  or if pG = G. It remains to consider those primes for which  $pG \ne G$  and  $k_p < \infty$ . But we know from Theorem 1.2(a) that  $k_p \ne 0$  only for a finite number of them. Therefore  $\prod_p \operatorname{Hom}(\mathbf{Z}(p^{k_p}), G)$  is a bounded torsion group, and hence exactness of (1.3) implies that  $r_0(\operatorname{Hom} A, G)$ ) is countable.

Now assume that the lemma holds for all torsion-free groups of rank  $\leq n$ . Let A be torsion-free of rank n+1 such that  $\operatorname{Ext}(A, G) = 0$ , and let B be a pure subgroup of A of rank n. Then there is an exact sequence

$$0 \rightarrow \operatorname{Hom}(A/B, G) \rightarrow \operatorname{Hom}(A, G) \rightarrow \operatorname{Hom}(B, G) \rightarrow \operatorname{Ext}(A/B, G) \rightarrow 0.$$

As by induction hypothesis  $r_0(\text{Hom }(B,G))$  is countable, we conclude that  $r_0(\text{Ext }(A/B,G))$  is countable too. But then we have Ext (A/B,G)=0 by Theorem 1.2(b). Therefore by the first part,  $r_0(\text{Hom }(A/B,G))$  is countable; hence r (Hom (A,G)) is countable as well.

**Proof of Theorem 2.1.** The implication (b) $\Rightarrow$ (a) is a special case of  $[E_2, Theorem 1.2]$ . Conversely, suppose that A is a countable torsion-free group such that Ext(A, G) = 0. Let A be represented as the union of an ascending chain of pure subgroups  $\{A_n \mid n < \omega\}$  such that  $A_0 = 0$  and  $r_0(A_{n+1}/A_n) \le 1$ . Clearly such a chain of subgroups exists. Then we have  $Ext(A_n, G) = 0$  for all n, and therefore

there are exact sequences

$$\operatorname{Hom}(A_n,G) \to \operatorname{Ext}(A_{n+1}/A_n,G) \to 0.$$

Note that we may assume G to be reduced. Thus  $r_0(\text{Hom }(A_n, G))$  is countable by Lemma 2.2 and hence  $r_0(\text{Ext }(A_{n+1}/A_n, G))$  is countable too. But then by Theorem 1.2(b) Ext  $(A_{n+1}/A_n, G) = 0$  for all n. This completes our proof.

Theorems 1.2 and 2.1 provide a number of interesting consequences. The first generalizes Stein's theorem (see e.g.  $[E_1, Theorem 4.1]$ ).

COROLLARY 2.3. Suppose that G is countable torsion-free and for all primes p, G is not p-divisible. If A is any group of countable torsion-free rank, then  $\operatorname{Ext}(A, G) = 0$  implies A free.

**Proof.** First it follows from  $[N_1$ , Theorem 4.5] that for any A,  $\operatorname{Ext}(A, G) = 0$  implies A torsion-free. Therefore, if A is of countable rank, we may apply Theorem 2.1. Hence A is the union of an ascending chain  $\{A_n \mid n < \omega\}$  of pure subgroups such that  $A_0 = 0$  and for all n,  $r_0(A_{n+1}/A_n) \le 1$  and  $\operatorname{Ext}(A_{n+1}/A_n, G) = 0$ . Now by the hypothesis on G we have  $D_1(G) = D_2(G) = P$  (the set of all primes). Thus, by remark 1) from Theorem 1.2, there is for every n a nonzero  $x \in A_{n+1}/A_n$  (except that  $A_{n+1} = A_n$ ) such that  $h_p(x) = 0$  for all p. But this means that for all n,  $A_{n+1}/A_n$  is free; hence by  $[E_1$ , Theorem 2.6] A is free.

COROLLARY 2.4. Let G' be a pure subgroup of G. If A is any countable torsion-free group, then  $\operatorname{Ext}(A, G) = 0$  if and only if  $\operatorname{Ext}(A, G') = 0$  and  $\operatorname{Ext}(A, G/G') = 0$ .

**Proof.** The "if" part holds trivially. Conversely, suppose that  $\operatorname{Ext}(A, G) = 0$ . Then clearly  $\operatorname{Ext}(A, G/G') = 0$ , and by Theorem 2.1, A is the union of an ascending chain of pure subgroups  $\{A_n \mid n < \omega\}$  such that  $A_0 = 0$  and for all n,  $r_0(A_{n+1}/A_n) \le 1$  and  $\operatorname{Ext}(A_{n+1}/A_n, G) = 0$ . Now  $D_i(G')$  is contained in  $D_i(G)$  for i = 1, 2, since G' is pure in G. Therefore by Theorem 1.2(a) we have  $\operatorname{Ext}(A_{n+1}/A_n, G') = 0$  for all n, and hence  $\operatorname{Ext}(A, G') = 0$  by Theorem 2.1.

COROLLARY 2.5. There is a countable quotient H of G such that for any countable torsion-free group A,  $\operatorname{Ext}(A, G) = 0$  if and only if  $\operatorname{Ext}(A, H) = 0$ .

**Proof.** By the main result of [Sz] and the proof of [E<sub>3</sub>, Theorem 2.2] there is a countable torsion group T and an epimorphism  $\varepsilon: tG \longrightarrow T$  such that for any countable torsion-free A, Ext (A, tG) = 0 if and only if Ext (A, T) = 0. We denote

the induced homomorphism  $\operatorname{Ext}(G/tG, tG) \to \operatorname{Ext}(G/tG, T)$  by  $\varepsilon_*$  and let H be a representative of the class  $\varepsilon_*[G]$ . Thus there is a commutative diagram

$$0 \to tG \to G \to G/tG \to 0$$

$$\downarrow^{\varepsilon} \qquad \downarrow^{\eta} \qquad \parallel$$

$$0 \to T \to H \to G/tG \to 0$$

with exact rows. We claim that H has the required properties. First it is clear that H is countable. Furthermore we see from the diagram that  $\eta$  is an epimorphism. Hence for any group A,  $\operatorname{Ext}(A,G)=0$  implies  $\operatorname{Ext}(A,H)=0$ . Conversely, suppose that A is countable torsion-free such that  $\operatorname{Ext}(A,H)=0$ . Then we have  $\operatorname{Ext}(A,G/tG)=0$  and  $\operatorname{Ext}(A,T)=0$  by Corollary 2.4. Thus  $\operatorname{Ext}(A,tG)=0$  as well and hence  $\operatorname{Ext}(A,G)=0$ . This completes our proof.

THEOREM 2.6. If A is a countable torsion-free group such that Ext(A, G) = 0, then Ext(A/B, G) = 0 for every pure subgroup B of A of finite rank.

**Proof.** Let B be any pure subgroup of A of finite rank. We can choose an ascending chain of pure subgroups  $\{B_n \mid n < \omega\}$  of A of finite rank with union A such that  $B_0 = B$  and for all n,  $r_0(B_{n+1}/B_n) \le 1$ . Then the same argument as in the proof of Theorem 2.1 shows that  $\operatorname{Ext}(B_{n+1}/B_n, G) = 0$  for all n. Now let  $A_n = B_n/B$ , so we have  $A/B = \bigcup_{n < \omega} A_n$  where  $A_0 = 0$  and for all n,  $A_n$  is a pure subgroup of A/B of finite rank and  $A_{n+1}/A_n \cong B_{n+1}/B_n$ . Therefore we have  $\operatorname{Ext}(A/B, G) = 0$  by Theorem 2.1.

Remark. Combining Theorems 1.2, 2.1 and 2.6 we obtain another proof of Baer's criterion which characterizes pairs of groups (A, T), A countable torsion-free and T a torsion group, such that every extension of T by A splits  $[B_1]$ .

The following result is an analogue of Pontryagin's criterion (see e.g. [F<sub>1</sub>], Theorem 19.1).

COROLLARY 2.7. If A is a countable torsion-free group such that  $\operatorname{Ext}(B, G) = 0$  for every subgroup B of A of finite rank, then  $\operatorname{Ext}(A, G) = 0$ .

*Proof.* Let A be represented as the union of an ascending chain of pure subgroups  $\{A_n \mid n < \omega\}$  such that  $A_0 = 0$  and for all n,  $r_0(A_{n+1}/A_n) \le 1$ . Then for all n, Ext  $(A_n, G) = 0$  by hypothesis. Using Theorem 2.6, we conclude that for all n, Ext  $(A_{n+1}/A_n, G) = 0$ . Hence we have Ext (A, G) = 0 by Theorem 2.1.

The next result contains Proposition 5 of [Hu] and, in particular, the well-known fact that for every countable torsion-free nonfree group A,  $r_0(\text{Ext}(A, \mathbb{Z}))$  is  $2^{\aleph_0}$  (see e.g. [J], Théorème 2.7).

THEOREM 2.8. Let A be a countable torsion-free group such that  $\operatorname{Ext}(A, G) \neq 0$ . Then the torsion-free rank of  $\operatorname{Ext}(A, G)$  is  $\geq 2^{\aleph_0}$ .

*Proof.* Clearly we may assume that G is reduced. If  $\operatorname{Ext}(A, G) \neq 0$ , then by Corollary 2.7 there exists a subgroup B of A of finite rank such that  $\operatorname{Ext}(B, G) \neq 0$ . Suppose that B is of minimal rank, and let B' be a pure subgroup of B such that  $r_0(B/B') = 1$ . Then we consider the exact sequence

$$\operatorname{Hom}(B',G) \to \operatorname{Ext}(B/B',G) \to \operatorname{Ext}(B,G) \to \operatorname{Ext}(B',G)$$
.

The minimality of  $r_0(B)$  implies that  $\operatorname{Ext}(B',G)=0$ . Thus the exact sequence yields that  $\operatorname{Ext}(B/B',G)\neq 0$ , and hence by Theorem 1.2(b),  $r_0(\operatorname{Ext}(B/B',G))\geq 2^{\aleph_0}$ . On the other hand, by Lemma 2.2,  $r_0(\operatorname{Hom}(B',G))$  is countable. It follows that  $r_0(\operatorname{Ext}(B,G))\geq 2^{\aleph_0}$ , hence  $r_0(\operatorname{Ext}(A,G))\geq 2^{\aleph_0}$ .

Remark. For A a countable torsion-free group and T an arbitrary torsion group, Baer  $[B_2]$  has shown that Ext(A, T) is torsion-free. If in addition T is countable, we conclude that

Ext 
$$(A, T) \cong \prod_{\aleph_0} \mathbf{Q}$$
 (cf.  $[B_2]$ , pp. 229–230).

It is well-known that this group admits a *compact topology*. Furthermore we know from [J, Corollaire 2.8] that the same holds for groups of the form  $Ext(A, \mathbf{Z})$ , A being countable torsion-free. These facts led us to ask the following

Question. Does Ext(A, G) admit a compact topology whenever A is countable torsion-free and G countable of finite torsion-free rank?

# 3. The uncountable case: vanishing of Ext (A, G)

In order to extend the results of the previous sections to groups of uncountable cardinality, we shall need to assume the Axiom of Constructibility, V = L. Before stating the main result of this section, let us recall a definition from  $[E_3]$ . For any set U and infinite cardinal  $\lambda$ , let  $K_{\lambda^+}(U)$  denote the filter on  $\mathcal{P}(U)$ , the

power set of U, generated by all  $X \in \mathcal{P}(\mathcal{P}(U))$  satisfying

- (i) X is closed under unions of chains; and
- (ii) for all  $S \subseteq U$ , there exists  $H \in X$  such that  $S \subseteq H$  and  $|H| \le |S| + \lambda$ .

(Such an X will be called a generating element of  $K_{\lambda^+}(U)$ .) We shall say that a property P of subsets of U holds for almost all subsets (w.r.t.  $K_{\lambda^+}(U)$ ) if  $\{S \subseteq U \mid S \text{ satisfies } P\}$  belongs to  $K_{\lambda^+}(U)$ .

LEMMA 3.1. (1) If  $\lambda \leq \mu$ , then  $K_{\lambda^+}(U) \subseteq K_{\mu^+}(U)$ .

- (2)  $K_{\lambda^+}(U)$  is  $\lambda^+$ -complete, i.e. if  $X_{\nu}$ ,  $\gamma < \lambda$  are elements of  $K_{\lambda^+}(U)$ , then  $\cap \{X_{\gamma} \mid \nu < \lambda\}$  belongs to  $K_{\lambda^+}(U)$ .
- (3) If  $V \subseteq U$  and  $X \in K_{\lambda^+}(V)$ , then  $\{H \subseteq U \mid H \cap V \in X\}$  belongs to  $K_{\lambda^+}(U)$ .
- (4) If A is a group, B a subgroup of A and  $X \in K_{\lambda^+}(A/B)$ , then  $\{H \subseteq A \mid (H+B)/B \in X\}$  belongs to  $K_{\lambda^+}(A)$ .
- (5) If A is a group, then almost all subsets of A (w.r.t.  $K_{\omega_1}(A)$ ) are pure subgroups of A.
- (6) If B is a pure subgroup of A, then for almost all subsets H of A (w.r.t.  $K_{\omega}(A)$ ), B+H is a pure subgroup of A.

*Proof.* (1)–(4) are easy consequences of the definition. Part (5) follows from the facts that (i) the union of a chain of pure subgroups is a pure subgroup, and (ii) every infinite subset of A is contained in a pure subgroup of A of the same cardinality (cf.  $[F_1]$ , Proposition 26.2). By (5) applied to the group A/B we obtain an element X of  $K_{\omega_1}(A/B)$  consisting of pure subgroups of A/B. Then by part (4),  $Y = \{H \subseteq A \mid (H+B)/B \in X\}$  belongs to  $K_{\omega_1}(A)$ ; it is readily verified that for all  $H \in Y$ , B + H is a pure subgroup of A. This proves (6).

For any infinite cardinal  $\kappa$ , let cf ( $\kappa$ ) denote the confinality of  $\kappa$ . By definition,  $\kappa$  is singular if cf ( $\kappa$ ) <  $\kappa$  and otherwise  $\kappa$  is regular. Recall that a group is said to be  $\kappa$ -generated if it has a set of generators of cardinality <  $\kappa$ .

THEOREM 3.2. (V = L). Let A be a group of uncountable cardinality  $\kappa$  and let G be a group of cardinality  $\lambda < \kappa$ . Then

- (1) If  $\kappa$  is singular and  $\operatorname{Ext}(B,G)=0$  for every  $\kappa$ -generated subgroup B of A, then  $\operatorname{Ext}(A,G)=0$ .
- (2) Ext (A, G) = 0 if and only if A is the union of a continuous ascending chain  $\{A_{\nu} \mid \nu < \text{cf}(\kappa)\}\$  of  $\kappa$ -generated pure subgroups of A such that Ext  $(A_0, G) = 0$  and for all  $\nu < \text{cf}(\kappa)$ , Ext  $(A_{\nu+1}/A_{\nu}, G) = 0$ .
- (3) If Ext (A, G) = 0, then for almost all subgroups H of A (w.r.t.  $K_{\lambda^+}(A)$ ), Ext (A/H, G) = 0.

The above result is proved in  $[E_3]$  for the case of a torsion-free group A and G a torsion group, but the same proof works for arbitrary A and G any countable

group. That proof was based on  $[Sh_2]$ ; here we shall give a proof based on a new simplified version  $[Sh_3]$  of the principal result of  $[Sh_2]$ . For the convenience of the reader we shall state a version (for abelian groups) of the main theorem of  $[Sh_3]$ .

THEOREM 3.3 (Shelah). Let  $\kappa$  be a singular cardinal and let  $\{\kappa_i \mid i < \text{cf }(\kappa)\}$  be an increasing and continuous sequence of cardinals satisfying:  $\kappa_0 = 0$ ,  $\text{cf }(\kappa) \le \kappa_1$  and  $\sup \{\kappa_i \mid i < \text{cf }(\kappa)\} = \kappa$ . Let A be a group of cardinality  $\kappa$ ; let  $S_i = \text{the set of all}$  subgroups of A of cardinality  $\kappa_i$  and let  $S_i' = \{0\} \cup S_i$ . Suppose  $\mathcal{F}$  is a class of pairs  $(C_2, C_1)$  of subgroups of A such that  $C_1 \subseteq C_2$ . Suppose  $\mathcal{F}$  satisfies the following two properties:

- (HI) For every  $i < cf(\kappa)$ , there is a function  $g_i : S'_i \times S_i \to S_i$  such that whenever  $A_1 \subsetneq A_2$  are in  $S'_i$  and  $A_1 \in \{0\} \cup (range \ g_i)$ , then  $A_2 \subseteq g_i(A_1, A_2)$  and  $(g_i(A_1, A_2), A_1) \in \mathcal{F}$ ;
- (HII) For every  $i < cf(\kappa)$  and every  $A_1 \not\subseteq A_2$  in  $S'_{i+1}$ , if  $(A_2, A_1) \in \mathcal{F}$  then player II has a winning strategy in the following game: in the  $n^{th}$  move  $(n < \omega)$ , player I chooses  $B_n \in S'_i$  such that  $C_{n-1} \subseteq B_n$  (where  $C_{-1} = 0$ ) and then player II chooses  $C_n \in S'_i$  such that  $B_n \subseteq C_n$ . Player II wins if

$$(A_2 + \bigcup_{n < \omega} C_n, A_1 + \bigcup_{n < \omega} C_n) \in \mathscr{F}.$$

Then A is the union of a continuous ascending chain  $\{A_{\nu} \mid \nu < \omega \text{ cf }(\kappa)\}$  of  $\kappa$ -generated subgroups of A such that  $A_0 = 0$  and  $(A_{\nu+1}, A_{\nu}) \in \mathcal{F}$  for all  $\nu < \omega \text{ cf }(\kappa)$ .

Proof of Theorem 3.2. Let A and G be as in he hypotheses of 3.2. We shall prove (1), (2) and (3) simultaneously by induction on  $\kappa$ . Part (3) is proved as in the proof of Theorem 3.4 of  $[E_3]$ . (In place of Lemma 3.5(3) we require the straightforward generalization in which  $K_{\omega_1}$  is replaced by  $K_{\lambda^+}$ ). The sufficiency of the condition in part (2) is just Theorem 1.2 of  $[E_2]$ , and when  $\kappa$  is regular, necessity is Theorem 1.5 of  $[E_2]$ . (Note that we can assume the chain  $\{A_{\nu} \mid \nu < \kappa\}$  consists of pure subgroups by Lemma 3.1(5).) Thus it remains only to prove (1) and necessity in (2) when  $\kappa$  is singular.

Let  $\mathcal{F}$  be the class of pairs  $(C_2, C_1)$  of subgroups of A such that  $C_1$  is a pure subgroup of  $C_2$  and  $\operatorname{Ext}(C_2/C_1, G) = 0$ . Choose an increasing sequence  $\{\kappa_i \mid i < \operatorname{cf}(\kappa)\}$ , whose limit is  $\kappa$  such that  $\kappa_0 = 0$  and for all  $i \ge 0$ ,  $\kappa_{i+1} \ge \max\{\operatorname{cf}(\kappa), \lambda\}$ . We shall show that  $\mathcal{F}$  satisfies (HI) and (HII) of Theorem 3.3. First we prove a lemma.

LEMMA 3.4. (V = L). Let  $\kappa$  be a limit cardinal, let A be a group of cardinality  $\kappa$  and let G be a group of cardinality  $\lambda < \kappa$ . Suppose that Ext (B, G) = 0 for every

 $\kappa$ -generated subgroup B of A. Let C be a subgroup of A of infinite cardinality  $\mu$ , where  $\lambda \leq \mu^+ < \kappa$ . Then there is a pure subgroup  $C^*$  of A of cardinality  $\mu$  such that  $C^*$  contains C and

(\*) Ext  $(C'/C^*, G) = 0$  for all subgroups C' of A of cardinality  $\mu$  that contain  $C^*$ .

*Proof.* If no such group  $C^*$  exists, then we can construct by induction a continuous ascending chain  $\{C_{\nu} \mid \nu < \mu^+\}$  of subgroups of A of cardinality  $\mu$  such that  $C_0 = C$  and for all  $\nu$ ,  $1 \le \nu < \mu^+$ ,  $C_{\nu}$  is pure in A and Ext  $(C_{\nu+1}/C_{\nu}, G) \ne 0$ . Let  $\tilde{C} = \bigcup_{\nu < \mu^+} C_{\nu}$ . Then  $|\tilde{C}| = \mu^+ < \kappa$ , but Ext  $(\tilde{C}, G) \ne 0$  by Lemma 1.4 of  $[E_2]$ , which contradicts the hypothesis. This completes the proof of the lemma.

Now we can verify (HI) by defining  $g_i$  so that for all  $(A_1, A_2) \in S_i' \times S_i$ ,  $A_2 \subseteq g_i(A_1, A_2)$  and  $C^* = g_i(A_1, A_2)$  satisfies (\*) of Lemma 3.4. (Note that if  $A_1 = 0$ , then  $(g_i(A_1, A_2), 0) \in \mathcal{F}$  by the hypothesis of Theorem 3.2(1).)

It remains to verify (HII). Given  $A_1 \subsetneq A_2$  in  $S'_{i+1}(i \ge 1)$  such that  $(A_2, A_1) \in \mathcal{F}$ , there exists by Lemma 3.1(6) a generating element X of  $K_{\lambda^+}(A_2)$  such that for all  $H \in X$ ,  $A_1 + H$  is a pure subgroup of  $A_2$ . Moreover since by inductive hypothesis  $A_2/A_1$  satisfies Theorem 3.2(3) we may assume – using Lemma 3.1(4) – that every element H of X satisfies  $\operatorname{Ext}(A_2/(A_1+H),G)=0$ . Hence for all  $H \in X$ ,  $(A_2,A_1+H)\in \mathcal{F}$ . Now the winning strategy of player II is as follows. Suppose  $C_{n-1} \in S'_i$  has been chosen so that  $C_{n-1} \cap A_2 \in X$ . If player I chooses  $B_n \in S'_i$  with  $C_{n-1} \subseteq B_n$ , then player II chooses  $C_n \in S'_i$  such that  $B_n \subseteq C_n$  and  $C_n \cap A_2 \in X$ ; this is possible because  $\kappa_i \ge \lambda$ . Now

$$(A_2 + \bigcup_{n < \omega} C_n)/(A_1 + \bigcup_{n < \omega} C_n) \cong A_2/(A_1 + \bigcup_{n < \omega} (C_n \cap A_2)),$$

and  $(A_2, A_1 + \bigcup_{n < \omega} (C_n \cap A_2)) \in \mathcal{F}$  since X is closed under unions of chains. It follows easily that  $(A_2 + \bigcup_{n < \omega} C_n, A_1 + \bigcup_{n < \omega} C_n) \in \mathcal{F}$ .

Therefore by Theorem 3.3 we have a continuous ascending chain  $\{A_{\nu} \mid \nu < \omega \text{ cf }(\kappa)\}$  of  $\kappa$ -generated subgroups with union A such that  $(A_{\nu+1}, A_{\nu}) \in \mathcal{F}$  for all  $\nu < \omega \text{ cf }(\kappa)$ . Note that the continuity of the chain implies that for all  $\nu$ ,  $A_{\nu}$  is pure in A. By choosing a continuous subchain of length cf  $(\kappa)$  we obtain the chain required for Theorem 3.2(2). This completes the proof of Theorem 3.2.

COROLLARY 3.5 (V = L). Let G be a group of countable torsion-free rank. There is a countable quotient H of G such that for any torsion-free group A,  $\operatorname{Ext}(A, G) = 0$  if and only if  $\operatorname{Ext}(A, H) = 0$ .

*Proof.* Let H be the countable quotient of G given by Corollary 2.5. For any group A,  $\operatorname{Ext}(A, G) = 0$  implies  $\operatorname{Ext}(A, H) = 0$ . We shall prove by induction on |A| that the converse is also true if A is torsion-free. For countable A this is

Corollary 2.5. If A is uncountable and Ext (A, H) = 0, then by Theorem 3.2(2), A is the union of a continuous chain  $\{A_{\nu} \mid \nu < \operatorname{cf}(\kappa)\}$  of  $\kappa$ -generated subgroups such that Ext  $(A_0, H) = 0$  and for all  $\nu < \operatorname{cf}(\kappa)$ ,  $A_{\nu+1}/A_{\nu}$  is torsion-free and Ext  $(A_{\nu+1}/A_{\nu}, H) = 0$ . By induction, Ext  $(A_0, G) = 0$  and for all  $\nu < \operatorname{cf}(\kappa)$ , Ext  $(A_{\nu+1}/A_{\nu}, G) = 0$ ; hence (by Theorem 1.2 of  $[E_2]$ ) Ext (A, G) = 0.

Remark. As an immediate consequence of Corollary 3.5 we obtain Theorem 3.2 for A torsion-free and G any group of countable torsion-free rank (and arbitrary cardinality) with  $\lambda = \omega$  in 3.2(3). This generalizes Theorem 3.4 of  $[E_3]$ .

We can also generalize Shelah's solution of Whitehead's problem in L using Corollary 2.3.

COROLLARY 3.6 (V = L). Suppose that G is a countable torsion-free group such that for all primes p, G is not p-divisible. For any group A, if Ext(A, G) = 0 then A is free.

*Proof.* We proceed by induction on the cardinality of A, using Corollary 2.3 and Theorem 3.2(2).

*Remark.* By  $[Sh_1]$ , Corollary 3.6 is independent of ZFC. Moreover the same holds for Corollary 3.5 and Theorem 3.2(2) and (3) (see  $[E_3]$ ). We do not know, however, if Theorem 3.2(1) is independent of ZFC.

The following result is related to Corollary 2.5 as Hill's theorem ([Hi]) is related to Pontryagin's criterion.

THEOREM 3.7 (V=L). Let A be a torsion-free group and G a group of countable torsion-free rank. Suppose  $A = \bigcup_{n < \omega} A_n$ , where  $\{A_n \mid n < \omega\}$  is a chain of pure subgroups of A such that  $\operatorname{Ext}(A_n, G) = 0$  for all  $n < \omega$ . Then  $\operatorname{Ext}(A, G) = 0$ .

*Proof.* By Corollary 3.5 we may assume that G is countable, the proof of the theorem will be by induction on |A|. If A is countable the result follows easily from Corollary 2.7. Suppose now that  $|A| = \kappa > \aleph_0$ . Theorem 3.2(3) and Lemma 3.1(2) and (3) imply that there is a generating element X of  $K_{\omega_1}(A)$  consisting of subgroups H such that for all  $n < \omega$ ,  $\operatorname{Ext}(A_n/(H \cap A_n), G) = 0$ . Moreover by Lemma 3.1(6) we may assume that for all  $H \in X$  and all  $n < \omega$ ,  $A_n + H$  is pure in A. Now using the properties of a generating element we can define by transfinite induction a continuous ascending chain  $\{H_{\nu} \mid \nu < \kappa\}$  of elements of X such that  $H_0 = 0$ ,  $A = \bigcup_{\nu < \kappa} H_{\nu}$ , and for all  $\nu < \kappa$ ,  $|H_{\nu}| < \kappa$ . For all  $\nu < \kappa$ 

$$\begin{split} H_{\nu+1}/H_{\nu} &= \bigcup_{n < \omega} ((H_{\nu+1} \cap A_n) + H_{\nu})/H_{\nu} \quad \text{and} \quad ((H_{\nu+1} \cap A_n) + H_{\nu})/H_{\nu} \\ &\cong (H_{\nu+1} \cap A_n)/(H_{\nu} \cap A_n). \end{split}$$

Hence Ext  $(((H_{\nu+1} \cap A_n) + H_{\nu})/H_{\nu}, G) = 0$  since by choice of X, Ext  $(A_n/(H_{\nu} \cap A_n), G) = 0$ . Moreover  $((H_{\nu+1} \cap A_n) + H_{\nu})/H_{\nu}$  is pure in  $H_{\nu+1}/H_{\nu}$  since by choice of X,  $A_n + H_{\nu}$  is pure in A. Therefore by inductive hypothesis, Ext  $(H_{\nu+1}/H_{\nu}, G) = 0$ . Since this is true for all  $\nu < \kappa$ , it follows that Ext (A, G) = 0. This completes the proof of the theorem.

## 4. The uncountable case: structure of Ext (A, G)

The aim of this final section is to determine the torsion-free rank of  $\operatorname{Ext}(A, G)$  in the case where A is uncountable torsion-free and G satisfies suitable cardinality conditions. The results of this section extend those of [H-H-S], when Shelah's solution of Whitehead's problem in L is taken into account. We do not know, however, whether our results remain valid without additional axioms of set theory. We start with

THEOREM 4.1 (V = L). Let A be a torsion-free group of uncountable cardinality  $\kappa$  and let G be any group of cardinality  $<\kappa$ . Suppose that for every  $\kappa$ -generated pure subgroup B of A,  $\operatorname{Ext}(A/B, G) \neq 0$ . Then the torsion-free rank of  $\operatorname{Ext}(A, G)$  is  $2^{\kappa}$ .

To prove this we follow the pattern of the proof of the corresponding result (Theorem 1) of [H-H-S]. The regular case is an easy consequence of the subsequent proposition. Recall that Ext(A, G) can be defined as the quotient group Fact(A, G)/Trans(A, G), where Fact(A, G) is the abelian group of all factor sets on A to G and Trans(A, G) is the subgroup of transformation sets (see e.g.  $[F_1]$ , pp. 209-211).

PROPOSITION 4.2 (V = L). Let A be a torsion-free group of regular uncountable cardinality  $\kappa$  and let G be any group of cardinality  $\kappa$ . Suppose that for every  $\kappa$ -generated pure subgroup B of A,  $\operatorname{Ext}(A/B, G) \neq 0$ . If  $A_0$  is any  $\kappa$ -generated pure subgroup of A, then for every  $f_0 \in \operatorname{Fact}(A_0, G)$  there exists a subset  $\{f^{\alpha} \mid \alpha < 2^{\kappa}\}$  of  $\operatorname{Fact}(A, G)$  such that

- (i) for all  $\alpha < 2^{\kappa}$ ,  $f^{\alpha}$  extends  $f_0$ ;
- (ii) for each pair  $\alpha \neq \beta$ ,  $f^{\alpha} f^{\beta}$  represents an element of infinite order of Ext (A, G).

The proof of this proposition is almost identical with that of Proposition 1 in [H-H-S]. We only have to replace the statement "A is free" by "Ext (A, G) = 0". Instead of  $[E_1$ , Theorem 2.6] we make use of Theorem 1.2 of  $[E_2]$ . Note that the

cardinality hypothesis on G is needed in order that Lemma 3 of [H-H-S] can be applied.

The proof of Theorem 4.1 in case  $\kappa$  is singular relies on the above proposition and

THEOREM 4.3 (V = L). Let A be any group of singular cardinality  $\kappa$ . Let G be a group of cardinality  $\lambda < \kappa$  and let  $\gamma$  be any infinite cardinal  $< \kappa$ . Suppose that every  $\kappa$ -generated subgroup B of A contains a  $\gamma^+$ -generated subgroup C such that C is pure in B and Ext (B/C, G) = 0. Then A contains a  $\gamma^+$ -generated pure subgroup C such that Ext (A/C, G) = 0.

**Proof.** Let  $\mathscr{F}_1$  be the class of pairs (B,0) where B is a subgroup of A that contains a  $\gamma^+$ -generated subgroup C such that C is pure in B and  $\operatorname{Ext}(B/C,G)=0$ . Let  $\mathscr{F}_2$  be the class of pairs (B,C) of subgroups of A where C is a non-trivial pure subgroup of B such that  $\operatorname{Ext}(B/C,G)=0$ . We show that the class  $\mathscr{F}=\mathscr{F}_1\cup\mathscr{F}_2$  satisfies (HI) and (HII) of Theorem 3.3, assuming that the sequence  $\{\kappa_i\mid i<\operatorname{cf}(\kappa)\}$  is chosen such that  $\kappa_1\geq \max\{\operatorname{cf}(\kappa),\lambda,\gamma\}$ . Condition (HI) is easily verified by means of the following analogue of Lemma 3.4.

LEMMA 4.4 (V = L). Suppose that A and G satisfy the hypotheses of Theorem 4.3. Let B be a subgroup of A of cardinality  $\mu$ , where  $\max \{\lambda, \gamma\} \leq \mu < \kappa$ . Then there is a pure subgroup  $B^*$  of A of cardinality  $\mu$  such that  $B^*$  contains B and  $\operatorname{Ext}(B'/B^*, G) = 0$  for all subgroups B' of A of cardinality  $\mu$  that contain  $B^*$ .

Proof. We proceed as in the proof of Lemma 3.4. Supposing that no such  $B^*$  exists, we obtain a subgroup  $\tilde{B}$  of A of cardinality  $\mu^+$  which is the union of a continuous ascending chain  $\{B_{\nu} \mid \nu < \mu^+\}$  of subgroups of cardinality  $\mu$  such that  $B_0 = B$  and for all  $\nu$ ,  $1 \le \nu < \mu^+$ ,  $B_{\nu}$  is pure in A and  $\operatorname{Ext}(B_{\nu+1}/B_{\nu}, G) \ne 0$ . By hypothesis  $\tilde{B}$  contains a  $\gamma^+$ -generated pure subgroup C such that  $\operatorname{Ext}(\tilde{B}/C, G) = 0$ . As  $|\tilde{B}|$  is regular, we may assume that C is contained in  $B_0$ ; so we have  $\tilde{B}/C = \bigcup_{\nu < \mu^+} B_{\nu}/C$ . But then Lemma 1.4 of  $[E_2]$  yields a contradiction, and our lemma is proved.

It remains to check (HII). Given  $A_1 \subseteq A_2$  in  $S'_{i+1}$  such that  $(A_2, A_1) \in \mathcal{F}$ , we distinguish the following two cases. First if  $A_1 \neq 0$ , we proceed exactly as in the proof of Theorem 3.2. In the second case, suppose that  $A_1 = 0$ . So  $(A_2, A_1) \in \mathcal{F}$  means that  $A_2$  contains a  $\gamma^+$ -generated pure subgroup C such that  $\operatorname{Ext}(A_2/C, G) = 0$ . Then by Theorem 3.2(3) and Lemma 3.1 there exists a generating element X of  $K_{\lambda^+}(A_2)$  consisting of subgroups H of  $A_2$  such that  $(A_2, C+H) \in \mathcal{F}$ . Now the winning strategy of player II is to choose  $C_n \in S'_i$  such that  $C_0$  contains C and  $C_n \cap A_2 \in X$ . This is possible by the assumption on  $\kappa_i$ .

Then

$$(A_2 + \bigcup_{n < \omega} C_n)/(A_1 + \bigcup_{n < \omega} C_n) \cong A_2/\bigcup_{n < \omega} (C_n \cap A_2)$$
  
$$\cong A_2/(C + \bigcup_{n < \omega} (C_n \cap A_2)).$$

But  $(A_2, C + \bigcup_{n < \omega} (C_n \cap A_2))$  is in  $\mathcal{F}$  since X is closed under unions of chains; so indeed  $(A_2 + \bigcup_{n < \omega} C_n, A_1 + \bigcup_{n < \omega} C_n) \in \mathcal{F}$ .

Therefore by Theorem 3.3 we obtain a continuous chain  $\{A_{\nu} \mid \nu < \omega \text{ cf }(\kappa)\}$  of  $\kappa$ -generated pure subgroups of A such that  $A = \bigcup_{\nu < \omega \text{ cf}(\kappa)} A_{\nu}$ ,  $A_1$  contains a  $\gamma^+$ -generated pure subgroup C with  $\text{Ext}(A_1/C, G) = 0$  and for all  $\nu$ ,  $1 \le \nu < \omega \text{ cf }(\kappa)$ ,  $\text{Ext}(A_{\nu+1}/A_{\nu}, G) = 0$ . Hence we have Ext(A/C, G) = 0 by Theorem 3.2(2). This completes the proof of Theorem 4.3.

Proof of Theorem 4.1. Clearly  $2^{\kappa}$  is an upper bound for  $r_0(\operatorname{Ext}(A, G))$ . If  $\kappa$  is regular, Proposition 4.2 implies that the quotient group of  $\operatorname{Ext}(A, G)$  modulo torsion is of cardinality  $2^{\kappa}$ . Hence in this case  $2^{\kappa}$  is also a lower bound for  $r_0(\operatorname{Ext}(A, G))$ . Note that for  $\kappa$  regular the theorem still holds if the cardinality of G is  $\kappa$ .

Now assume that  $\kappa$  is singular. In this case we define by induction a chain of pure subgroups  $\{A_{\nu} \mid \nu < cf(\kappa)\}\$  of A such that

- (i)  $A = \bigcup_{\nu < \mathrm{cf}(\kappa)} A_{\nu};$
- (ii)  $|A_{\nu}|$  is a regular cardinal  $> \max\{|G|, |\bigcup_{\mu < \nu} A_{\mu}|\};$
- (iii) if C is a  $|A_{\nu}|$ -generated pure subgroup of  $A_{\nu}$ , then Ext  $(A_{\nu}/C, G) \neq 0$ . The definition of the chain is similar to the one in the proof of Theorem 1 of [H–H–S]. Instead of Theorem 2 of [H–H–S] we apply our Theorem 4.3, while condition (iii) is checked by making use of Theorem 3.2(3).

Let  $\tilde{A}_{\nu} = \bigcup_{\mu < \nu} A_{\mu}$ . As in [H-H-S], we deduce from Proposition 4.2 that to each sequence  $\eta$  of ordinals of length  $\nu$  with  $\eta(\mu) \in 2^{|A_{\nu}|}$ ,  $\mu < \nu$ , a factor set  $f^{\eta} \in \text{Fact}(\tilde{A}_{\nu}, G)$  can be assigned such that

- (iv) if  $\xi$  is an initial segment of  $\eta$ , then  $f^{\eta}$  extends  $f^{\xi}$ ;
- (v) if  $\xi \neq \eta$  are of the same length  $\nu$ , then  $f^{\xi} f^{\eta}$  represents an infinite order element of Ext  $(\tilde{A}_{\nu}, G)$ .

We conclude that there are  $\prod_{\nu < cf(\kappa)} 2^{|A_{\nu}|} = 2^{\kappa}$  factor sets on A to G which represent pairwise different elements of  $\operatorname{Ext}(A, G)$  modulo torsion. This completes the proof of Theorem 4.1.

THEOREM 4.5 (V = L). Let A be a torsion-free group and let G be any group of countable torsion-free rank such that  $\text{Ext}(A, G) \neq 0$ . Suppose that B is a pure

subgroup of A such that  $\operatorname{Ext}(A/B, G) = 0$ . If B is of minimal cardinality, then  $r_0(\operatorname{Ext}(A, G)) \ge 2^{|B|}$ .

*Proof.* By hypothesis we have  $\operatorname{Ext}(A, G) \cong \operatorname{Ext}(B, G)$ . The case where B is countable is therefore settled by Theorem 2.8. For uncountable B the result follows from Corollary 3.5 and Theorem 4.1.

Remark. The following special case of the above theorem is implicit in  $[N_2]$ : If A is a torsion-free group and T a torsion group such that  $\operatorname{Ext}(A, T) \neq 0$ , then  $r_0(\operatorname{Ext}(A, T)) \geq 2^{\aleph_0}$ . Note that this does not require V = L.

The next two results are immediate consequences of Theorem 4.5.

COROLLARY 4.6. (V=L). Let A be torsion-free and let G be countable such that Ext  $(A, G) \neq 0$ . Then

- (a)  $r_0(\text{Ext}(A, G)) = 2^{\mu}$  for some infinite cardinal  $\mu$ ;
- (b)  $r_0(\text{Ext}(A, G)) = |\text{Ext}(A, G)|$ .

COROLLARY 4.7. (V = L). Let A be  $\kappa$ -free for some infinite cardinal  $\kappa$  and let G be countable. If Ext  $(A, G) \neq 0$ , then  $r_0(\text{Ext}(A, G)) = 2^{\mu}$  for some  $\mu \geq \kappa$ .

Recall that a group A is called  $\kappa$ -free if every  $\kappa$ -generated subgroup of A is free. We already mentioned that the results of this section extend those of [H-H-S]. Corollaries 4.7 and 4.8 generalize, moreover, Théorème 1 and Corollaire 2 of [Hu], respectively.

COROLLARY 4.8 (V = L). Let A be any group and let G be countable. If Ext (A, G) is nonzero and divisible, then  $r_0(\text{Ext}(A, G)) = 2^{\mu}$  for some infinite  $\mu$ .

*Proof.* Clearly we may assume that G is reduced. We consider the exact sequence

$$\operatorname{Hom}(tA, G) \to \operatorname{Ext}(A/tA, G) \xrightarrow{\varphi} \operatorname{Ext}(A, G) \to \operatorname{Ext}(tA, G) \to 0.$$

From Lemma 55.3 of  $[F_1]$  we know that  $\operatorname{Ext}(tA, G)$  is reduced. On the other hand, the hypothesis implies that  $\operatorname{Ext}(tA, G)$  is divisible; hence  $\operatorname{Ext}(tA, G) = 0$ . Using Lemma 1.1 we conclude that G is p-divisible for every prime p for which  $t_pA \neq 0$ . Therefore we have  $t_pG = 0$  whenever  $t_pA \neq 0$ . It follows that  $\operatorname{Hom}(TA, G) \cong \prod_p \operatorname{Hom}(t_pA, t_pG) = 0$ . Hence by exactness of the above sequence  $\varphi$  is an isomorphism. Thus it sufficies to consider the case where A is torsion-free. But this case has already been settled by Corollary 4.6(a).

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